

Uncertainty-Aware Spatiotemporal Perception for Autonomous Vehicles

THESIS DEFENSE

Masha Itkina

February 7th, 2022

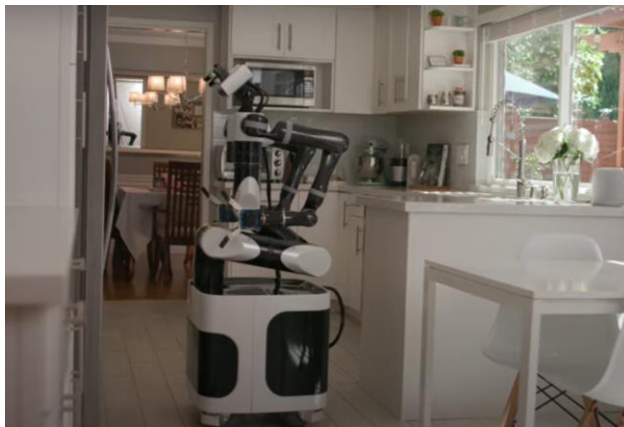
Robots are here!



Waymo
TechCrunch, 2020.



Boston Dynamics
The Verge, 2020.



Toyota Research Institute [3]
The Robot Report, 2020.

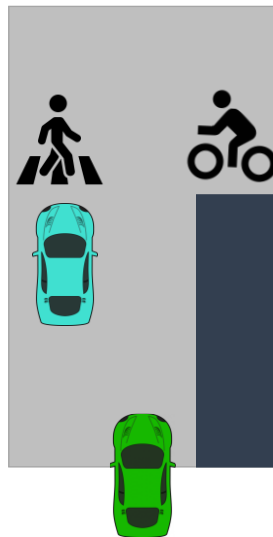
But navigating human environments is still difficult!



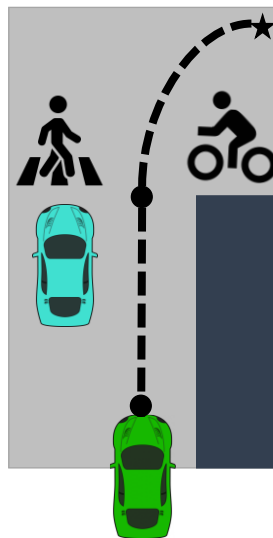
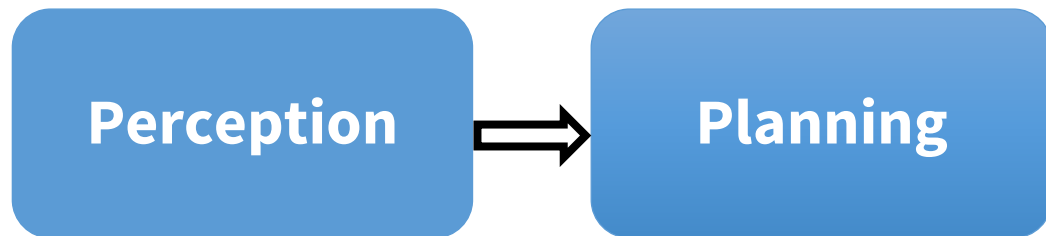
[Credit: <https://www.youtube.com/watch?v=gkbmUI0MsDM>]

Robot Autonomy Stack for Autonomous Vehicles (AVs)

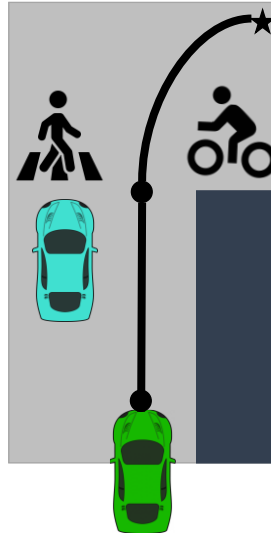
Perception



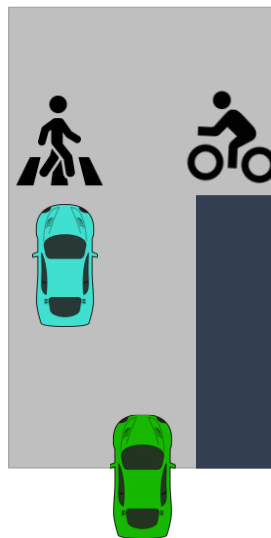
Robot Autonomy Stack for Autonomous Vehicles (AVs)



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Robot Autonomy Stack for Autonomous Vehicles (AVs)



Key Insight

*We can extend AV perception capabilities **beyond on-board sensors** by making **uncertainty-aware inferences in time and space** from observed **human behaviors**.*

Perception Challenges

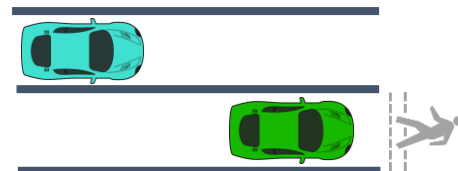


Temporal predictions in cluttered spaces

Perception Challenges



Temporal predictions in cluttered spaces

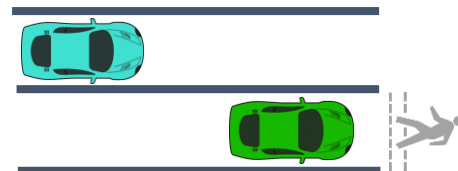


Spatial occlusions

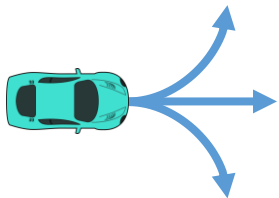
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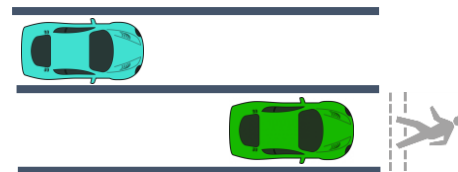


