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# Navigation Accuracy and Interference Rejection for an Adaptive GPS Antenna Array

David S. De Lorenzo, Jason Rife, Per Enge  
*Stanford University GPS Lab*

Dennis Akos  
*University of Colorado*

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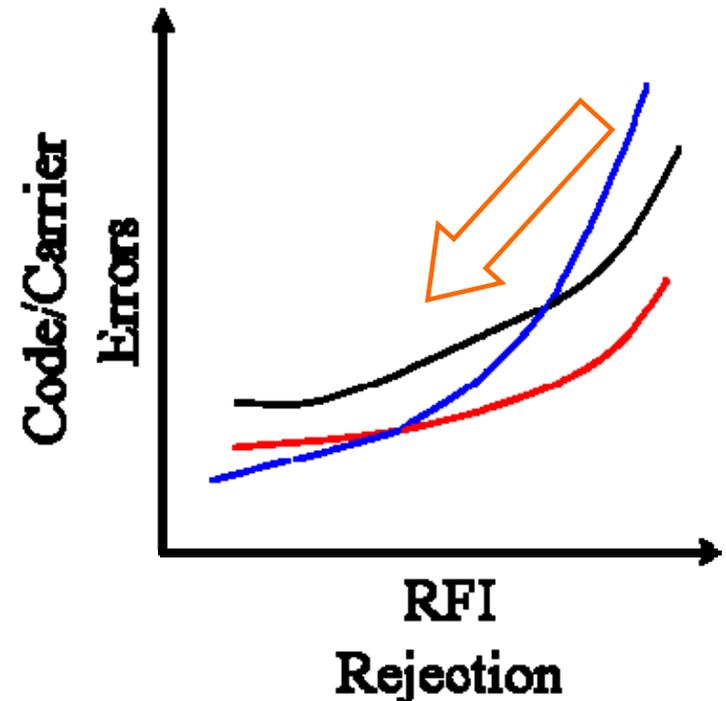
The authors gratefully acknowledge the support of the JPALS Program Office, and the Naval Air Warfare Center Aircraft Division through contract N00421-01-C-0022.



# Constrained Adaptive Processing

- JPALS has stringent limits on pseudorange & carrier-phase errors
  - Required to meet carrier-landing accuracy limits.
- GPS antennas introduce distortion on received signals
  - Apparent as deterministic pseudorange and carrier phase biases
  - Dependent on the incoming signal line-of-sight.
- JPALS will likely require multi-element STAP algorithms to improve C/No under jamming & RFI conditions.
- STAP algorithms can introduce additional pseudorange and carrier phase biases.

Goal: Through temporal & spatial constraints on a STAP algorithm, move down & left in the trade space, to a point where deterministic pseudorange & carrier phase corrections based on signal LOS can be applied.





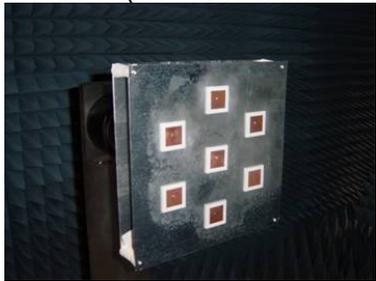
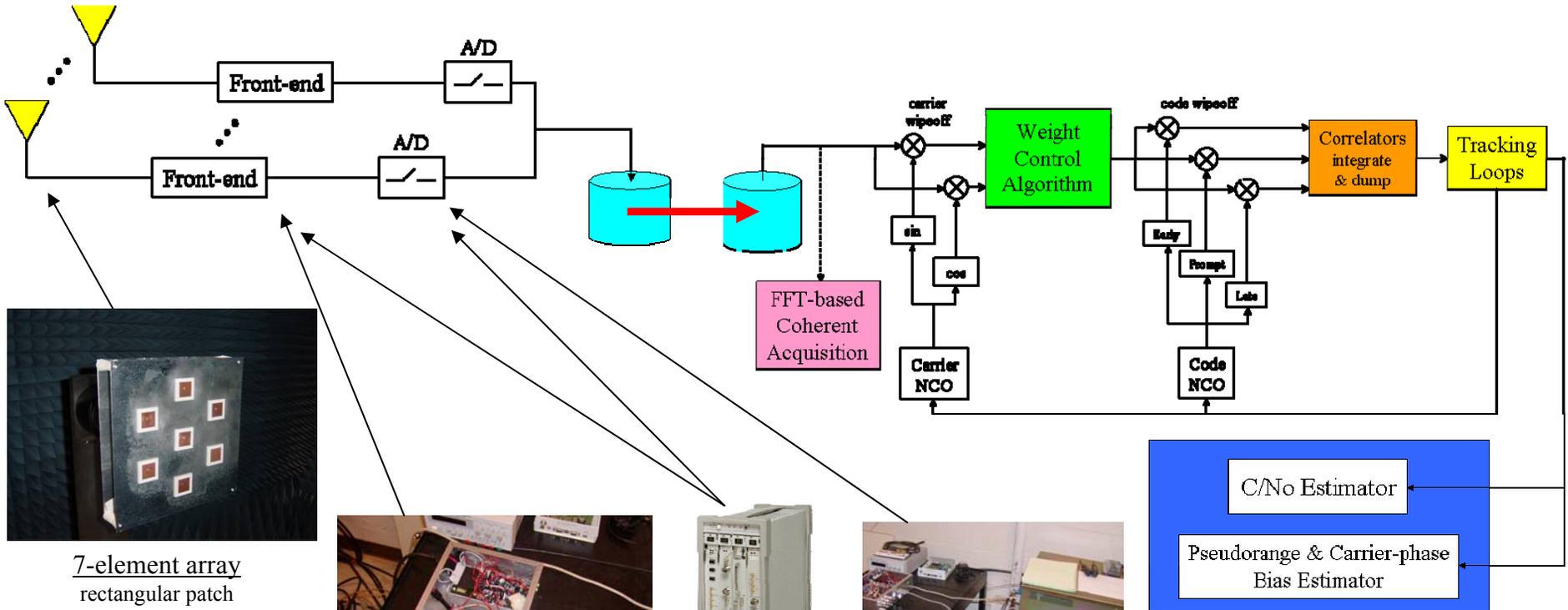
# Outline

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- Software tools for signal simulation & tracking
  - Signal simulator
    - C/A or P-code signal generator that includes antenna distortion effects
  - Software receiver
    - Tracks a wide range of GNSS signals – GPS C/A & P-code, Galileo L1 & E6
    - Includes multi-antenna and space-time adaptive signal processing
- Verification of software receiver & multi-signal tracking performance
- Research methodology:
  - Characterize biases vs. RFI rejection
  - Evaluate different compensation schemes
- Preliminary results
  - FRPA vs. CRPA biases and interference rejection



# Stanford HW & SW Development



7-element array  
rectangular patch  
antennas manufactured  
at Stanford University



2m high-gain dish



Analog front-end  
4-channel GP2015 with  
10 MHz common clock



HP Vector  
Signal  
Analyzer



Data collection computer  
(2) ICS-650 cards  
sampling at 5-65 MHz  
and 12-bit A/D resolution

\* Possibility of including data from OSU's multi-element antenna array.

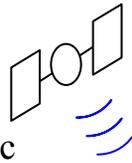


Software Receiver  
all-in view, multi-signal  
GNSS receiver  
with STAP array  
processing



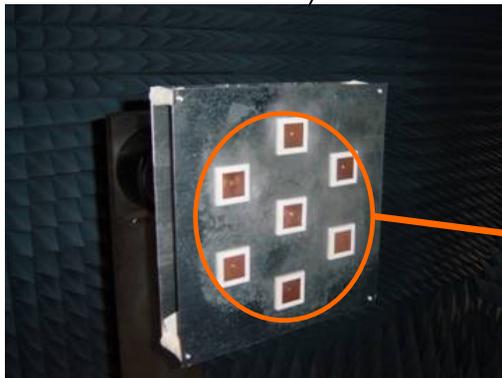
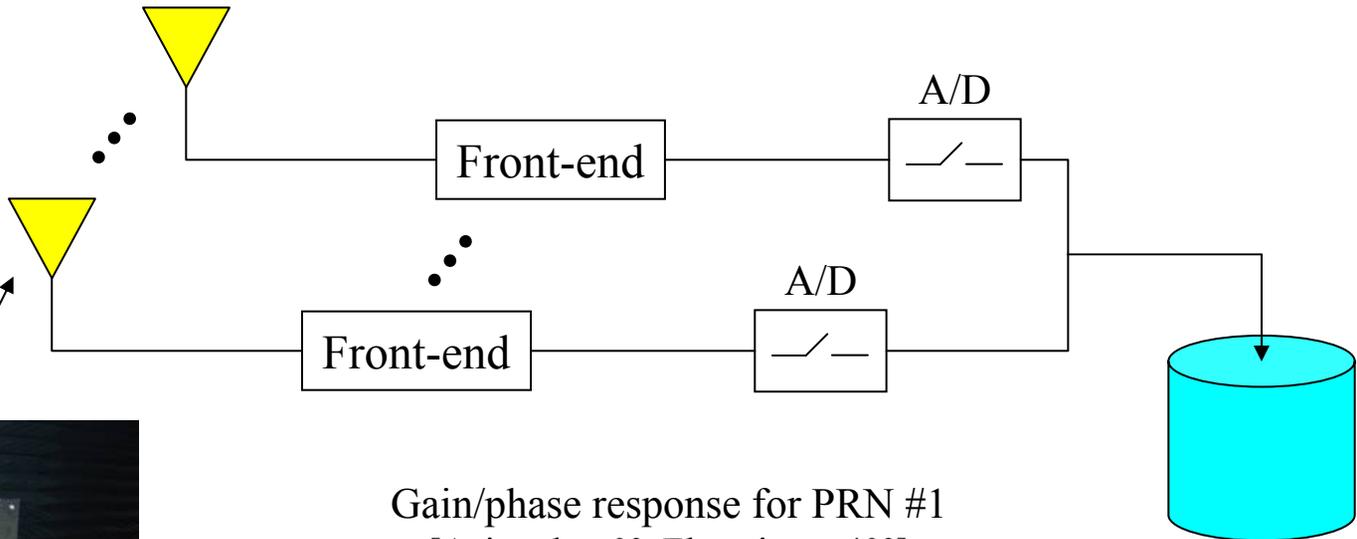
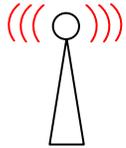
# Software-Based Signal Simulator

C/A & "P" code  
1.023 & 10.23 Mchip/sec

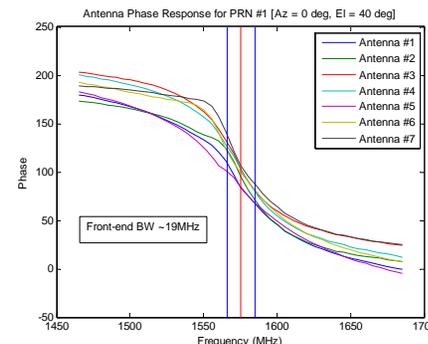
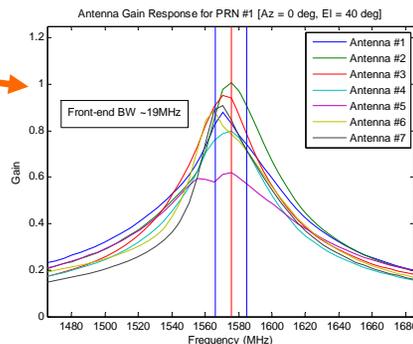


- Gain/phase data for each look direction (10 S/V constellation) and antenna (7 rectangular patches)
  - Data courtesy Ung-Suok Kim

Bandlimited WGN  
& CW interference



Gain/phase response for PRN #1  
[Azimuth = 0°, Elevation = 40°]





# Signal Generation at Intermediate Freq.

- Received signal at master element:

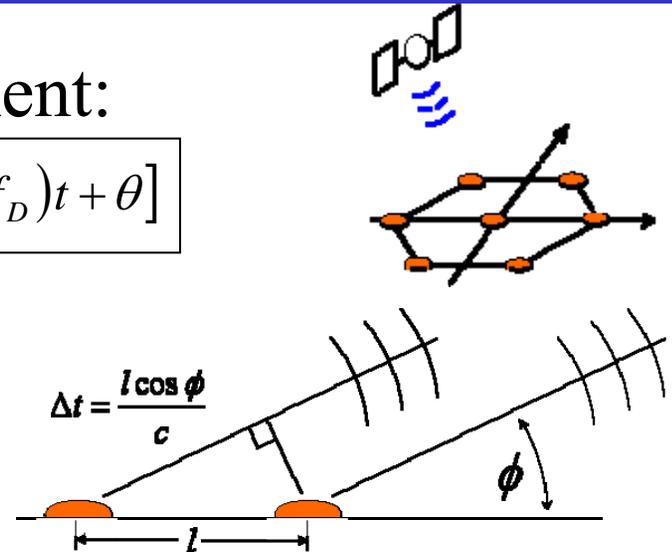
$$\sqrt{2P_S} \cdot D(t - \tau) \cdot x(t - \tau) \cdot \cos[2\pi(f_{L1} + f_D)t + \theta]$$

- Mixing signal:

$$\sqrt{2} \cdot \cos[2\pi(f_{L1} - f_{IF})t]$$

- Signal after LPF:

$$\sqrt{P_S} \cdot D(t - \tau) \cdot x(t - \tau) \cdot \cos[2\pi(f_{IF} + f_D)t + \theta]$$



- Received signal at subsidiary element:

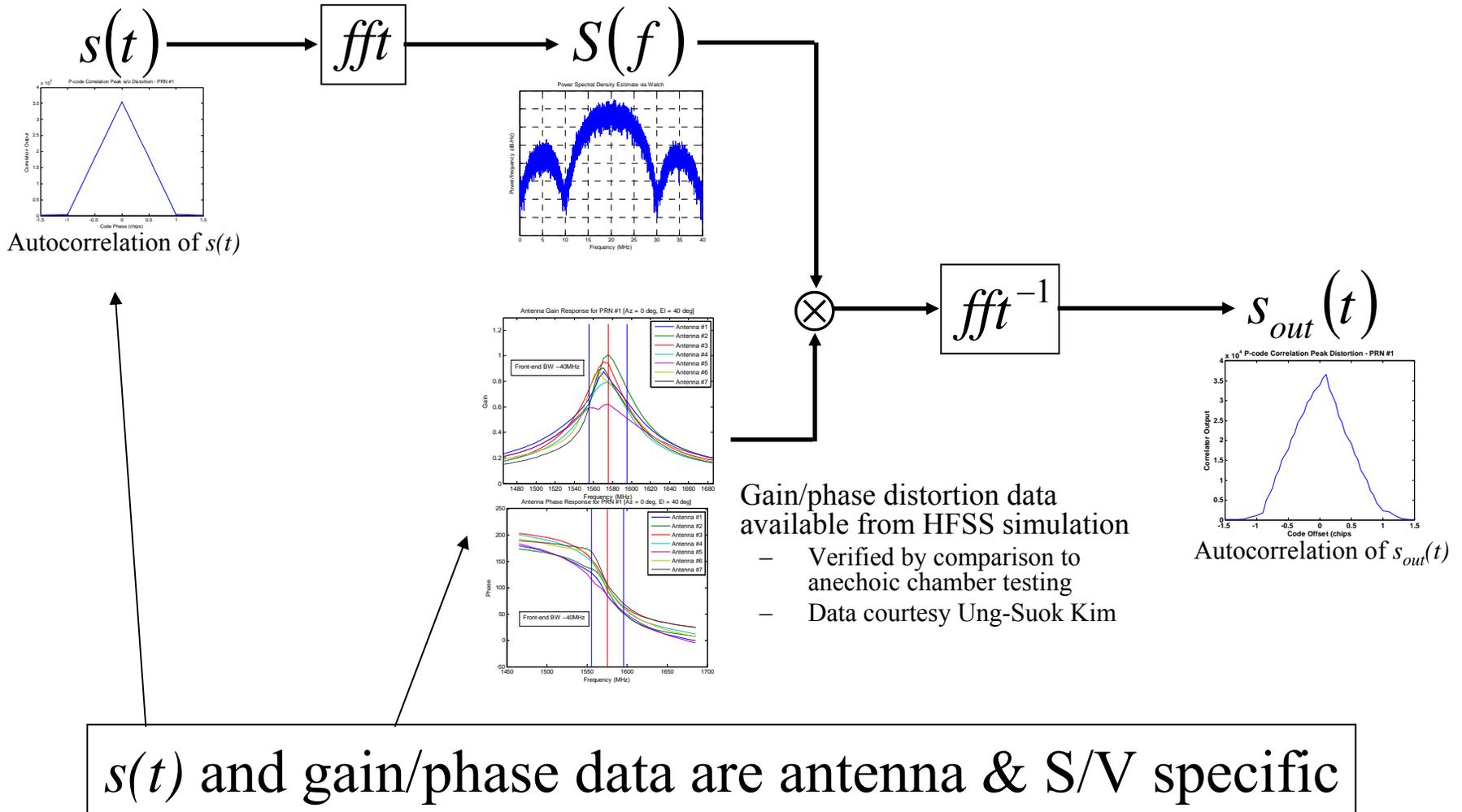
$$\sqrt{2P_S} \cdot D(t + \Delta t - \tau) \cdot x(t + \Delta t - \tau) \cdot \cos[2\pi(f_{L1} + f_D)(t + \Delta t) + \theta]$$