Multimodality in trajectory predictions

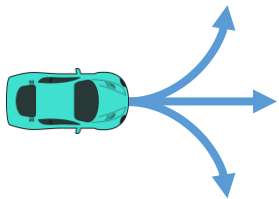
Perception Challenges



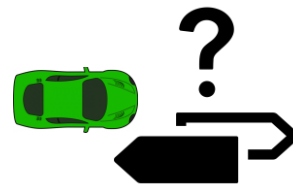
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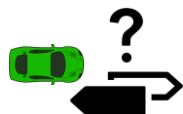
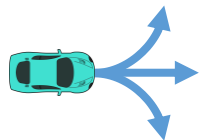
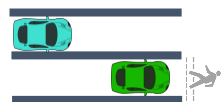
Previously unseen scenarios

Contributions



Predict the spatiotemporal environment end-to-end from a map-centric (i.e., occupancy grid) representation of the world using recurrent representation learning.

[ITSC 2019*, IROS 2021, ICRA 2021]



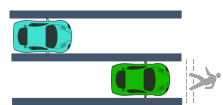
* M. Itkina is the first author. Otherwise second author.

Contributions



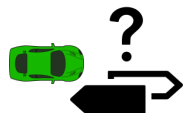
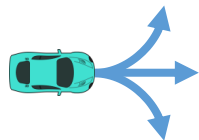
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Infer occluded occupancy in multi-agent settings by modeling observed human behaviors as sensor measurements for the environment occupancy.

[ICRA 2022*]



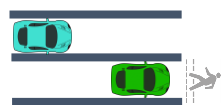
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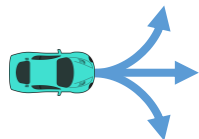
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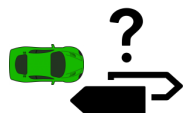
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Sparsify the latent sample space in deep generative models for trajectory prediction, while maintaining multimodality, using evidential theory.

[NeurIPS 2020*, NeurIPS 2021]



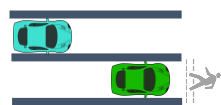
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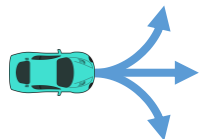
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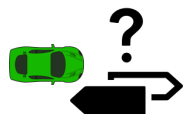
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Model epistemic uncertainty for trajectory prediction using deep generative models and second-order distributions over low-dimensional, interpretable latent variables.

[In Progress*, ITSC 2021]

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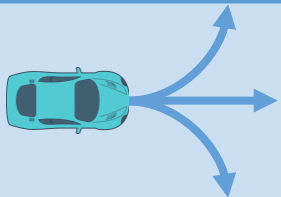


Environment prediction in cluttered scenes
[ITSC 2019*, IROS 2021, ICRA 2021]

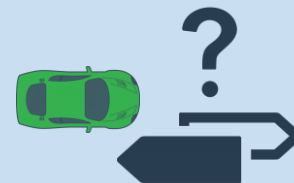


Occluded occupancy inference using
people as sensors [ICRA 2022*]

Spatiotemporal perception in human environments



Sparse, multimodal distributions for trajectory
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Epistemic uncertainty estimation for
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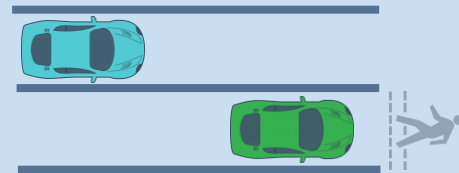
Uncertainty-aware machine learning models for trajectory prediction

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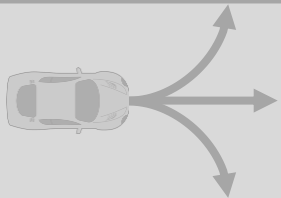


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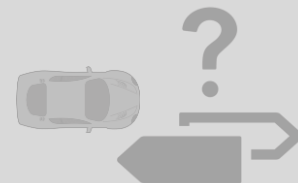


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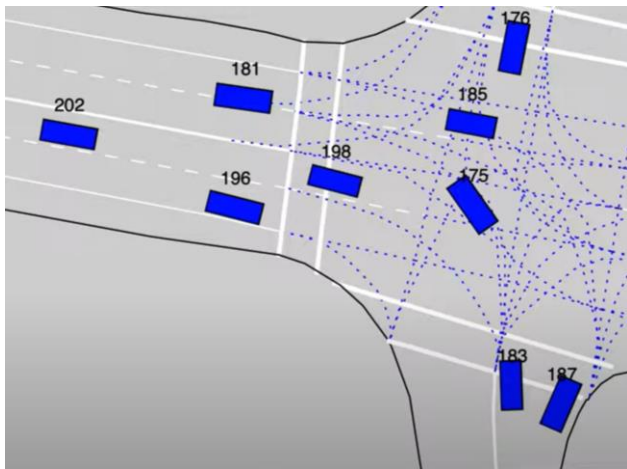
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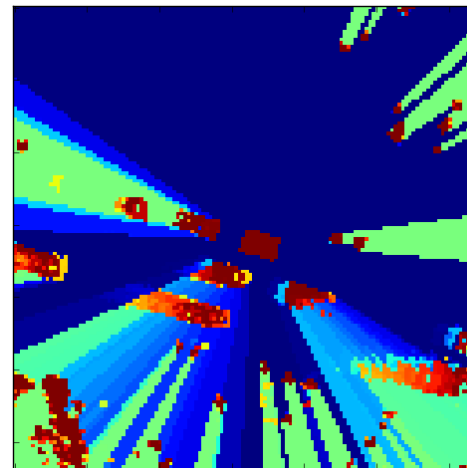
Environment Representations in Robotics

Object-centric (continuous):
e.g., Landmark approaches



Data from the INTERACTION Dataset.

Map-centric (discrete):
e.g., Occupancy grid maps (OGMs)



Data from the KITTI Dataset.

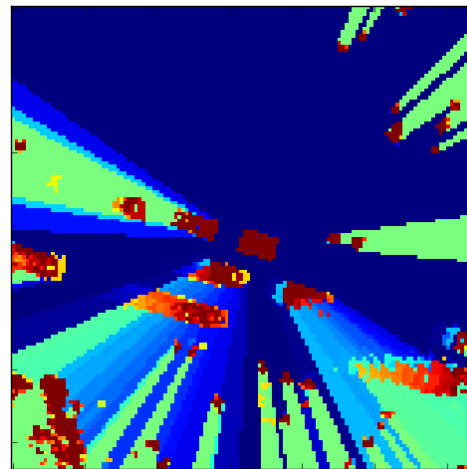
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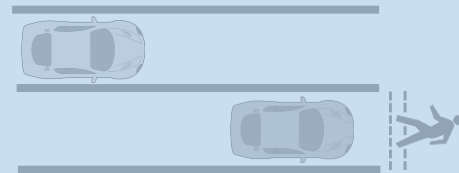


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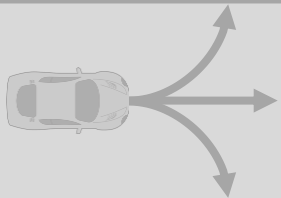


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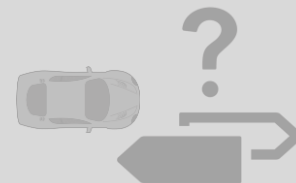


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Uncertainty-aware machine learning models

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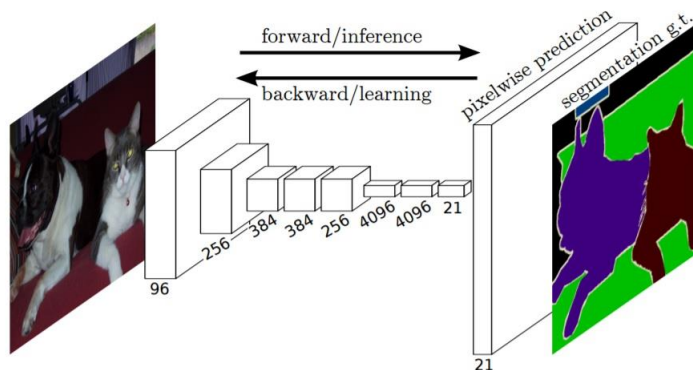
End-to-End Spatiotemporal Environment Prediction in Cluttered Urban Scenes

Research question:

*Can we use OGMs to generate **environment state predictions** end-to-end without additional dynamic information?*