- Signal after mixing & LPF:

$$\sqrt{P_S} \cdot D(t + \Delta t - \tau) \cdot x(t + \Delta t - \tau) \cdot \cos[2\pi(f_{IF} + f_D)t + \theta + 2\pi(f_{L1} + f_D)\Delta t]$$

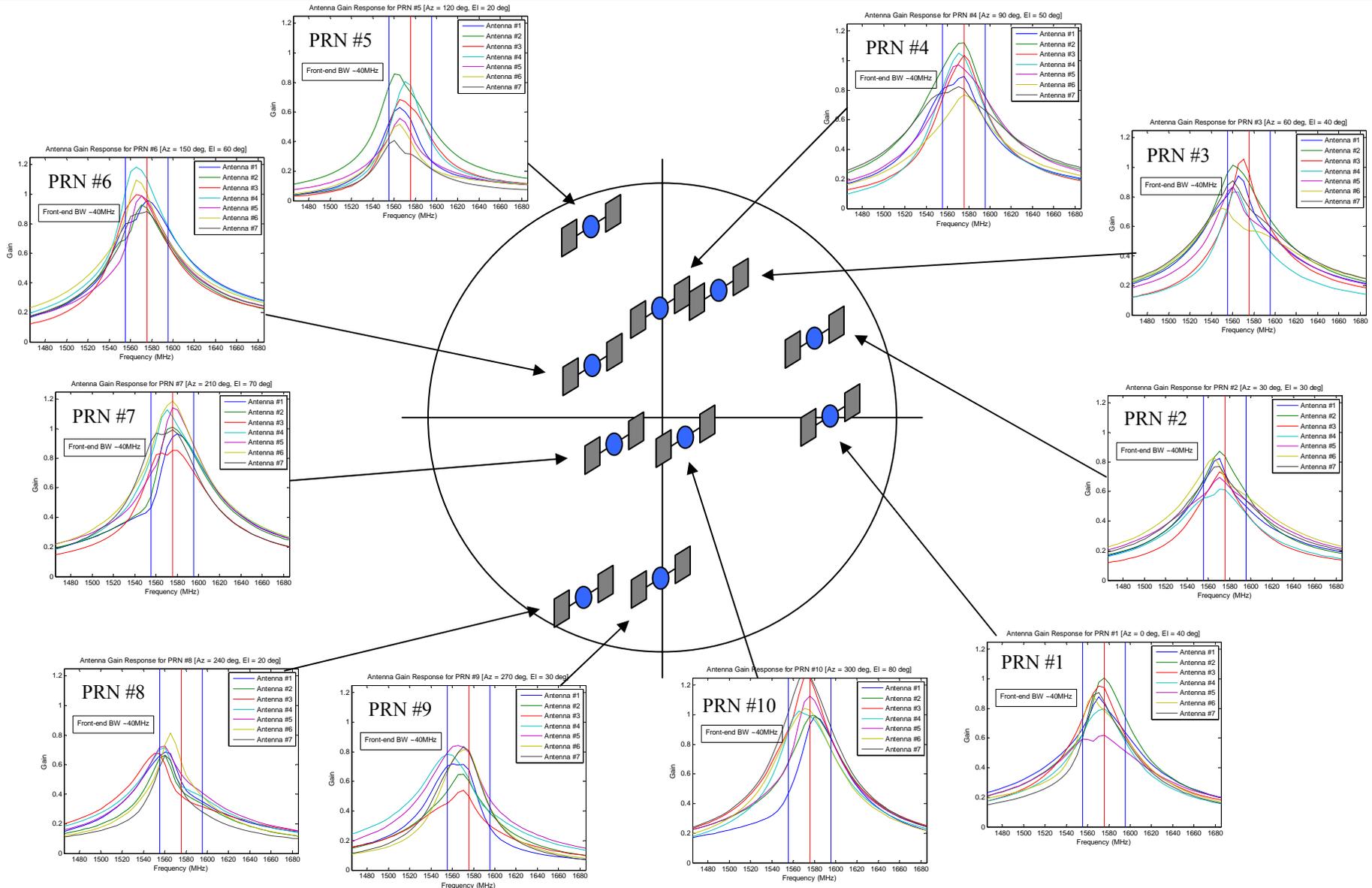


# Signal Generation w/ Antenna Distortion



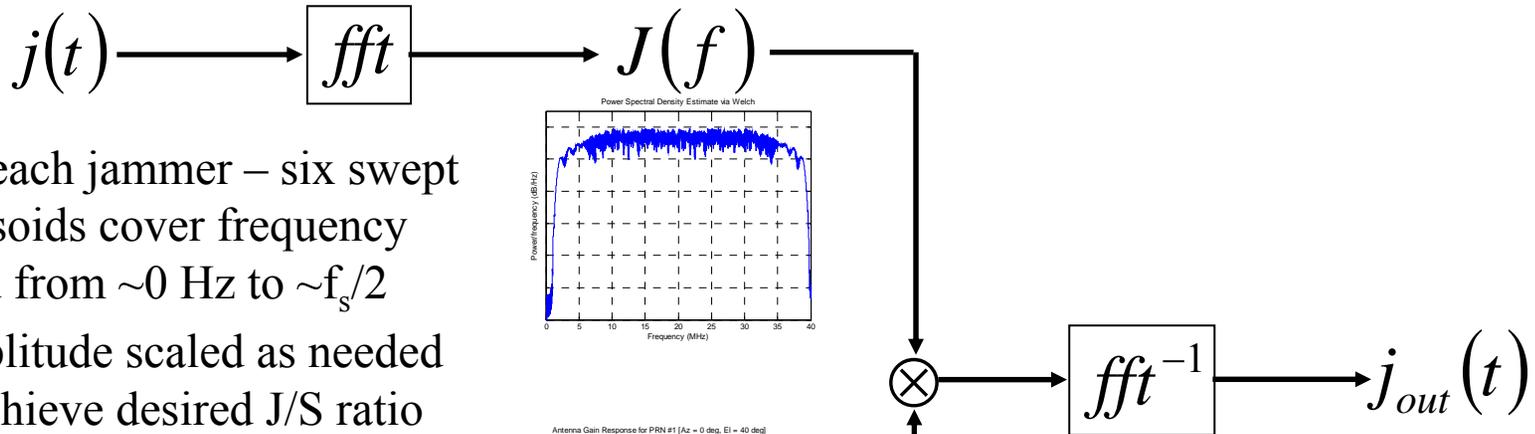


# Standard Satellite Constellation

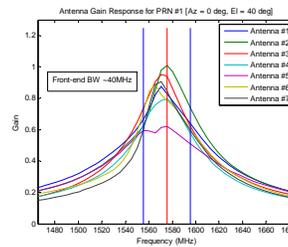
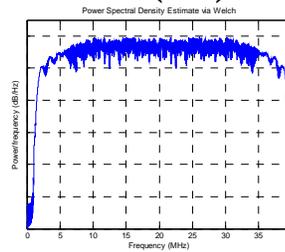




# Wideband Jammer Signal Generation at IF



- For each jammer – six swept sinusoids cover frequency band from  $\sim 0$  Hz to  $\sim f_s/2$
- Amplitude scaled as needed to achieve desired J/S ratio



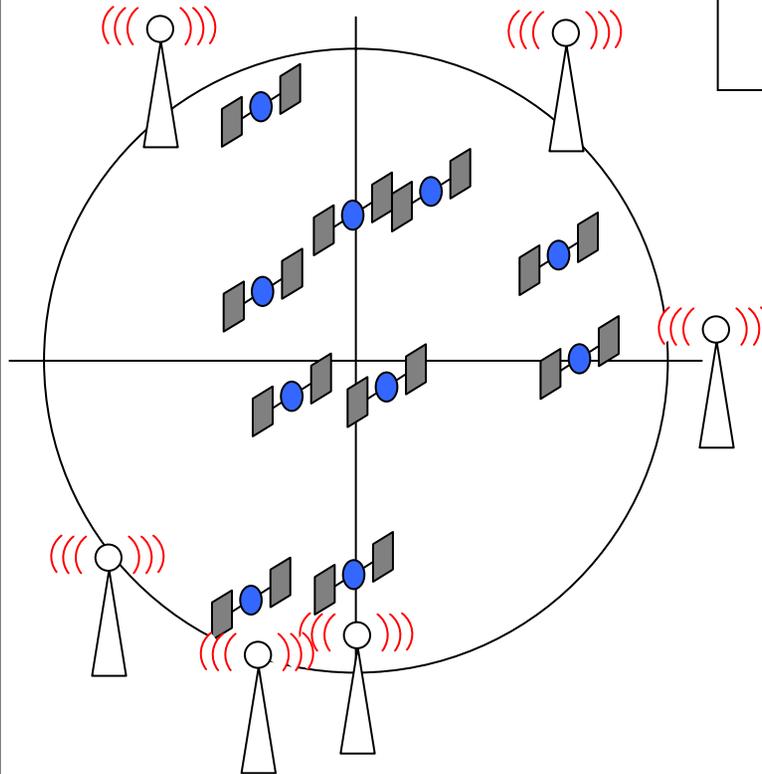
Gain/phase distortion data are currently not available  $\Rightarrow j(t) \equiv j_{out}(t)$

$$\sqrt{2P_J} \cdot \sum_i \cos \left[ 2\pi(f_{IF} + f_{J_i})t + f'_J(t + \Delta t)^2 + 2\pi(f_{L1} + f_{J_i})\Delta t \right]$$



# Satellite & RFI Constellation

PRN	Azimuth	Elevation
1	0	40
2	30	30
3	60	40
4	90	50
5	120	20
6	150	60
7	210	70
8	240	20
9	270	30
10	300	80



Bandlimited WGN  
and/or  
CW interference

RFI	Azimuth	Elevation
1	0	0
2	45	0
3	120	0
4	225	0
5	250	0
6	270	10



# Multi-signal Software GNSS Receiver

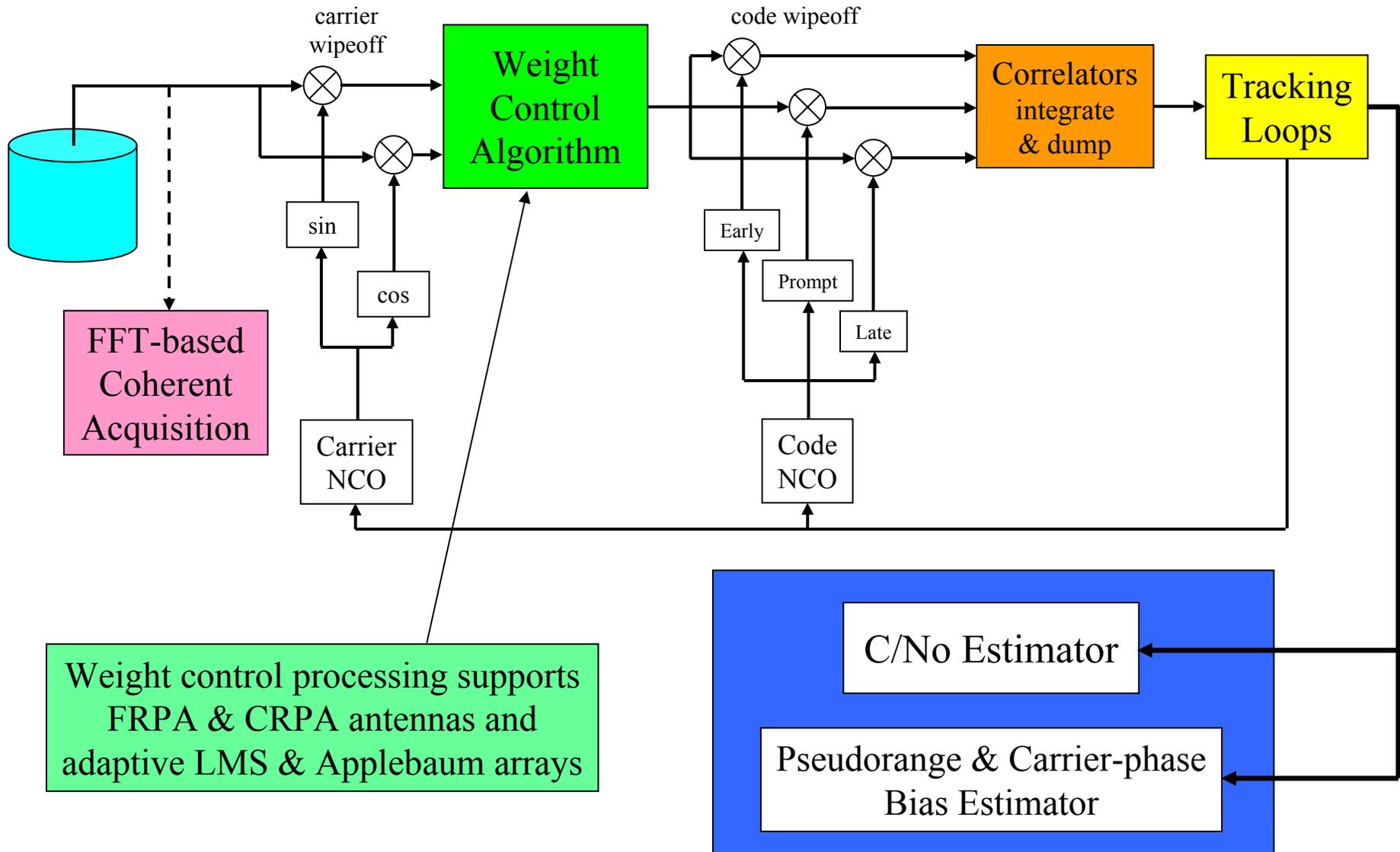
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- Software Receiver tracks a wide range of GNSS signals, runs in MATLAB
  - GPS C/A and pseudo-P-codes
  - Galileo L1-B/C and E6-B/C codes
- Includes multi-antenna and space-time adaptive signal processing
- Supports arbitrary sampling frequency, intermediate frequency, data format, etc.
  - The ideal s/w rcvr is fast, flexible, & uncomplicated

You can have 2 out of 3!!