Computer Vision Advancements

Spatial: Semantic Segmentation



J. Long et al. In CVPR, 2015.

Spatiotemporal: Video Frame Prediction

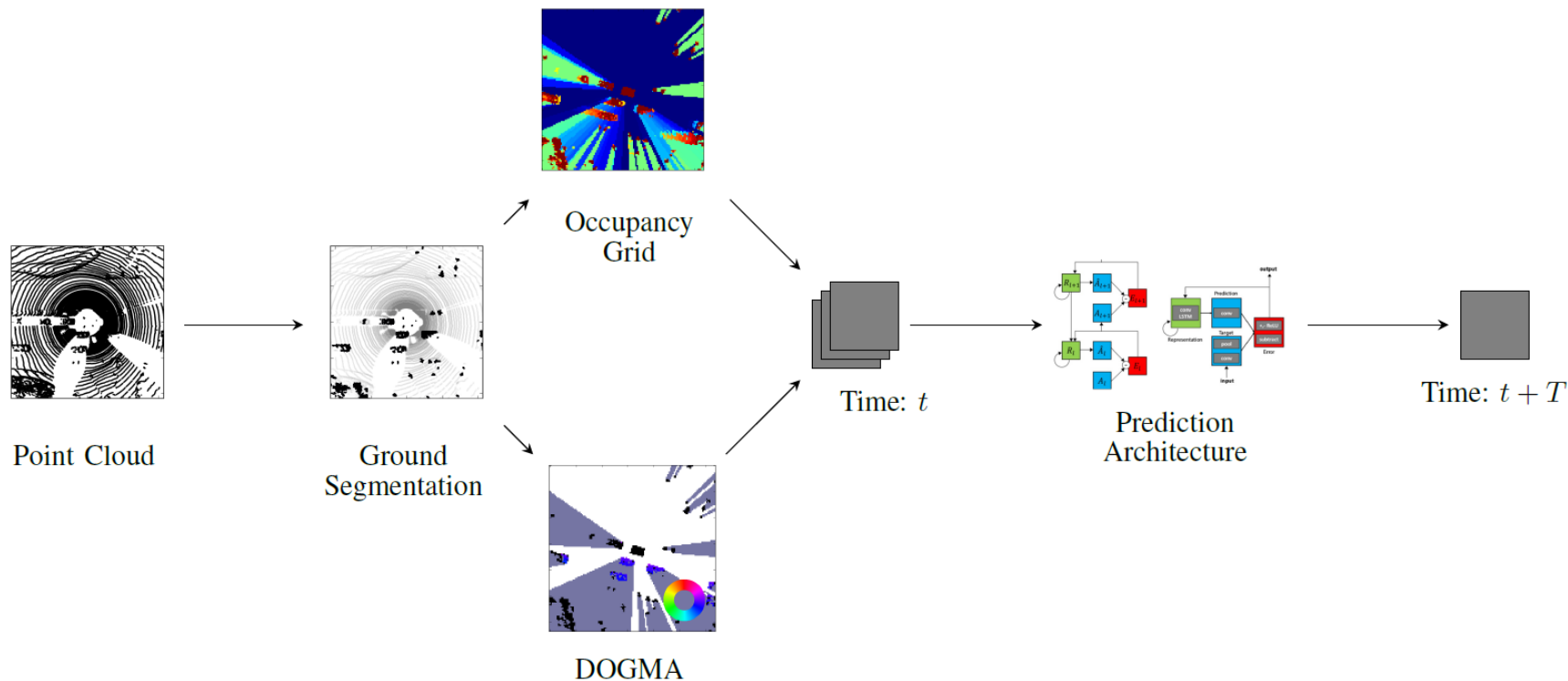


W. Lotter et al. In ICLR, 2017.

Spatiotemporal Environment Prediction Contributions

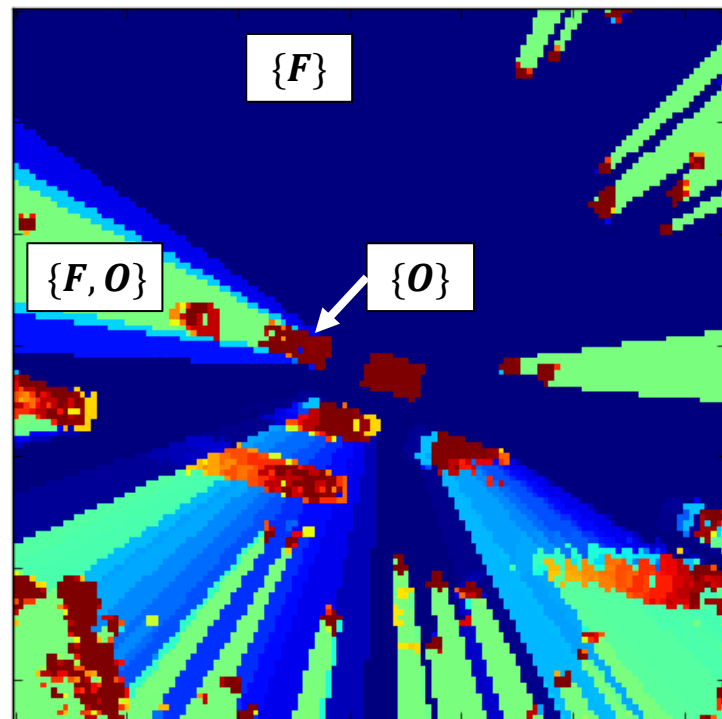
1. Formulate **environment prediction** in cluttered urban settings as a video frame prediction task.
2. Demonstrate capacity of a ConvLSTM to learn an internal dynamic representation of the environment from OGM data **without additional dynamic input**.

Environment Prediction Approach



Evidential OGMs

- Frame of discernment: $\Omega = \{F, O\}$
- A belief mass m is assigned to each set in 2^Ω
- $\sum_{A \in 2^\Omega} m(A) = 1$
- Belief masses can be combined according to the DST fusion rule
- Probabilities are estimated from belief masses using pignistic probability

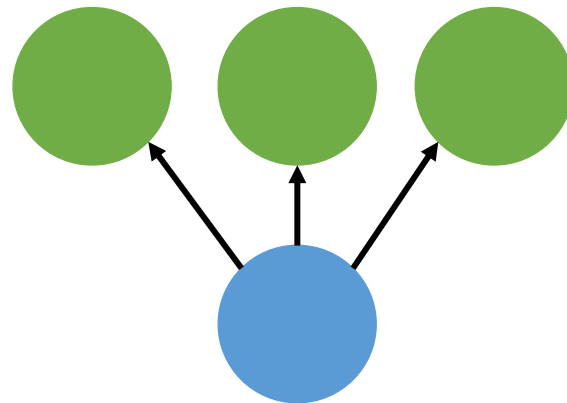


Evidential Theory (DST)

Evidential theory is a decision making strategy that distinguishes **lack of information** from **conflicting information**.



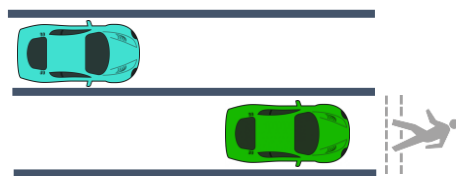
Uninformative prior



Evidence supporting multiple hypotheses

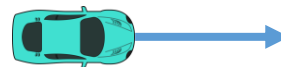
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Evidential theory is a decision making strategy that distinguishes **lack of information** from **conflicting information**.



$$\begin{aligned} m(\{O\}) &= 0 \\ m(\{F\}) &= 0 \\ m(\{O, F\}) &= 1 \end{aligned}$$

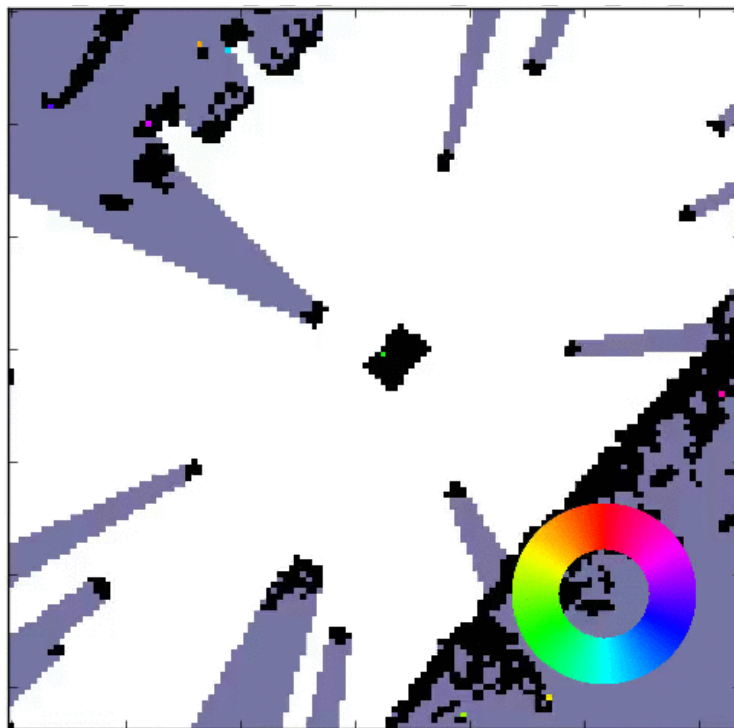
Occlusions



$$\begin{aligned} m(\{O\}) &= 0.5 \\ m(\{F\}) &= 0.5 \\ m(\{O, F\}) &= 0 \end{aligned}$$

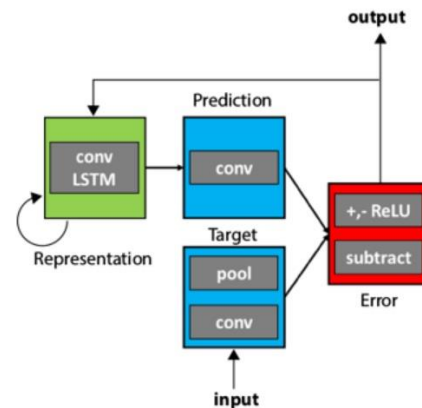
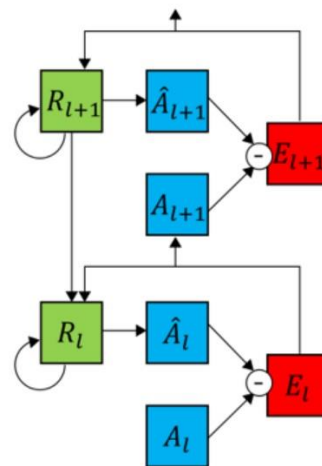
Moving Obstacles

Dynamic Occupancy Grid Maps (DOGMas)

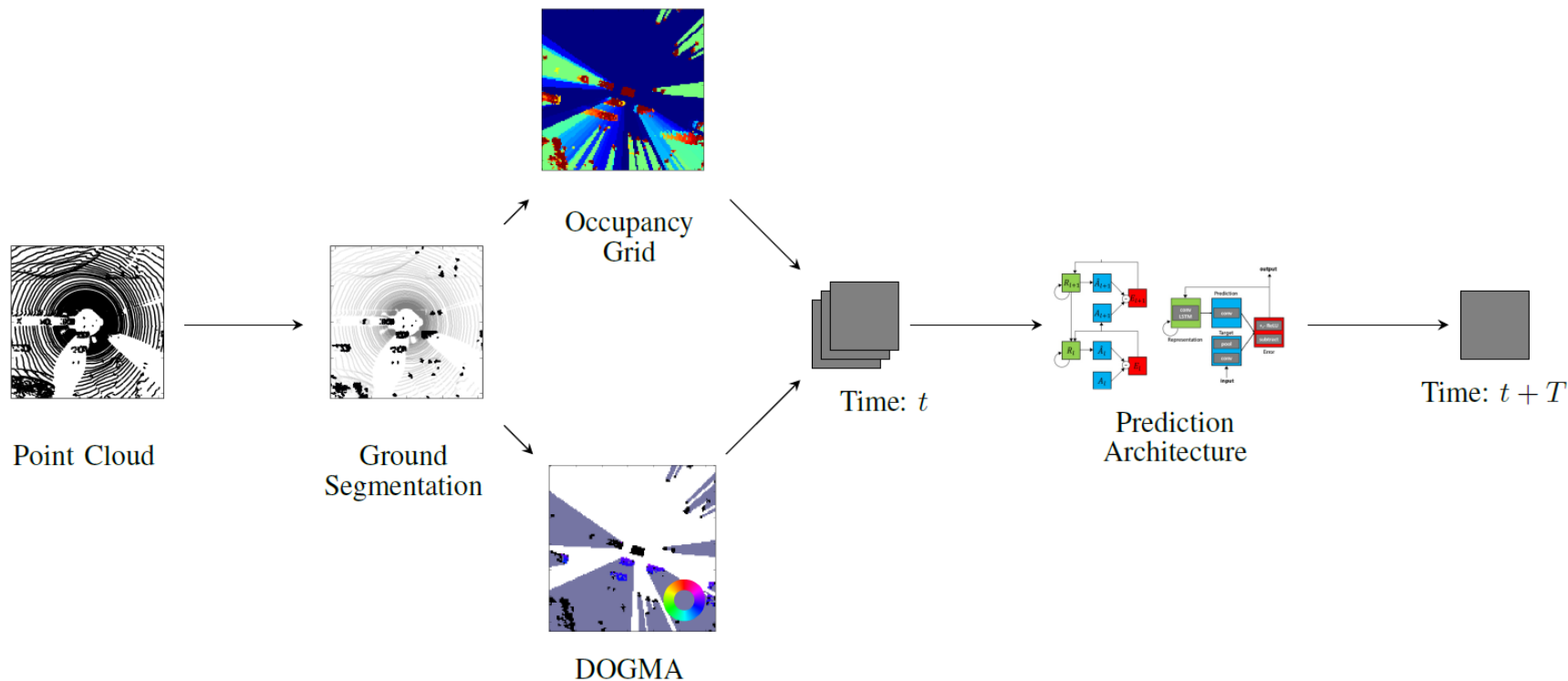


Environment Prediction Architecture: PredNet

- PredNet is a convolutional long short-term memory (ConvLSTM) network for video frame prediction
- Recurrent representation layer to learn spatiotemporal data structure
- Self-supervised