# Software Receiver Block Diagram





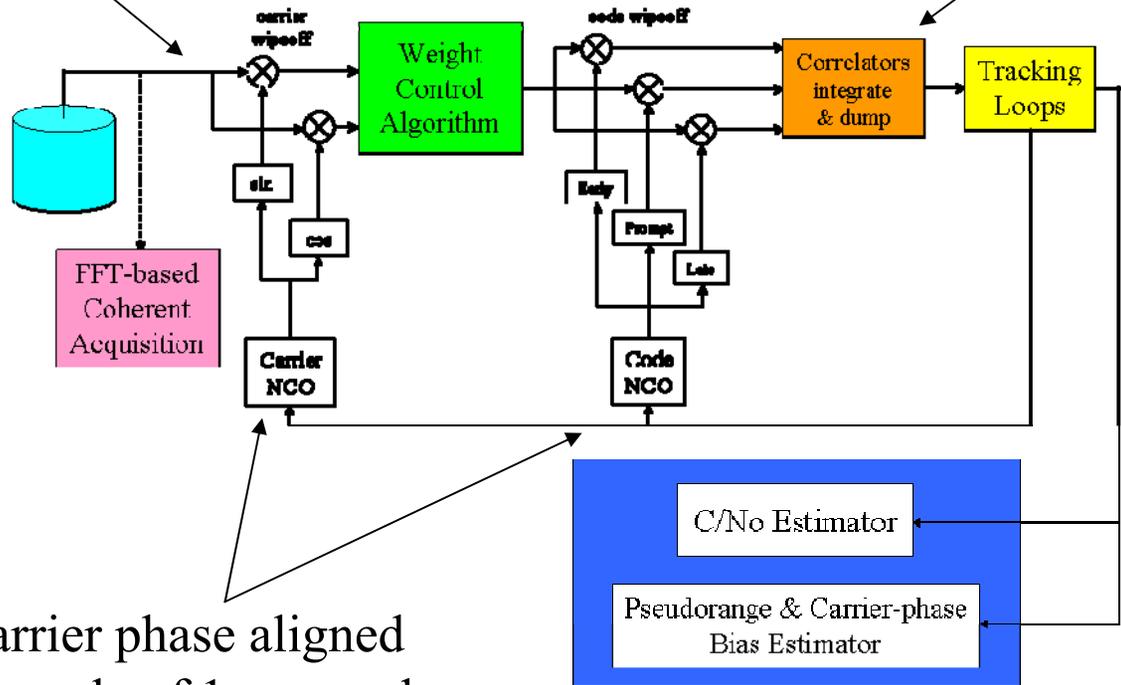
# Software Receiver Flow Chart

Discrete operation from stored data → sample indexing & alignment

For each tracking channel:  
1ms sample buffer aligned  
w/ start of spreading code

This loop runs on  
a 1ms schedule

Noncoherent or coherent  
integration depends on  
nav. data bit alignment



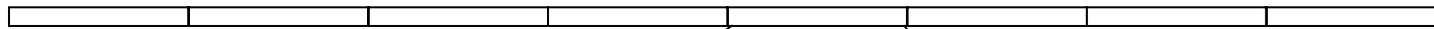
**The devil is  
in the details**

Code/carrier phase aligned  
w/ first sample of 1ms epoch



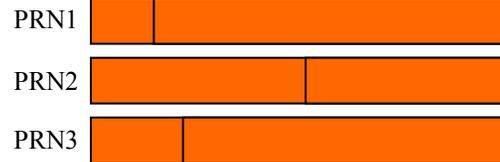
# Epoch-based Tracking of GNSS Codes

Frame-based data epochs



Active window

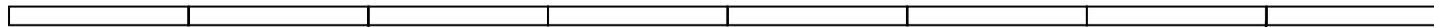
Code start tracked in each ~1ms data epoch



Problems:

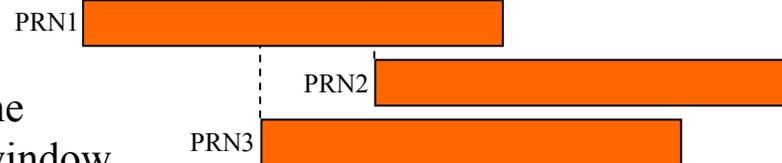
- If  $f_s$  is not an exact multiple of 1000Hz, the extra fractional sample causes a code-phase ramp error
- Approaching/receding satellites will cause code wrap (phase skew), leading to dropped sample epochs

Multi-epoch tracking window



Active window

Code start tracked in the ~5ms active tracking window



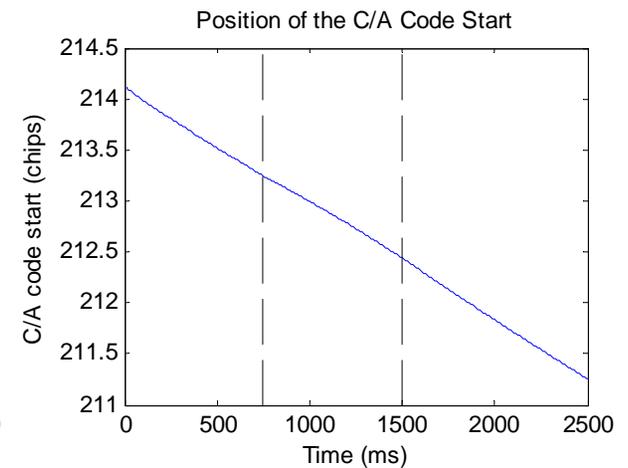
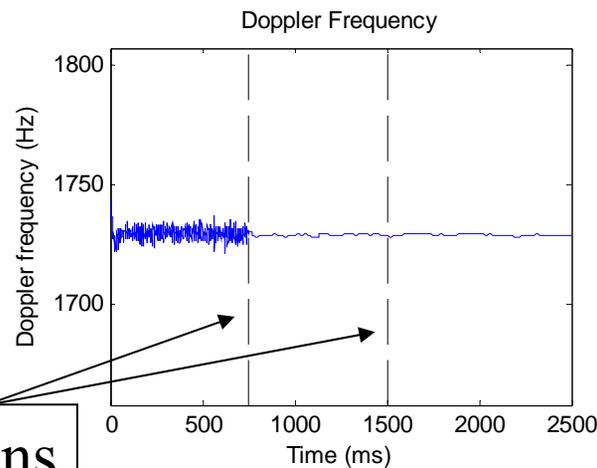
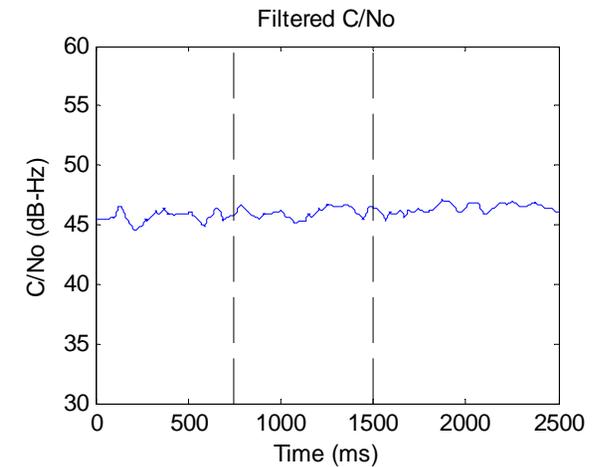
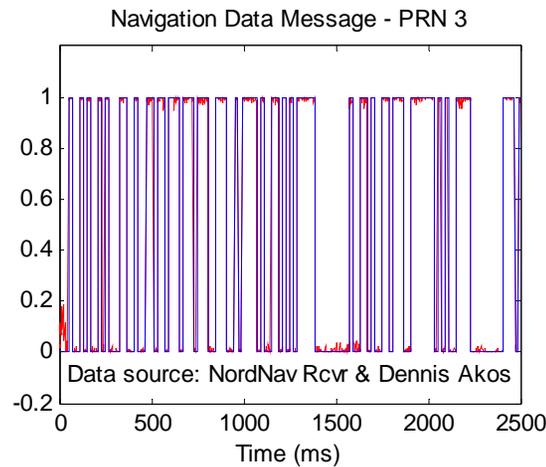
Solutions:

- Sample index for each tracked PRN aligns with 0 code phase
- Logic is used to control sample index over-run or under-run



# S/W Rcvr Verification: GPS C/A Code Tracking – Real Data

- All-in-view tracking of GPS C/A code signals
- Software receiver is a combination of in-house code and structures from Kai Borre of Aalborg University



Tracking mode transitions

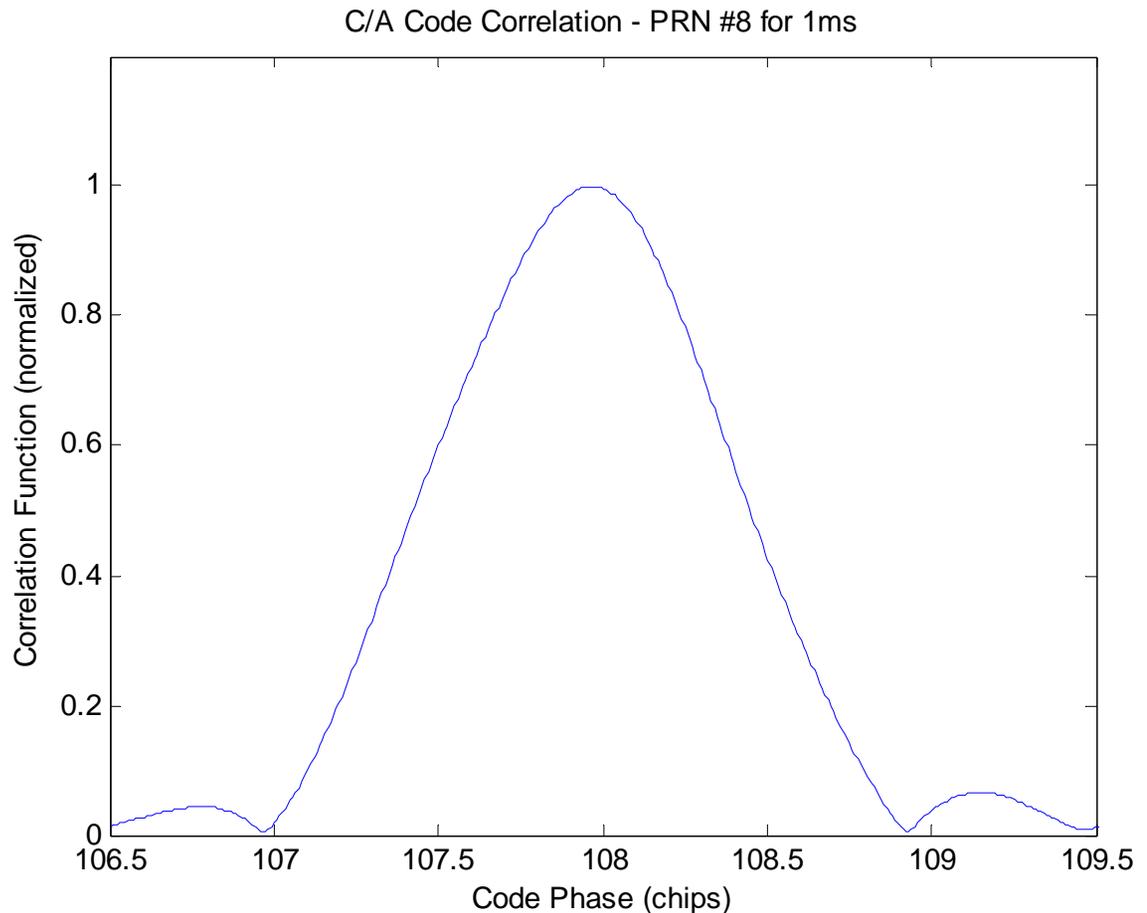


# S/W Rcvr Verification: C/A Code Acquisition – High-Gain Data

- Acquisition of GPS C/A code signal collected with SRI Dish

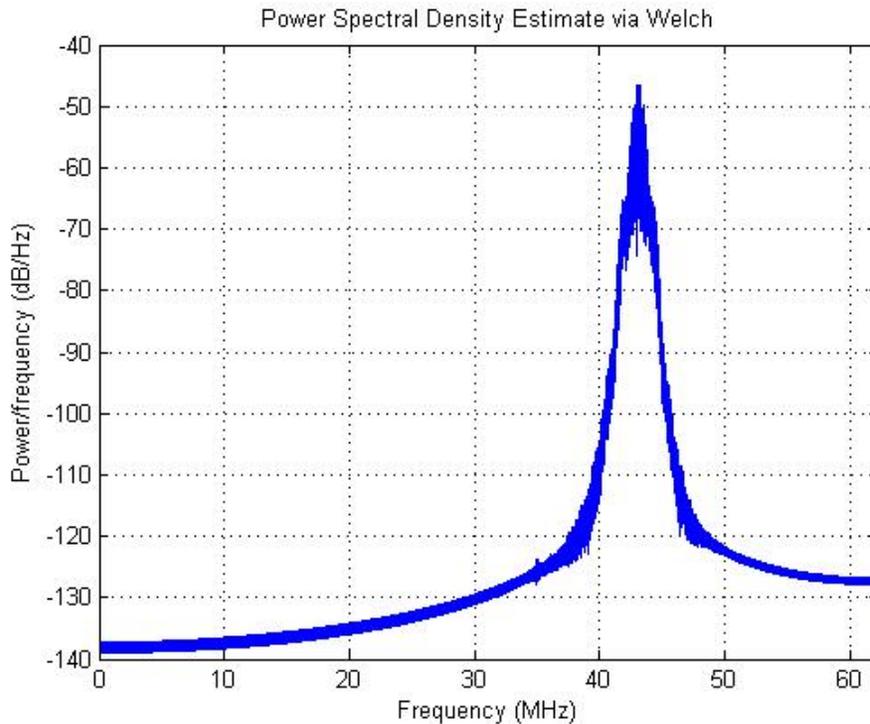
- $f_S = 124.5$  MHz
- $f_{IF} = 43.08$  MHz
- Data courtesy Grace Gao of the Stanford GPS Lab

Why is the correlation peak rounded?