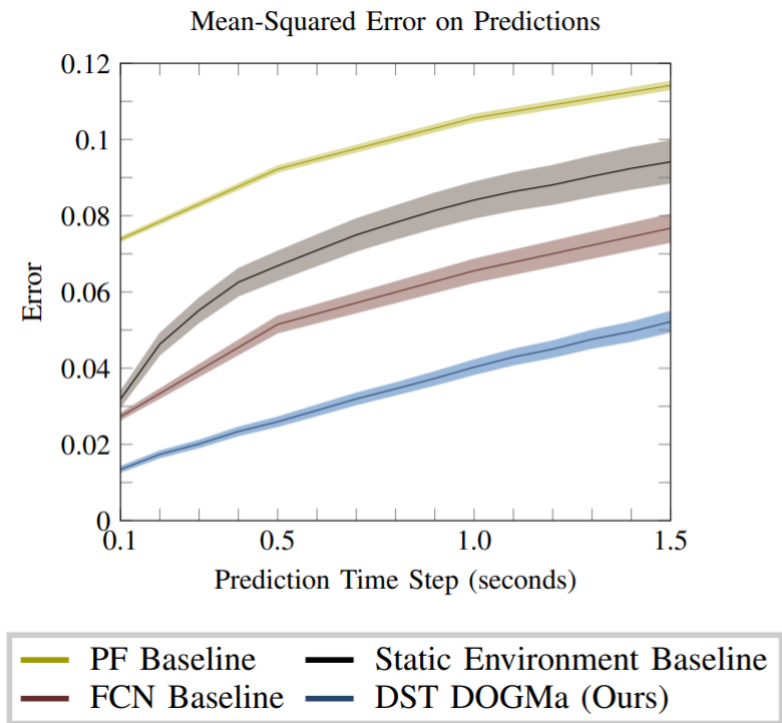


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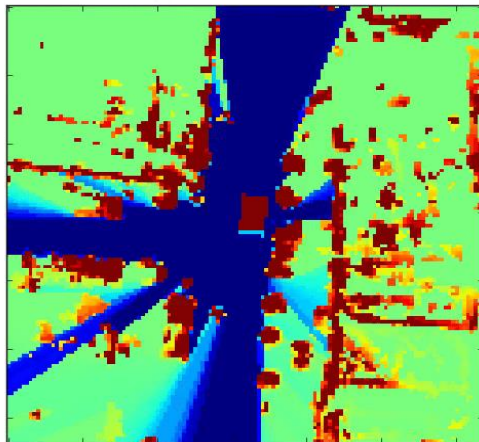


Results

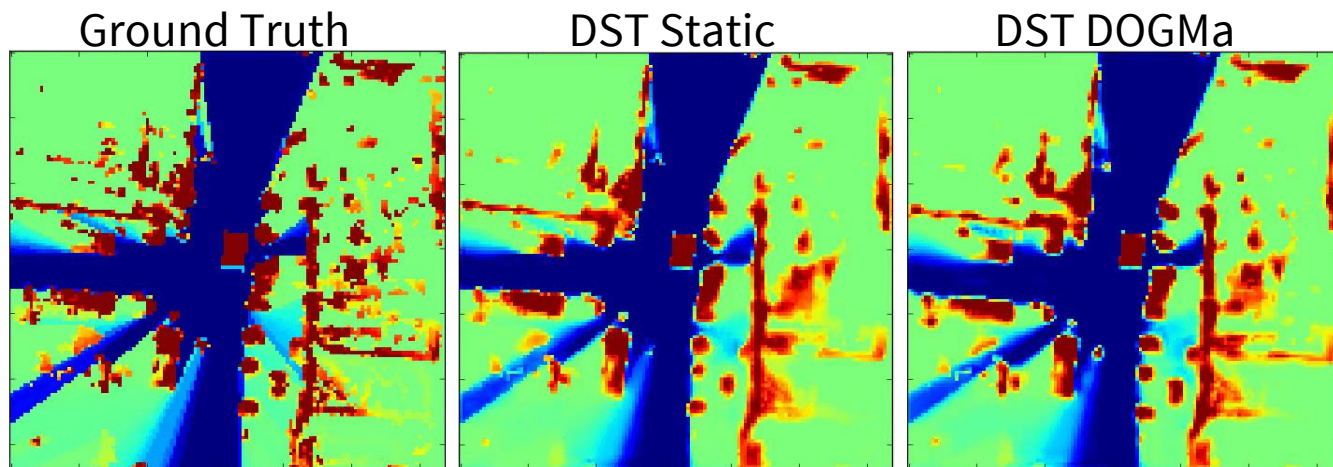
- Data: KITTI LiDAR point clouds
- Grid size: 42.7 m x 42.7 m (33 cm res.)
- Task: predict 1.5 s OGMs ahead from observed 0.5 s



Results: Example Ground Truth



Results: Occupancy Grids vs DOGMas



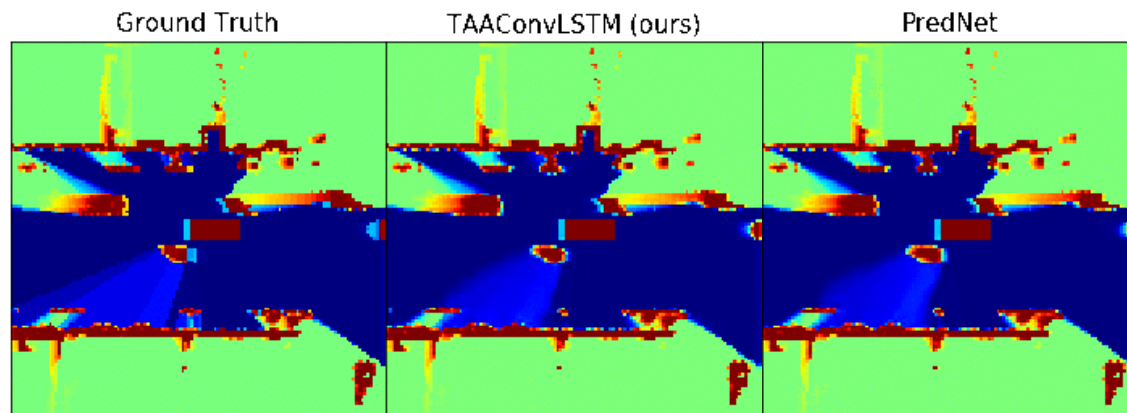
Results: Occupancy Grid vs DOGMa

Mean-Squared Error (MSE) results on test set (lower is better):

T	DST Static ($\times 10^{-3}$)	DST DOGMa ($\times 10^{-3}$)
0.1 s	13.5 ± 0.7	13.4 ± 0.7
0.5 s	26.4 ± 1.3	25.9 ± 1.2
1.0 s	40.7 ± 2.0	40.3 ± 1.9
1.5 s	52.6 ± 2.7	52.2 ± 2.7

Attention Improves Long-Term Predictions

- Obstacles disappear with extended time horizon predictions.
- Use attention to **pay attention** to the obstacles in feature, temporal, and spatial domains.