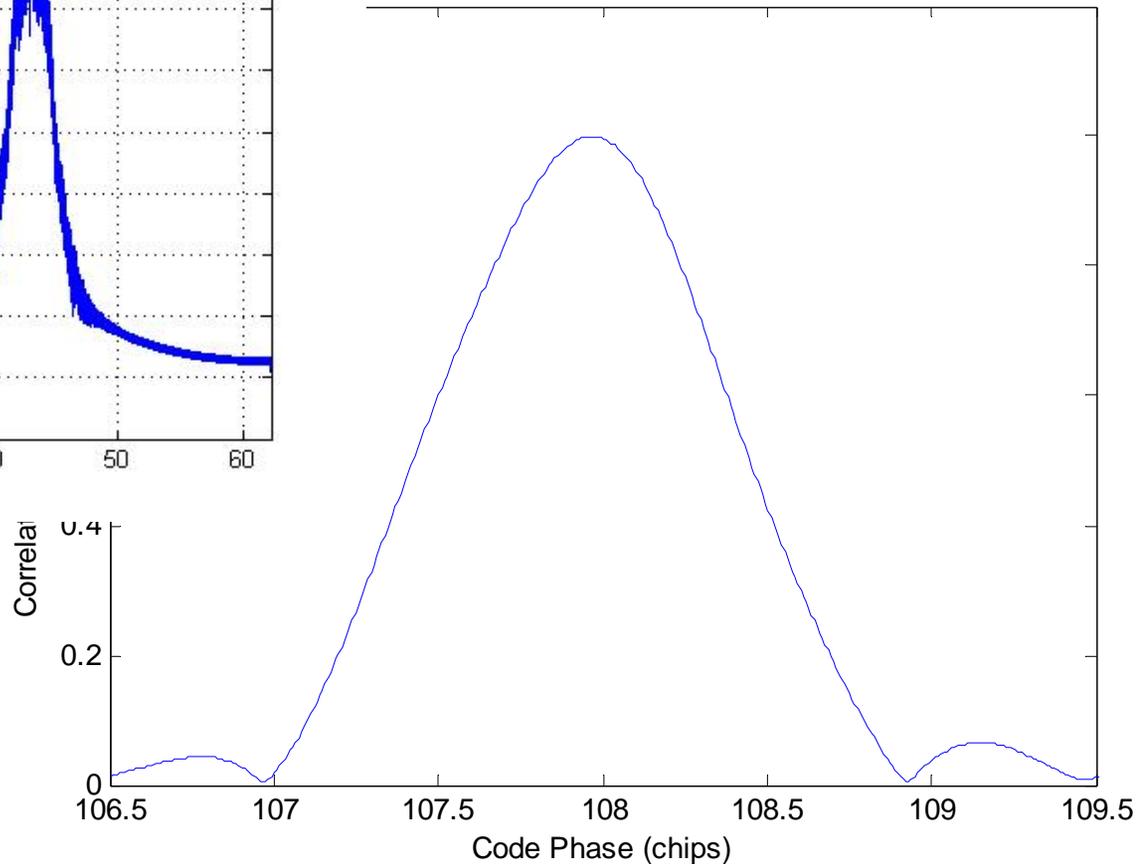
# S/W Rcvr Verification: C/A Code Acquisition – High-Gain Data



A band-pass filter was applied to the data before processing.

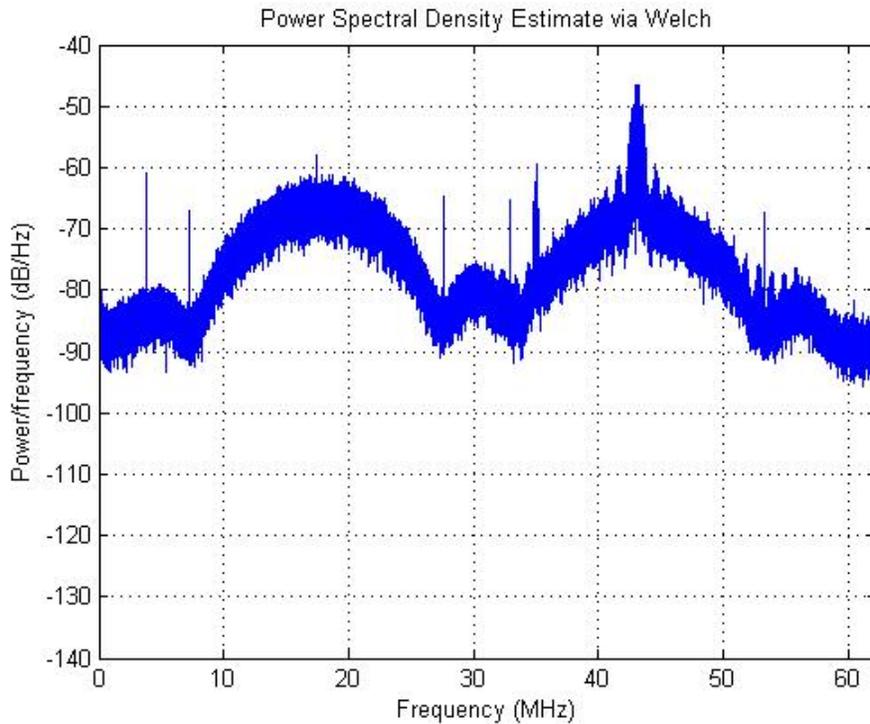
the signal collected with

C/A Code Correlation - PRN #8 for 1ms





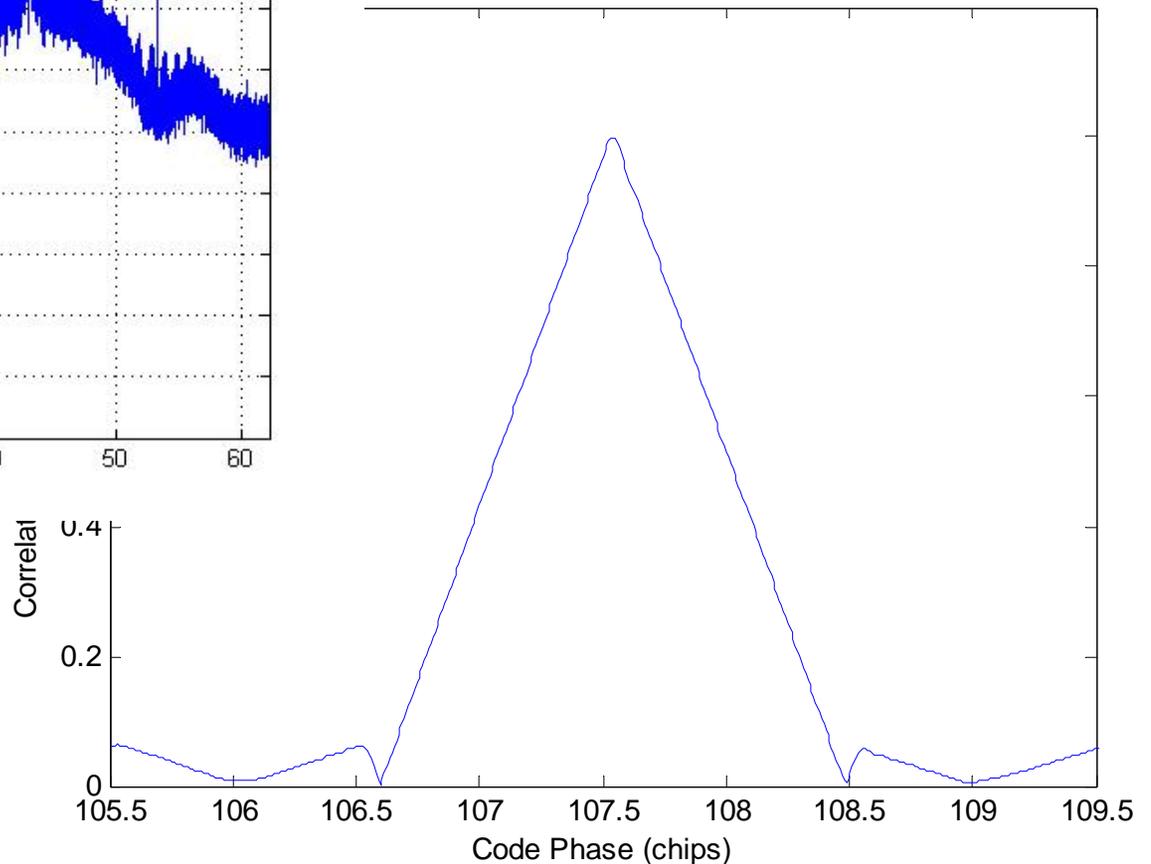
# S/W Rcvr Verification: C/A Code Acquisition – High-Gain Data



This is the correlation to unfiltered data.

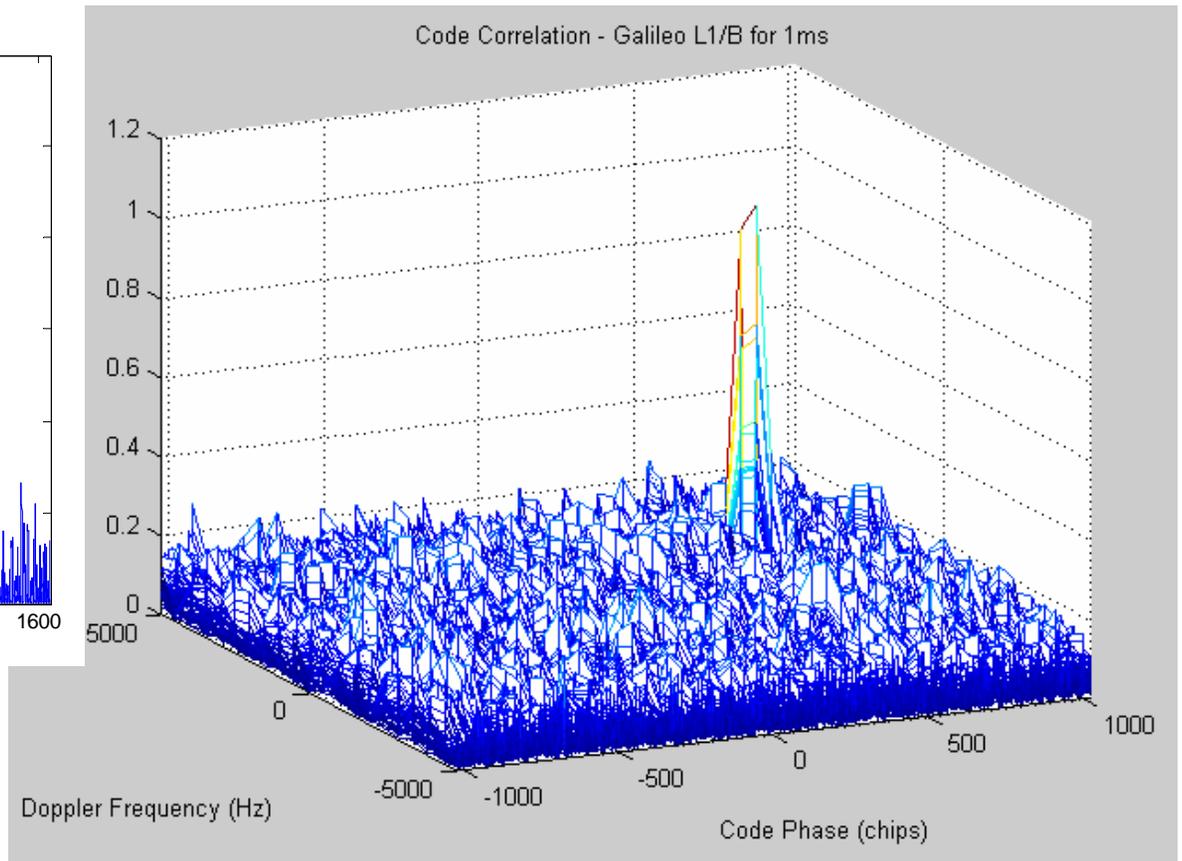
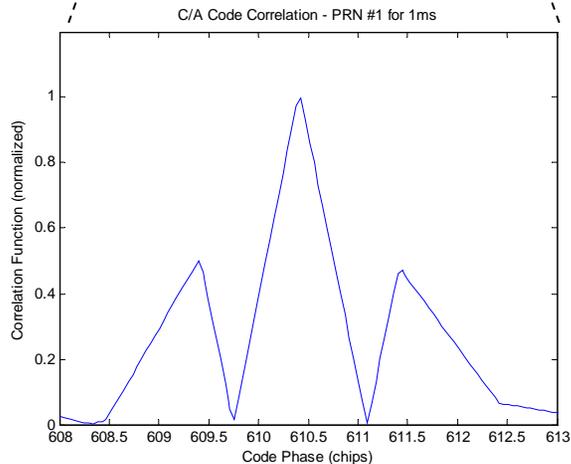
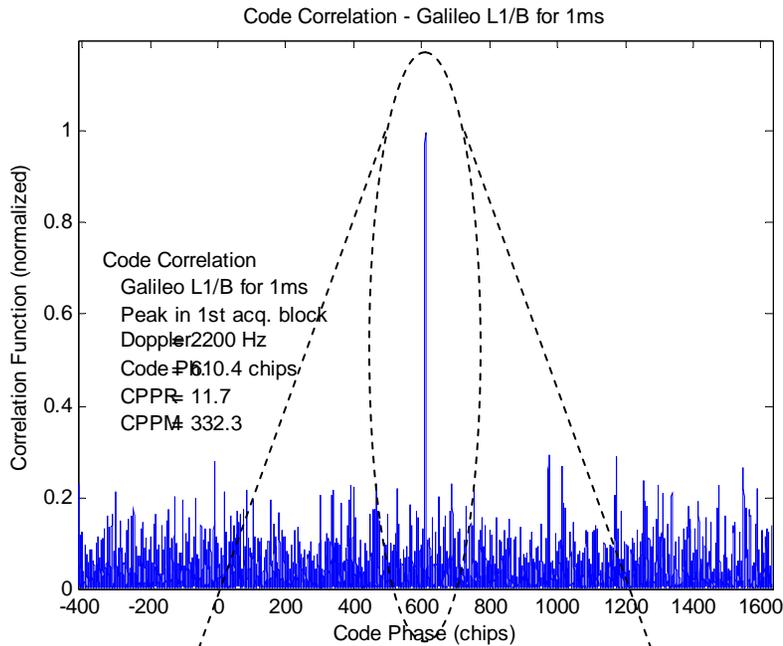
the signal collected with

C/A Code Correlation - PRN #8 for 1ms





# S/W Rcvr Verification: Galileo L1/B Code Acquisition – Real Data

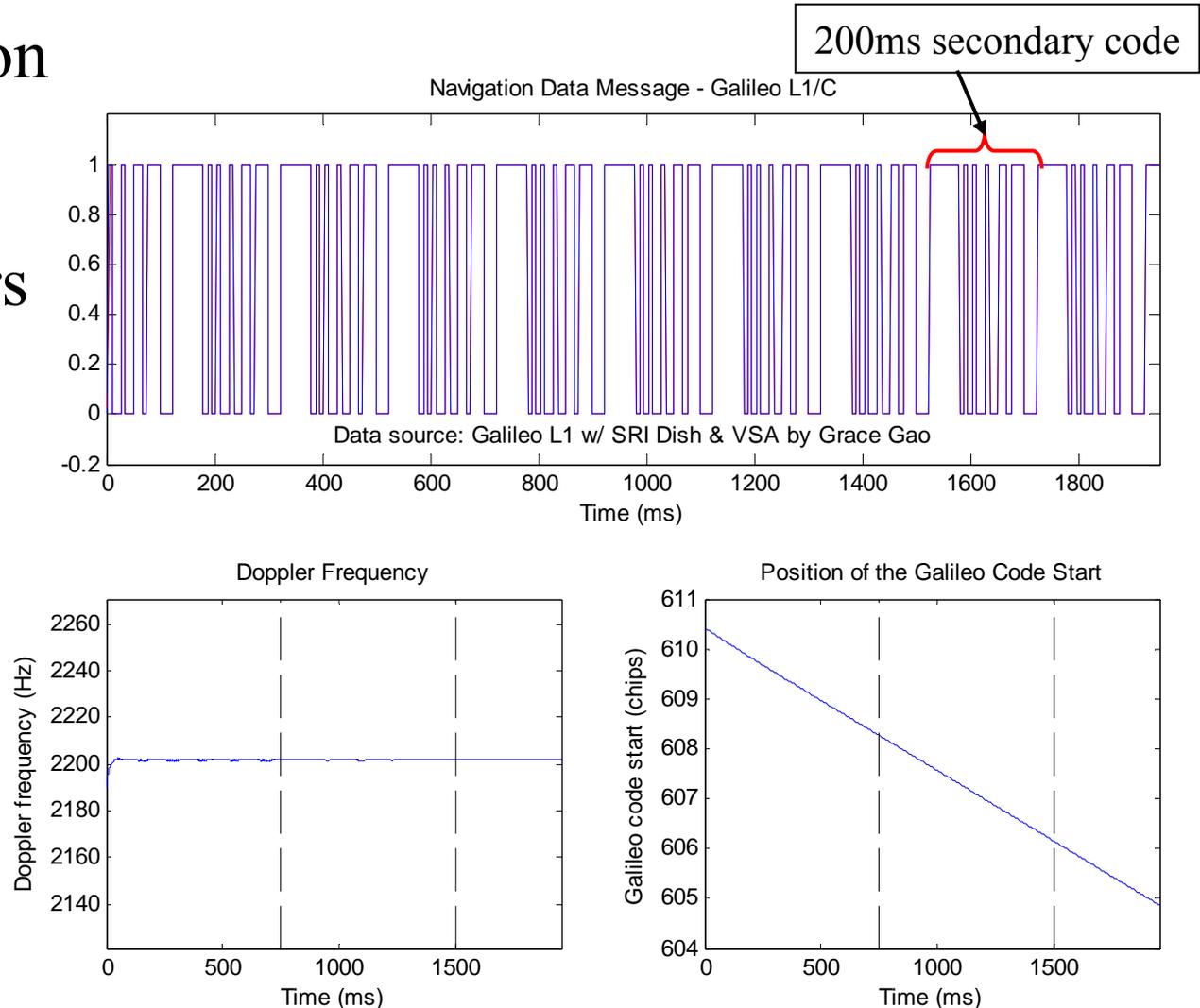


– Galileo L1-B/C and E6-B/C codes determined by Grace Gao of the Stanford GPS Lab



# S/W Rcvr Verification: Galileo L1/C Code Tracking – Real Data

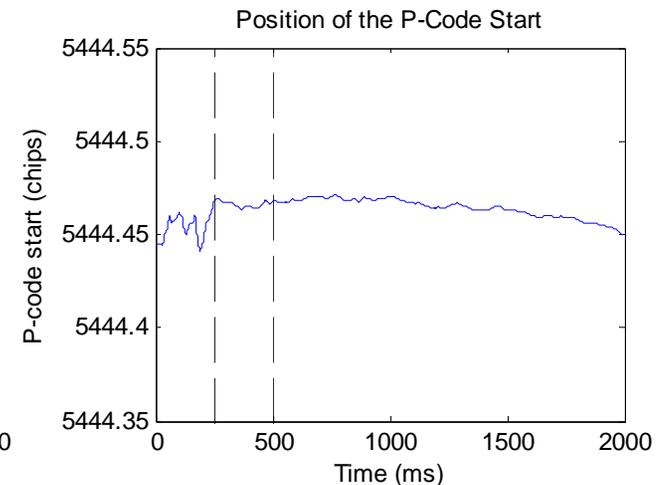
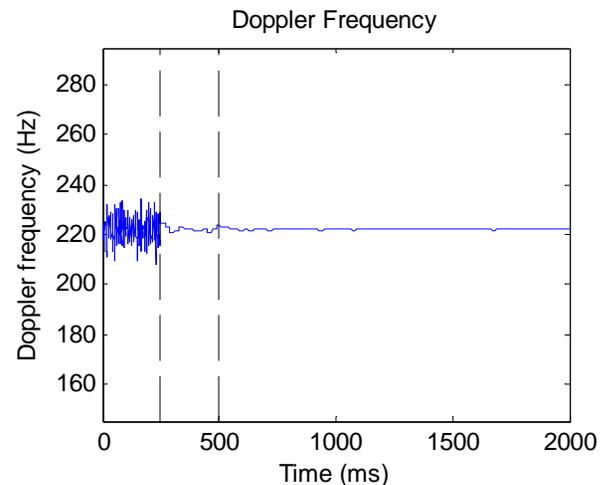
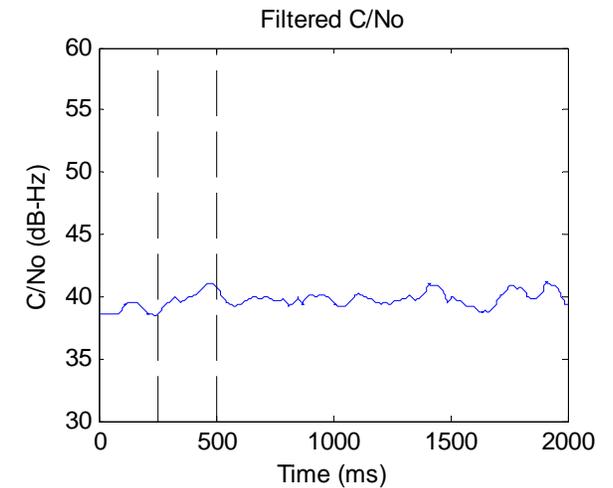
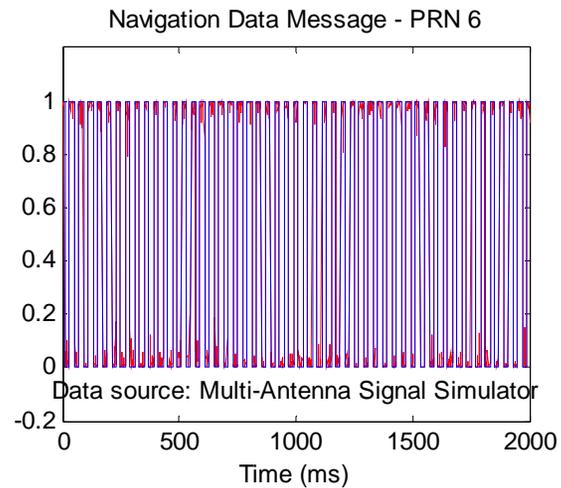
- Tracking of Galileo L1/C BOC(1,1) pilot signal
- No modification to s/w rcvr except new code generators
- Galileo L1-B/C and E6-B/C codes determined by Grace Gao of the Stanford GPS Lab





# S/W Rcvr Verification: GPS P-Code Tracking – Simulated Data

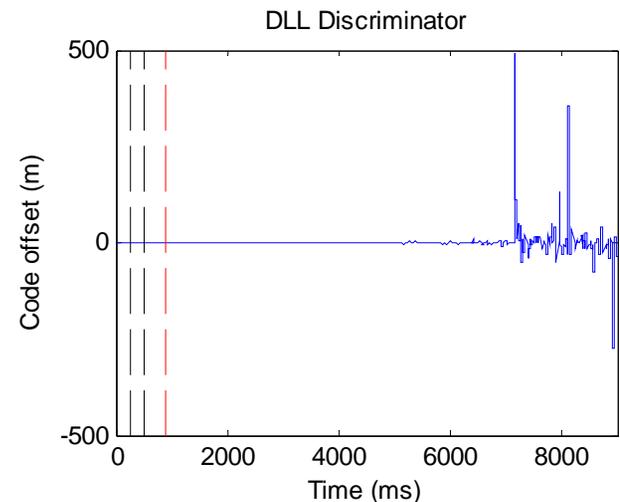
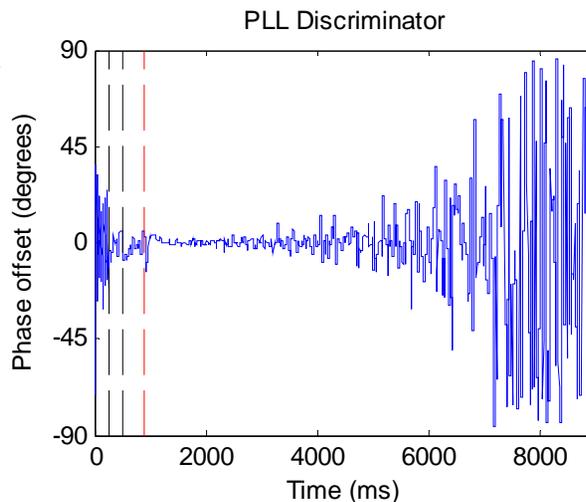
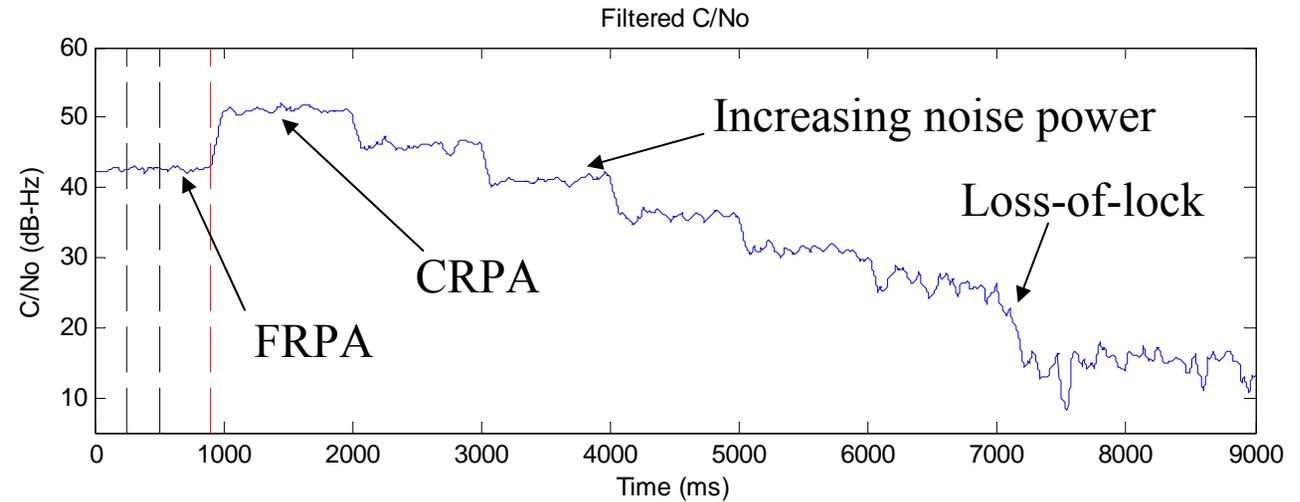
- GPS pseudo-P-code signals, 10.23 Mchips/sec
- Signals have sufficient BW to elucidate effects due to differential antenna gain and phase responses
  - $f_S = 80$  MHz
  - $f_{IF} = 20$  MHz





# S/W Rcvr Verification: CRPA Processing – Simulated Data

- 7-element CRPA with gradually decreasing C/No
- No special receiver processing for low C/No
- Loss-of-lock below C/No of  $\sim 25$  dB-Hz

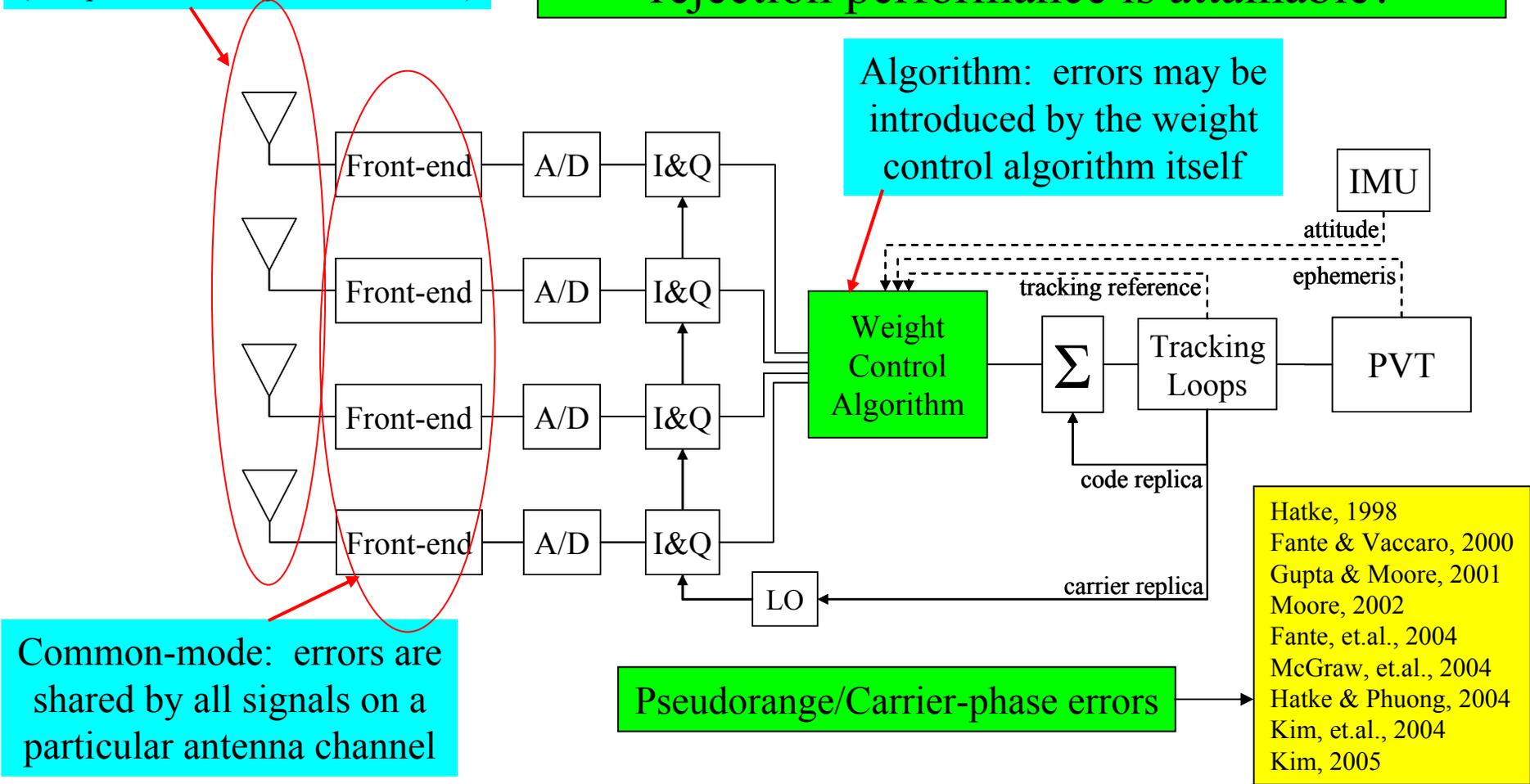




# Navigation Errors due to Multi-Antenna Arrays

Non-common-mode: errors are a function of satellite #  
→ az/el-dependent  
(also present for single-antenna GPS)

Do errors introduce a pseudorange or carrier-phase bias? What RFI or noise rejection performance is attainable?