B. Lange, **M. Itkina**, and M. J. Kochenderfer, “Attention augmented ConvLSTM for environment prediction”. In IROS 2021.

Takeaways: Spatiotemporal Environment Prediction

1. Occupancy prediction in cluttered urban settings can be framed as video frame prediction to enable **end-to-end spatiotemporal environment prediction**.
2. ConvLSTM architectures learn a capable internal dynamic representation of the environment from OGM data **without the need for additional dynamic input**.
3. Moving obstacle predictions at **long time horizons** can be improved with the use of **attention**.

Contributions

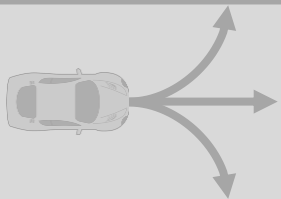


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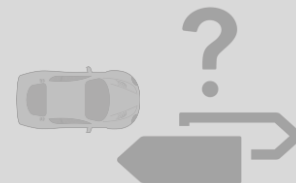


Occluded occupancy inference using
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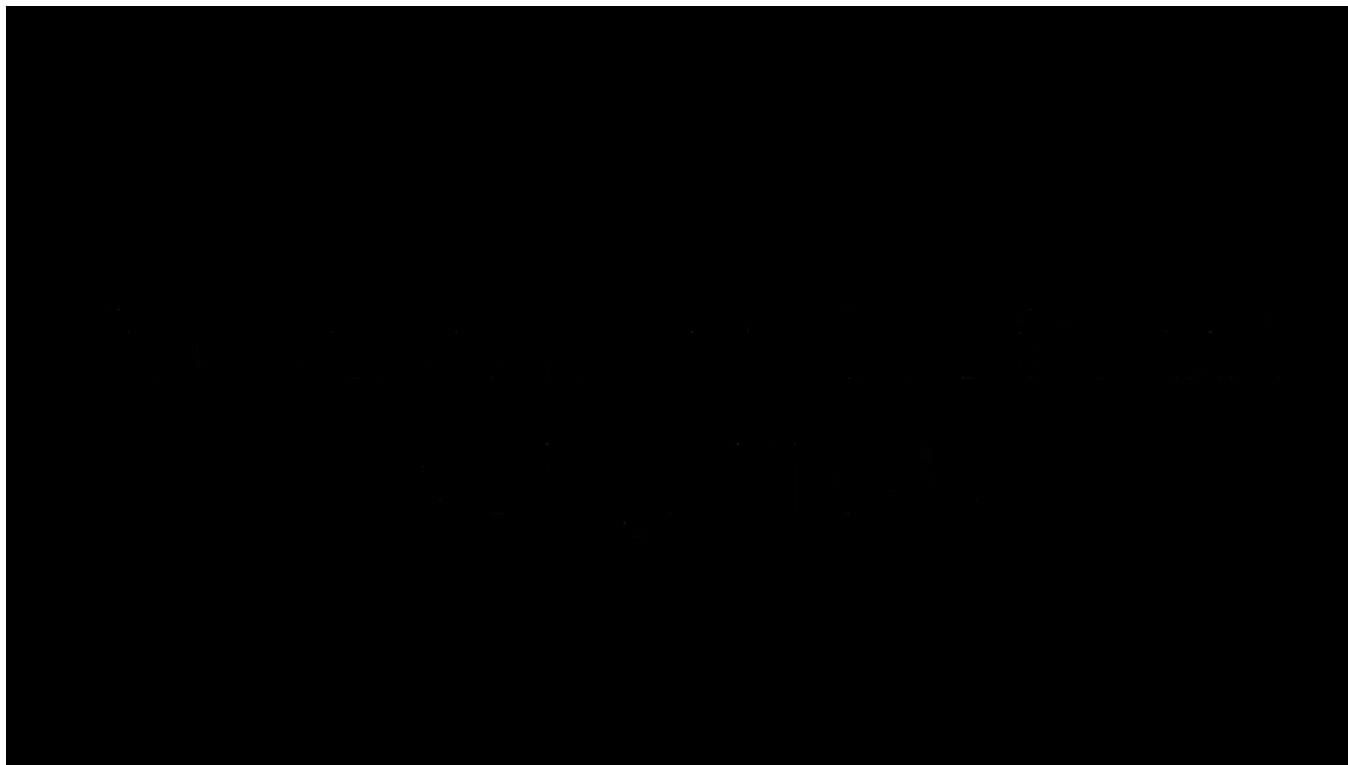
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Occlusion Inference Using People as Sensors

Research question:

*How can we infer occupancy in **occluded regions** from the **observed behaviors** of other drivers?*

Motivation: Occluded Pedestrians



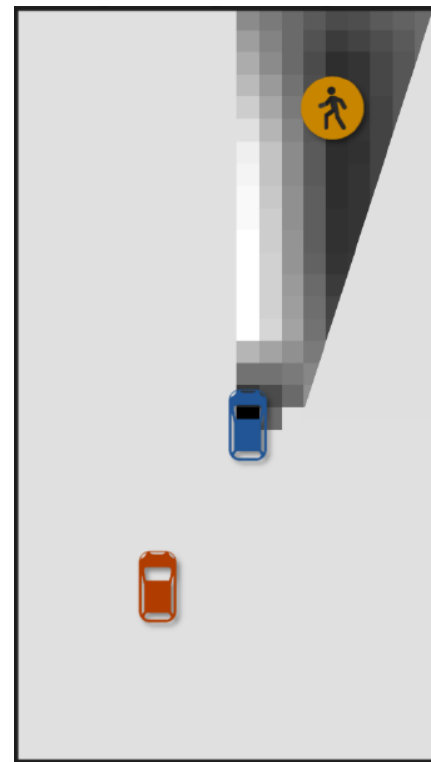
[Credit: <https://www.youtube.com/watch?v=2iYTNi2MjIQ>]

Occlusion Inference: Robots vs Humans

- Spatial occlusions may cause **overly cautious or dangerous** robot behavior.
- Human drivers make inferences from their observed surroundings to safely progress in driving maneuvers **despite spatial uncertainty**.
- AVs should have similar **occlusion inference** capabilities.