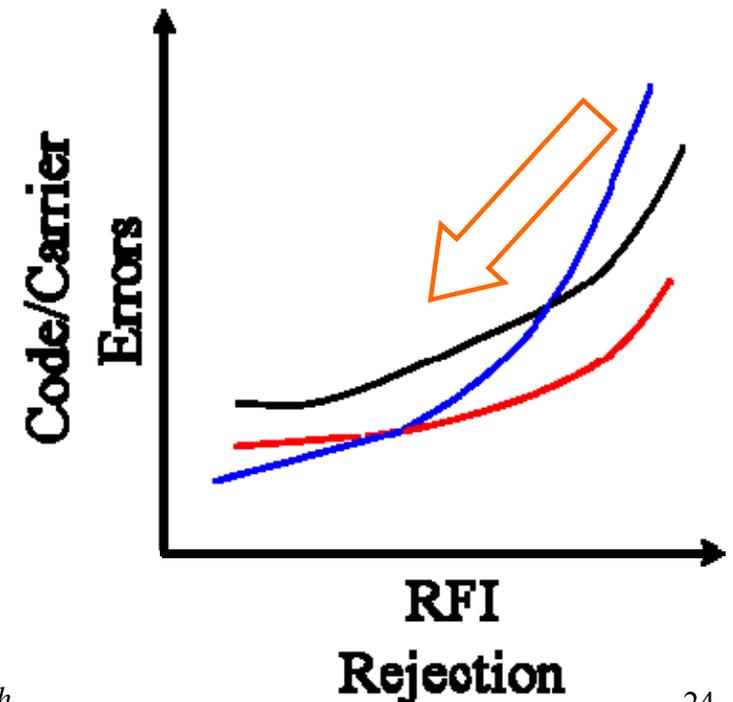
Common-mode: errors are shared by all signals on a particular antenna channel



# Methodology – Biases vs. RFI Rejection

- Develop adaptive algorithms that balance noise/RFI rejection against pseudorange & carrier-phase errors – through constraints on weight adjustment
  - End-to-end: from signal reception through the receiver tracking loops to the pseudorange and carrier phase estimates

Goal: Through temporal & spatial constraints on a STAP algorithm, move down & left in the trade space, to a point where deterministic pseudorange & carrier phase corrections based on signal LOS can be applied.

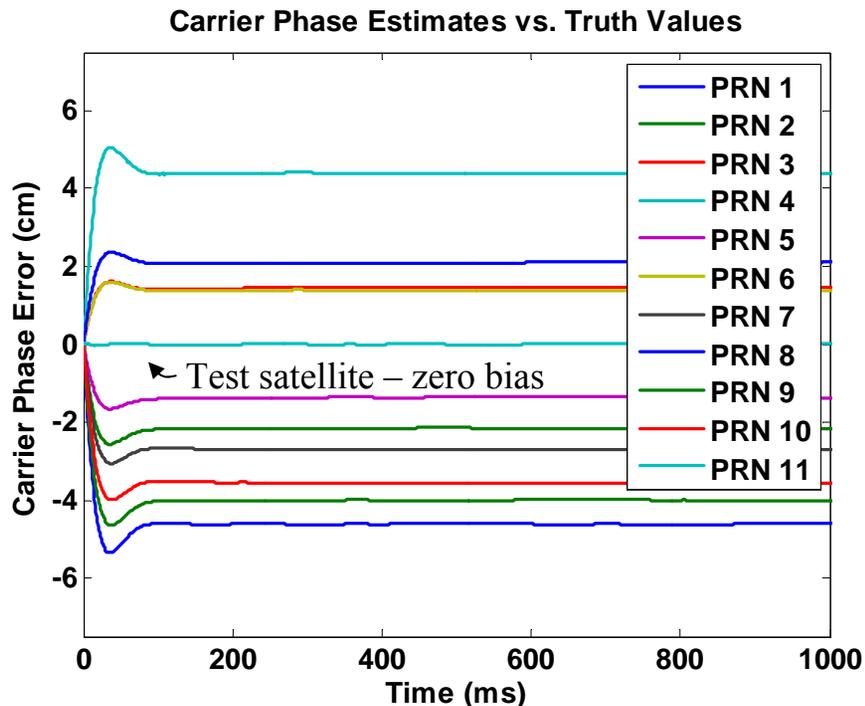




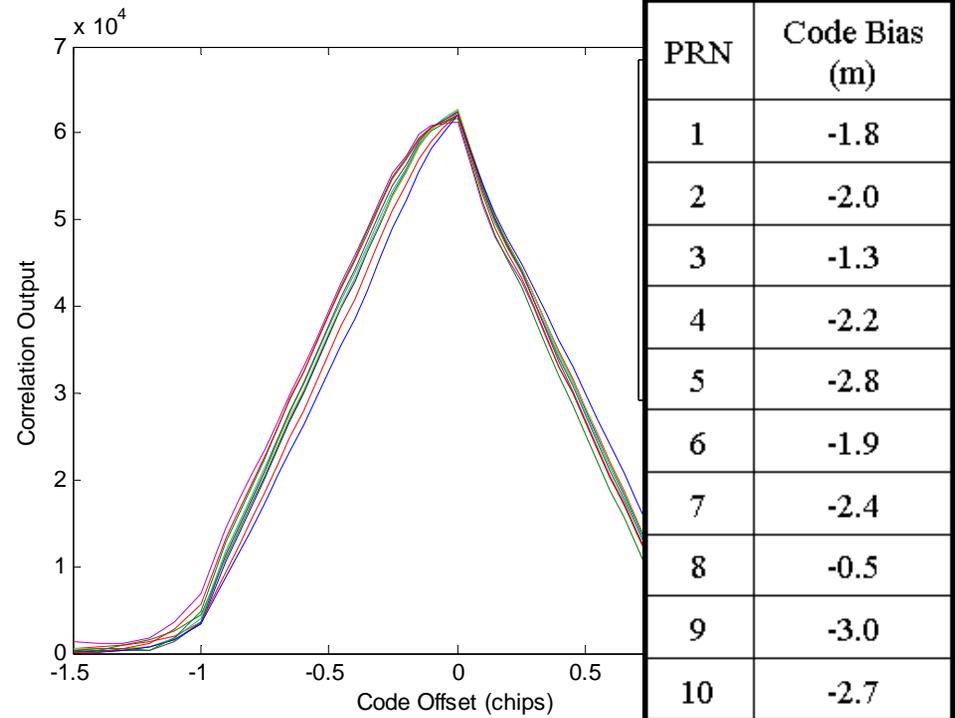
# Preliminary Results: GPS P-Code w/ Antenna Distortion

- Simulated data and complete visibility of tracking output allow comparison between predicted and true pseudorange and carrier phase values

Carrier phase biases



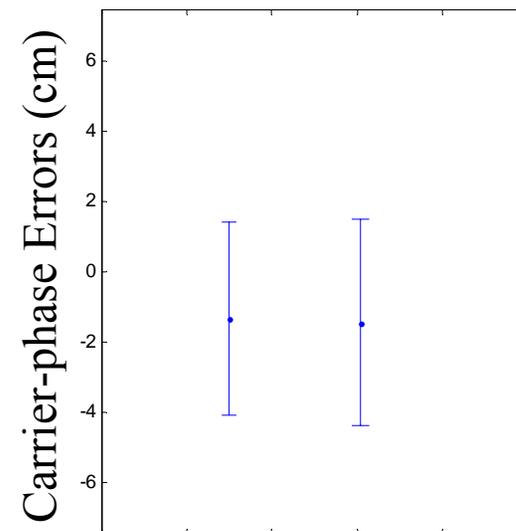
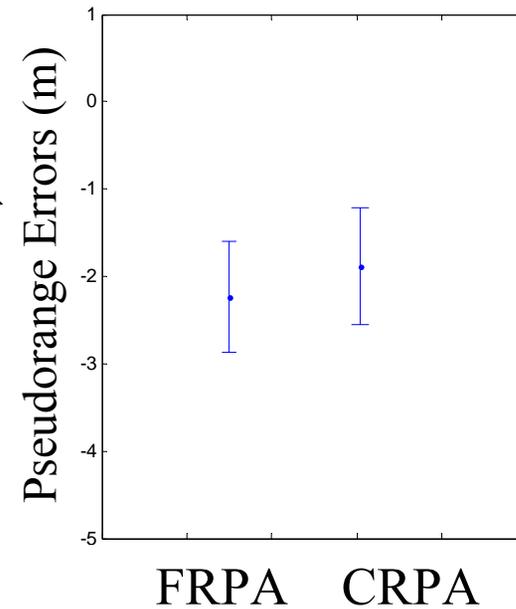
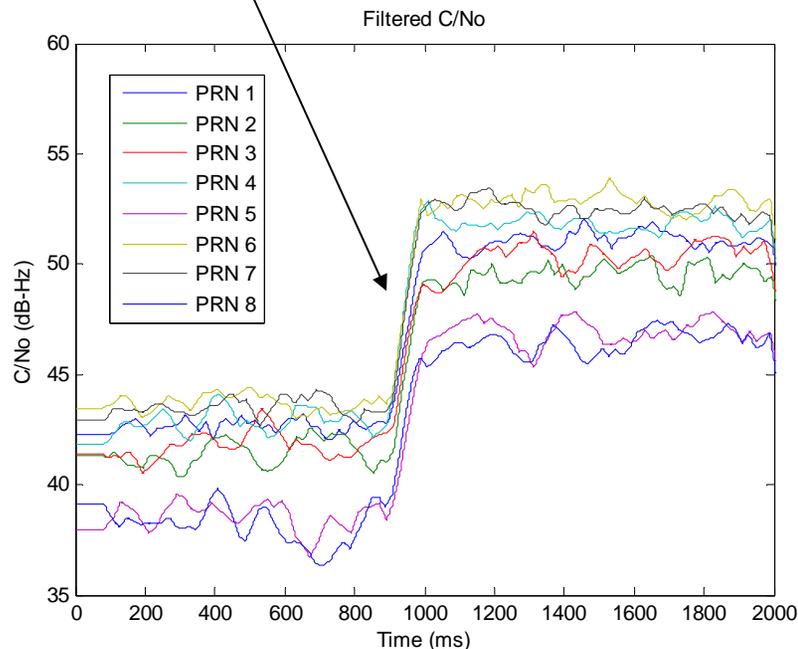
Pseudorange bias – distortion of P-code correlation peak





# Preliminary Results: FRPA vs. CRPA Processing – no RFI

- Code/carrier errors are not affected by deterministic array processing
- C/No enjoys healthy boost under these conditions





# Future Work – Exploring the Trade Space

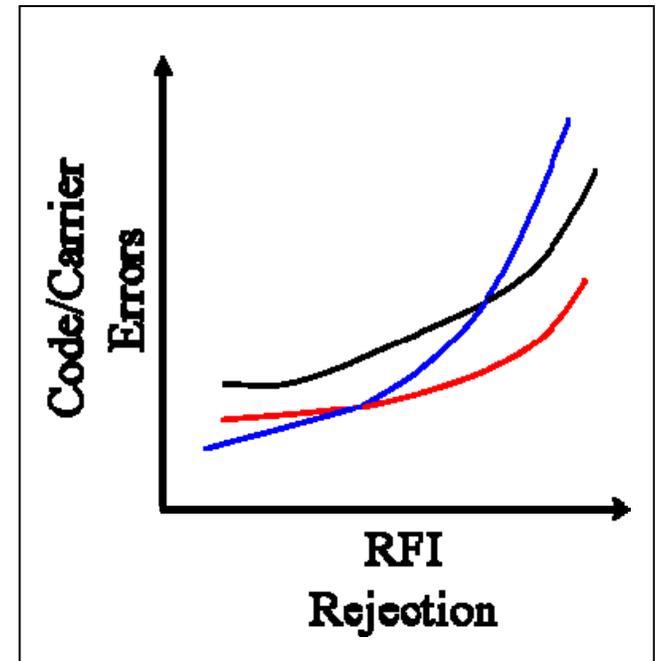
1. Simulate signals with desired characteristics (C/No, J/S ratio, antenna distortion, etc.)
2. Track with Software Receiver (FRPA, CRPA, LMS, Applebaum processing)
3. Characterize C/No vs. pseudorange and carrier phase biases for various scenarios
4. Apply compensation schemes

	FRPA	CRPA	LMS	Applebaum
J/S level				
C/No				
Distortion				
Temporal Constraints				
Spatial Constraints				



# Conclusions

- Signal simulator captures parameters of interest
  - Broadband RFI, antenna gain/phase variation based on detailed characterization models
- Software receiver supports adaptive algorithms and estimation of pseudorange & carrier phase errors
- Methodology is yielding data about tradeoffs between RFI rejection and code/carrier estimation errors
- Tools will support implementation and testing of antenna compensation schemes



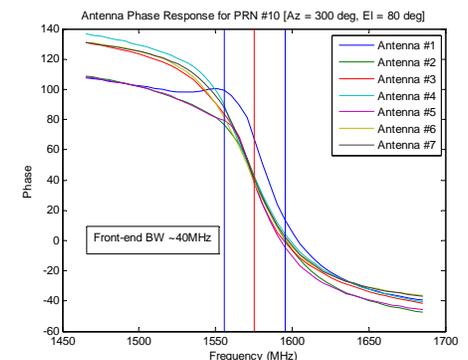
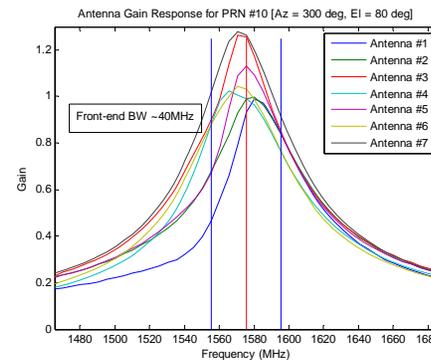
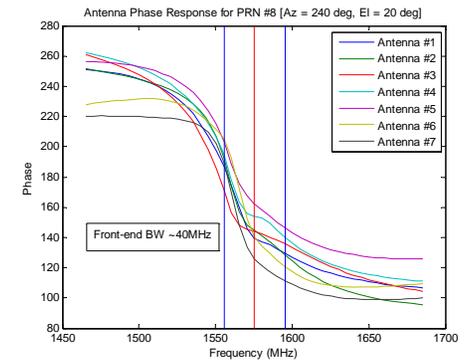
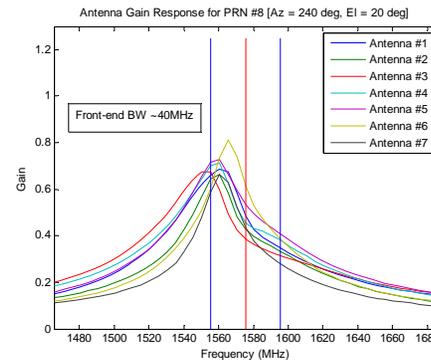
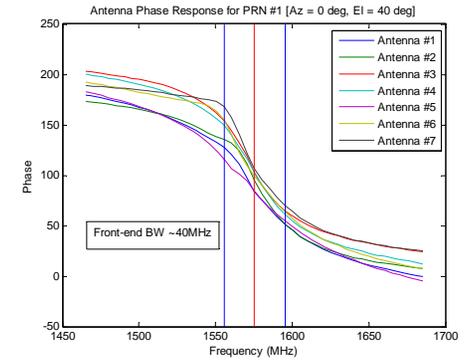
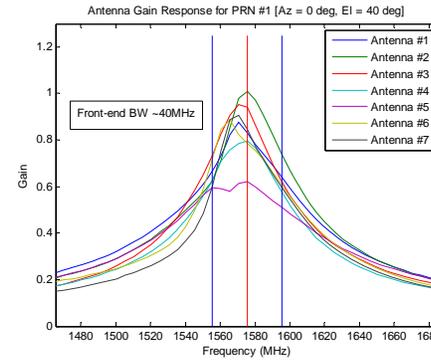
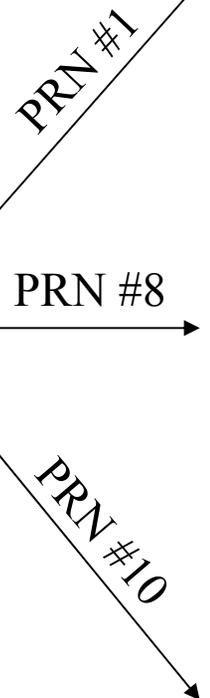
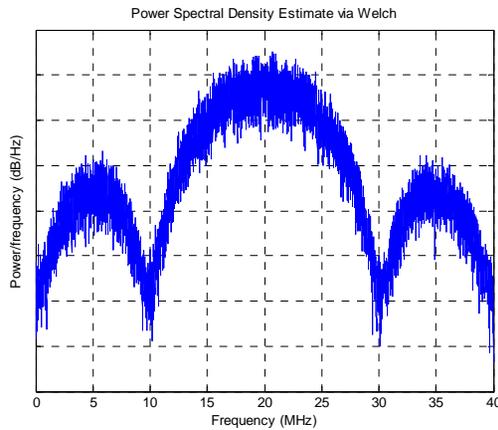


# Backup



# Antenna Distortion Effects (2)

- Gain/phase distortion data available from HFSS simulation
  - Verified by comparison to anechoic chamber testing
  - Data courtesy Ung-Suok Kim



- Fourier transform of complex signal multiplied by antenna response characteristics
  - Gain/phase data re-centered at IF
- Inverse Fourier transform back to time domain
- Real-valued samples stored to disk



# Wideband Jammer Signal Generation at IF

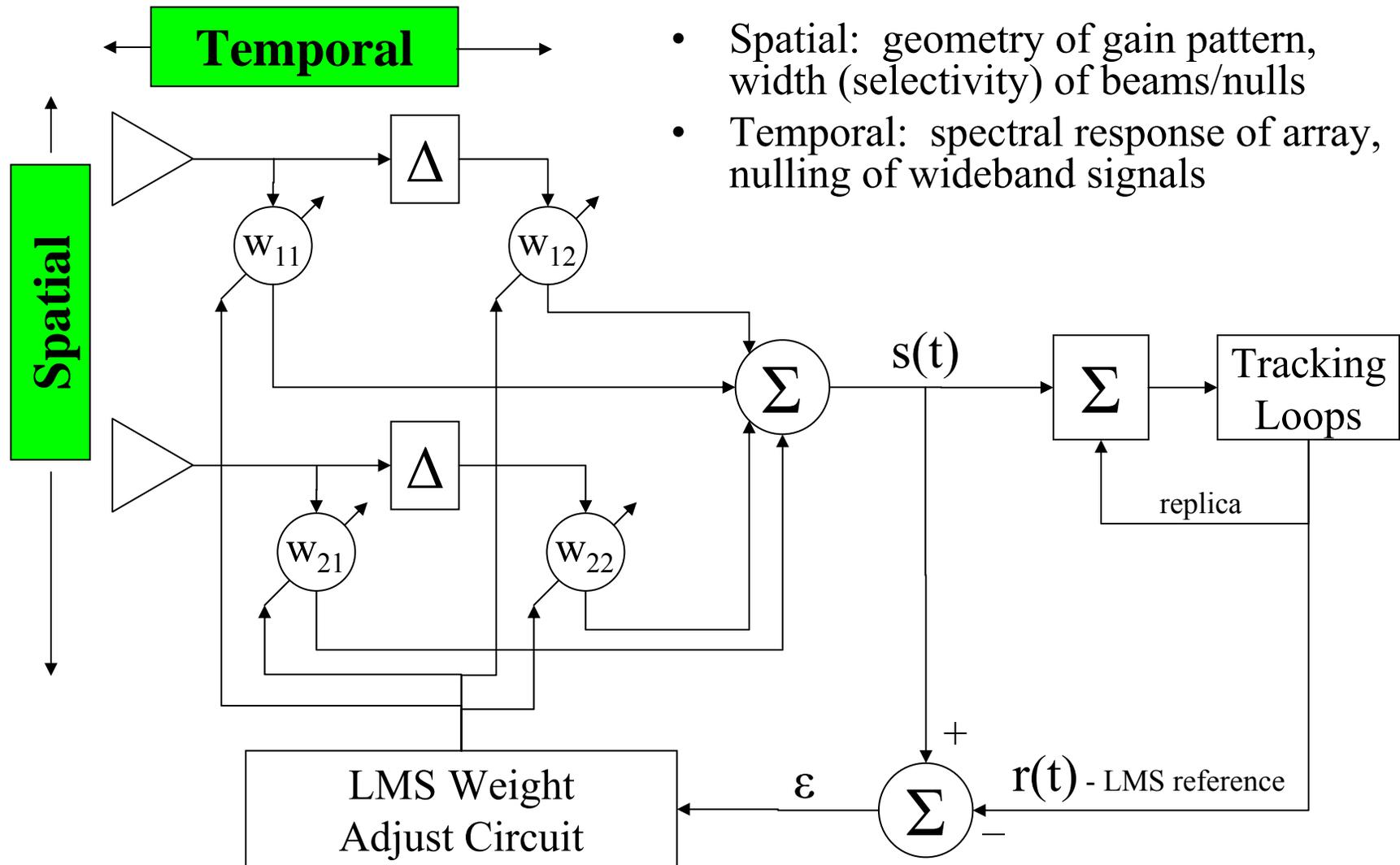
- For each jammer – six swept sinusoids cover frequency band from  $\sim 0$  Hz to  $\sim f_s/2$ 
  - Pseudo-random center frequencies
  - For  $f_s = 80$  MHz and  $f_{IF} = 20$  MHz, each wave covers 10 MHz
- Amplitude scaled as needed to achieve desired J/S ratio



$$\sqrt{2P_J} \cdot \sum_i \cos \left[ 2\pi (f_{IF} + f_{J_i}) t + f'_J (t + \Delta t)^2 + 2\pi (f_{L1} + f_{J_i}) \Delta t \right]$$



# Spatial & Temporal D.O.F

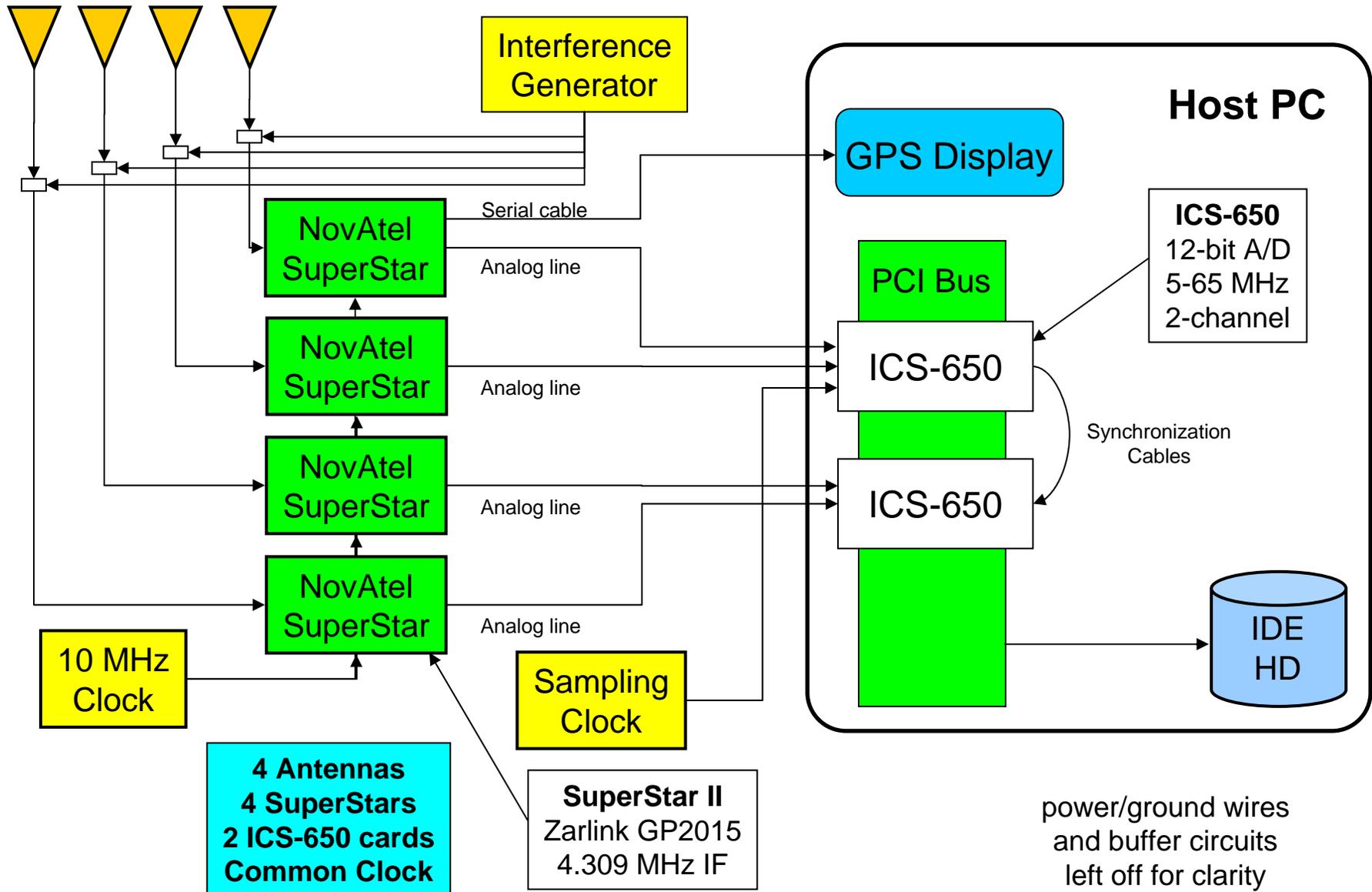


- Spatial: geometry of gain pattern, width (selectivity) of beams/nulls
- Temporal: spectral response of array, nulling of wideband signals

from Widrow & Stearns, *Adaptive Signal Processing* (1985)

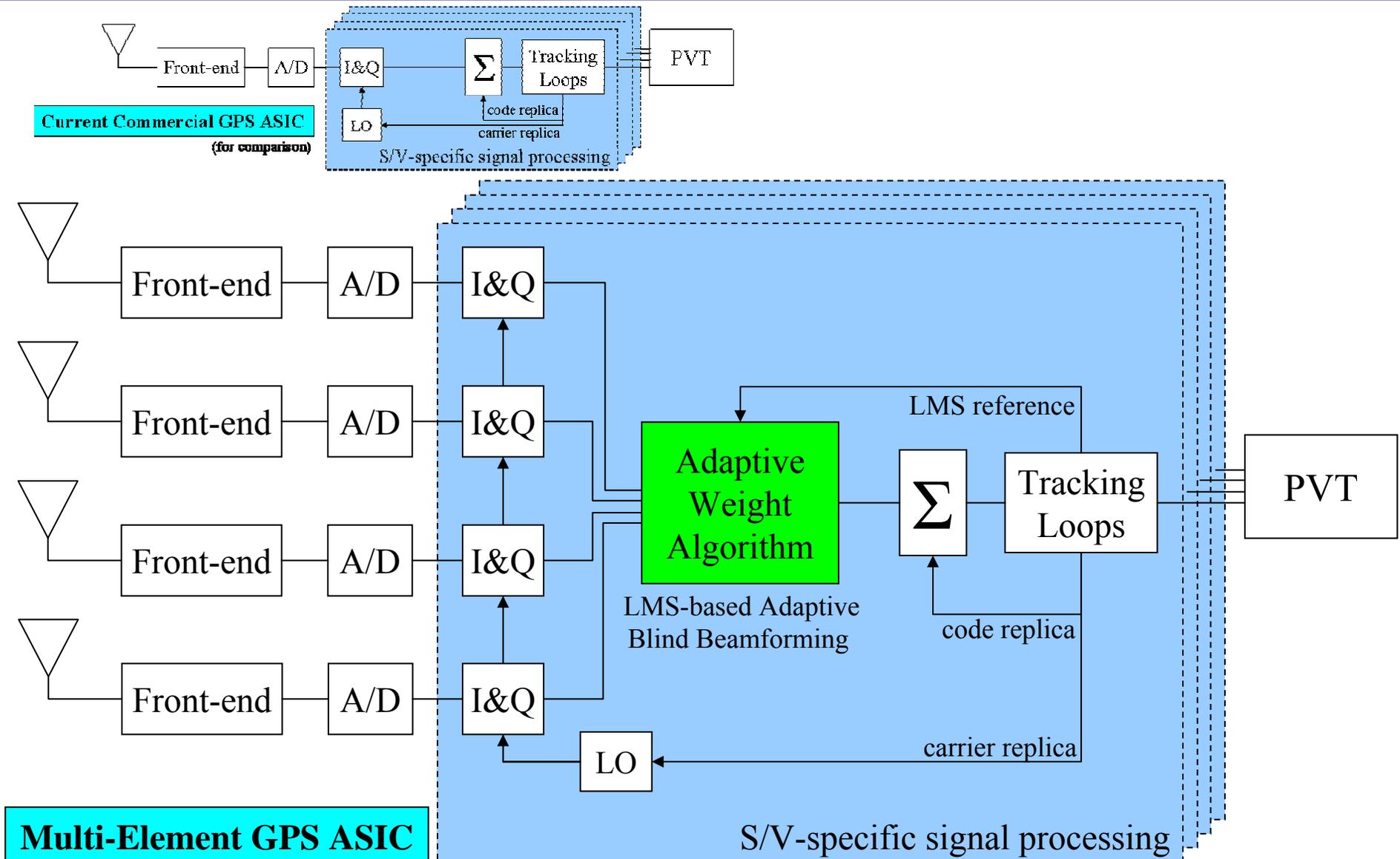


# 4-Channel Test Hardware – Schematic



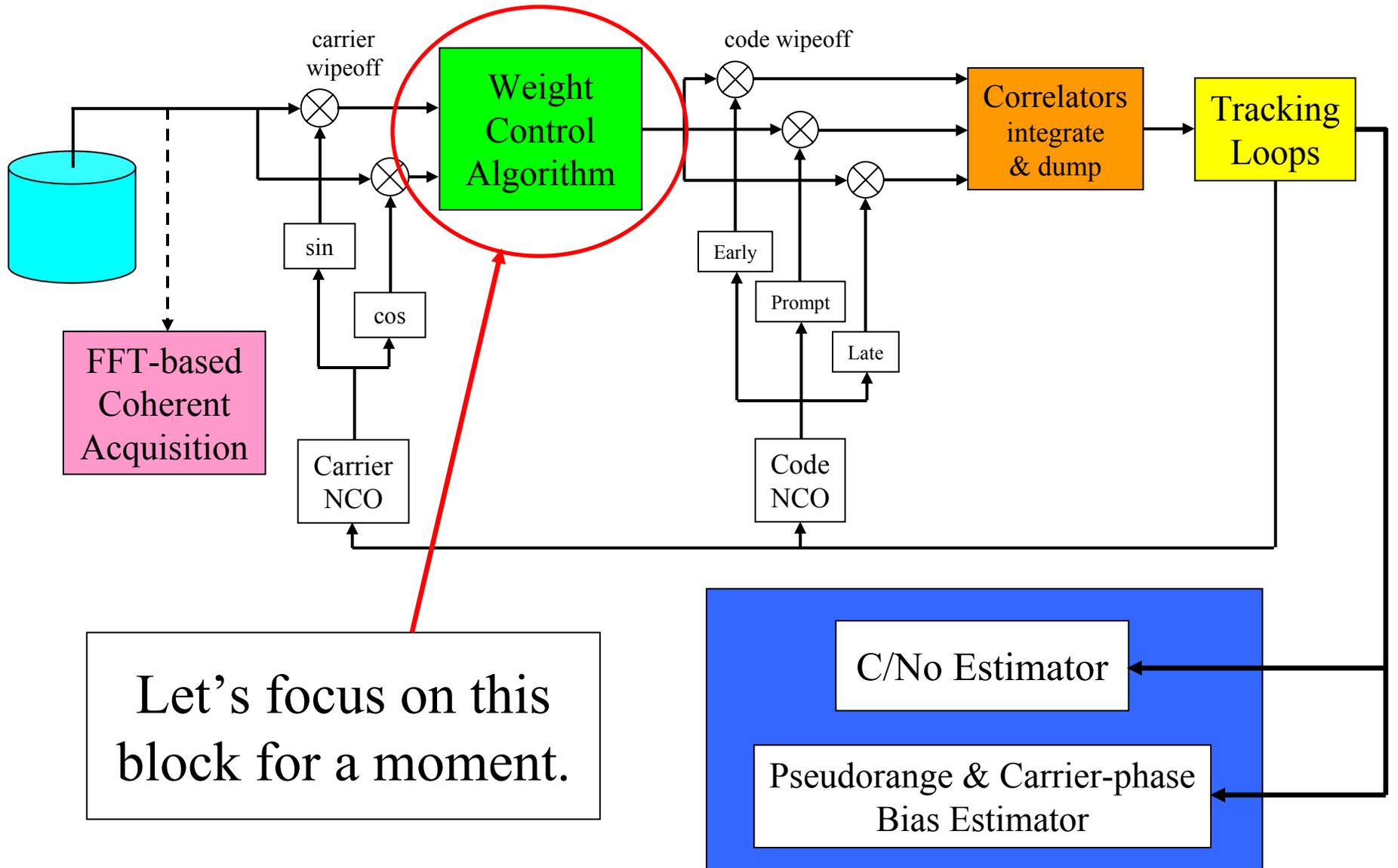


# Proposed 1<sup>st</sup>-gen Hardware Implementation





# Software Receiver Block Diagram

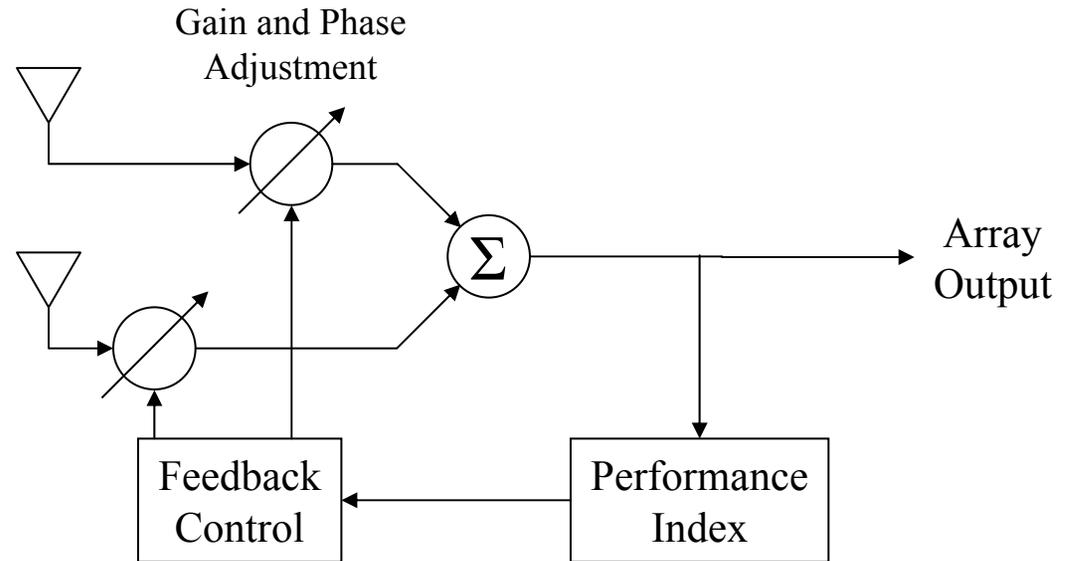
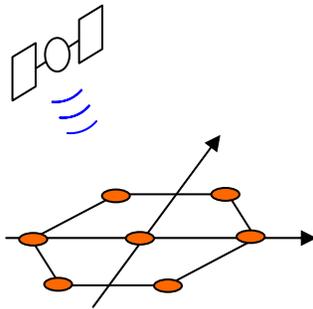


Let's focus on this block for a moment.



# Generic Adaptive Antenna Array

- Using feedback to optimize some performance index



from Compton, Adaptive Antennas (1988)

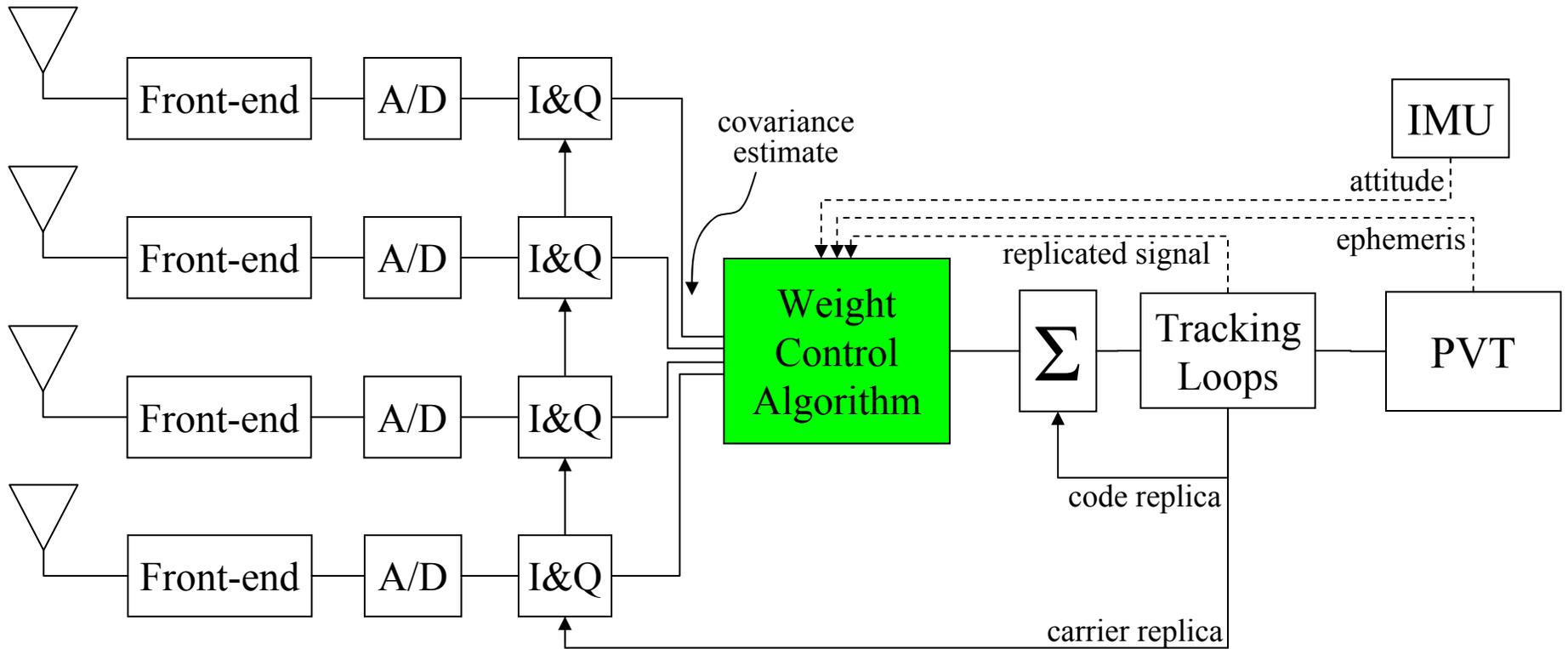
## Optimization Criteria

Maximize SINR at the array output  
(e.g., Applebaum, 1976; Frost, 1972)

Minimize MSE between the actual  
array output and the ideal array output  
(e.g., Widrow, et. al., 1967)

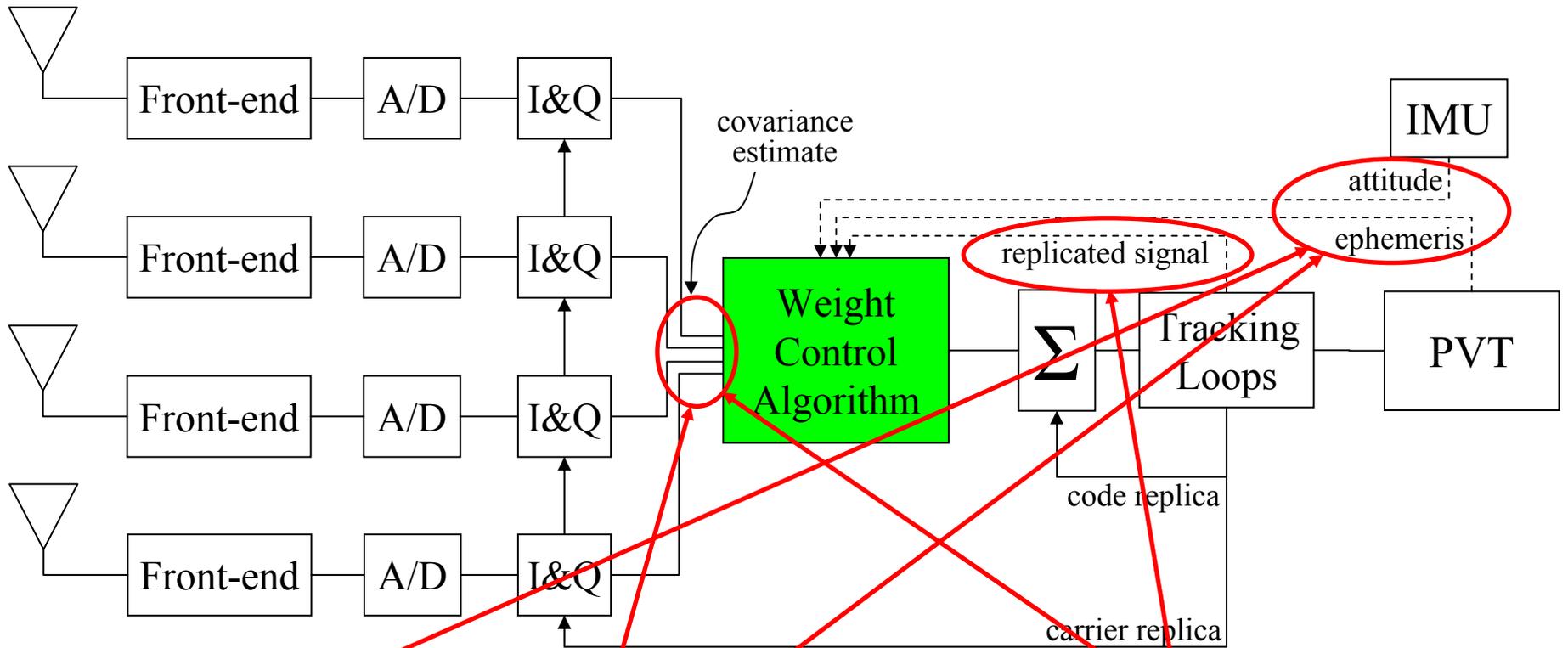


# Adaptive Array Processing for GPS





# Adaptive Array Processing for GPS



Deterministic CRPA: signal arrival and platform/array orientation

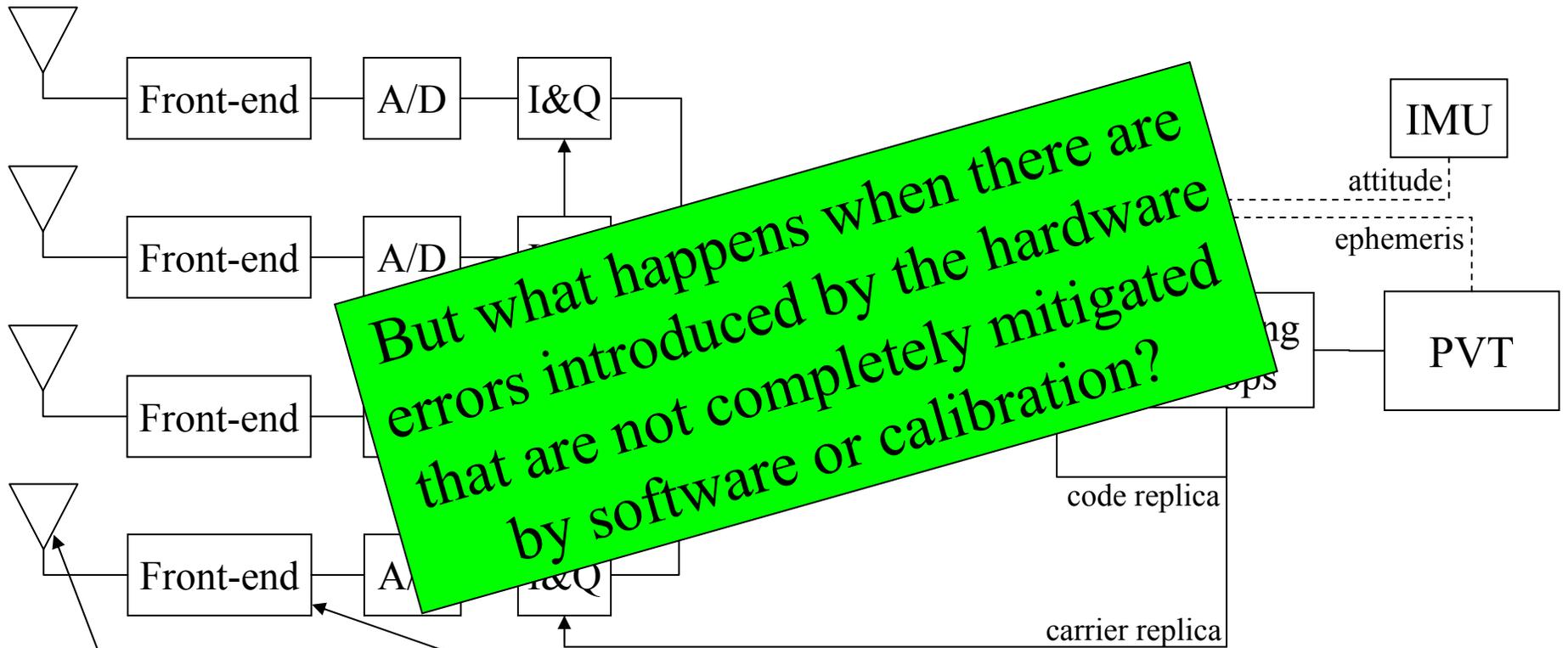
Adaptive w/ minimum MSE, e.g. Widrow LMS: ideal array output reference signal and SINR metric  
e.g., Gecan & Zoltowski, 1995

Adaptive w/ maximum SINR, e.g. Applebaum: signal arrival, platform/array orientation, and SINR metric

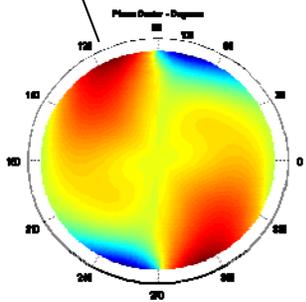
e.g., Hatke, 1998; Nicholson, et al., 1998; Fante & Vaccaro, 2000; Gupta & Moore, 2001; Hatke & Phuong, 2004; Fante, et al., 2004



# Adaptive Array Processing for GPS



But what happens when there are errors introduced by the hardware that are not completely mitigated by software or calibration?



Antenna phase-center and group delay effects