People as Sensors (PaS)

- Use observed driver behaviors as additional ‘sensor’ measurements in an OGM.
- Limitations:
 - Single ‘sensor’ vehicle
 - Limited to a two-vehicle crosswalk
 - Does not account for the multimodality of the occluded occupancy
 - Real-time capability not investigated

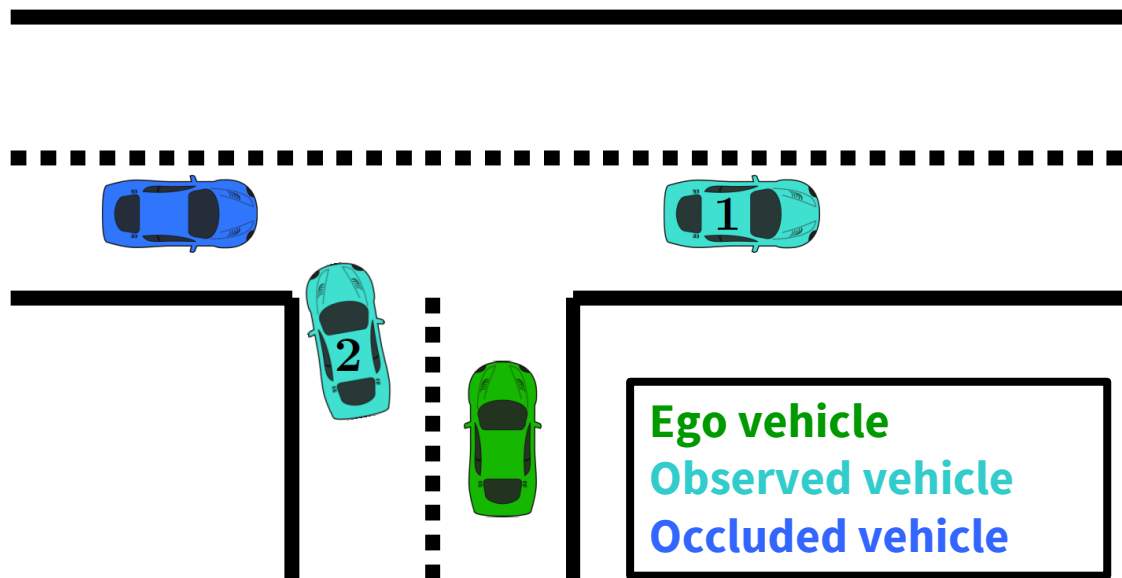


Occlusion Inference Contributions

1. Propose a **multi-agent** People as Sensors occlusion inference paradigm using evidential sensor fusion.
2. Learn a driver sensor model end-to-end using a conditional variational autoencoder (CVAE) to capture **multimodality** in the distribution.
3. Demonstrate **real-time capable** performance on a cluttered, real-world intersection in the INTERACTION dataset.

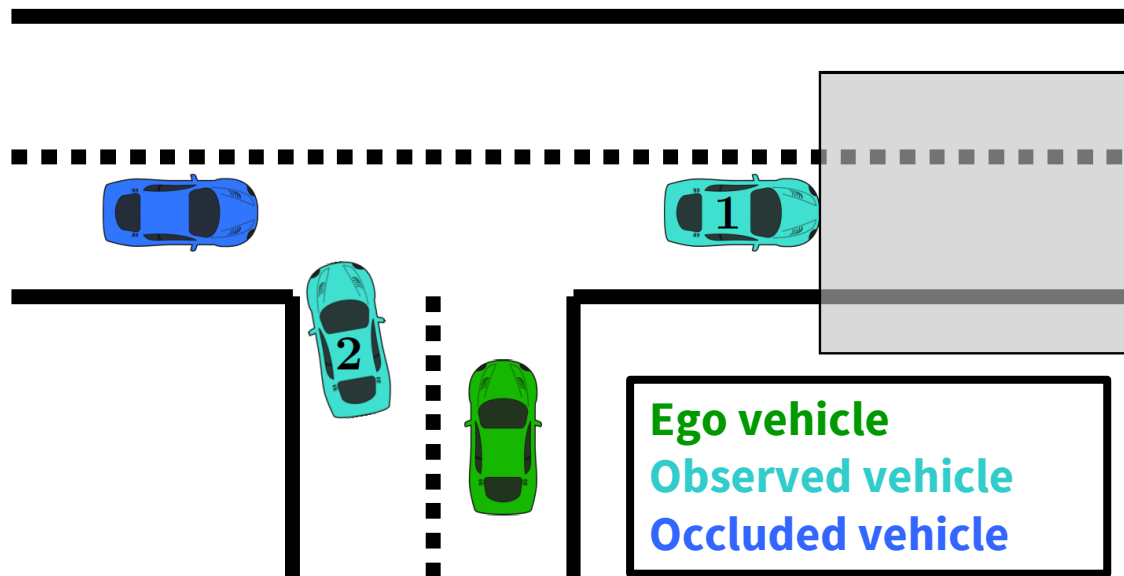
Occlusion Inference Approach Overview

We consider a general scene around an ego vehicle with observed and occluded road agents.



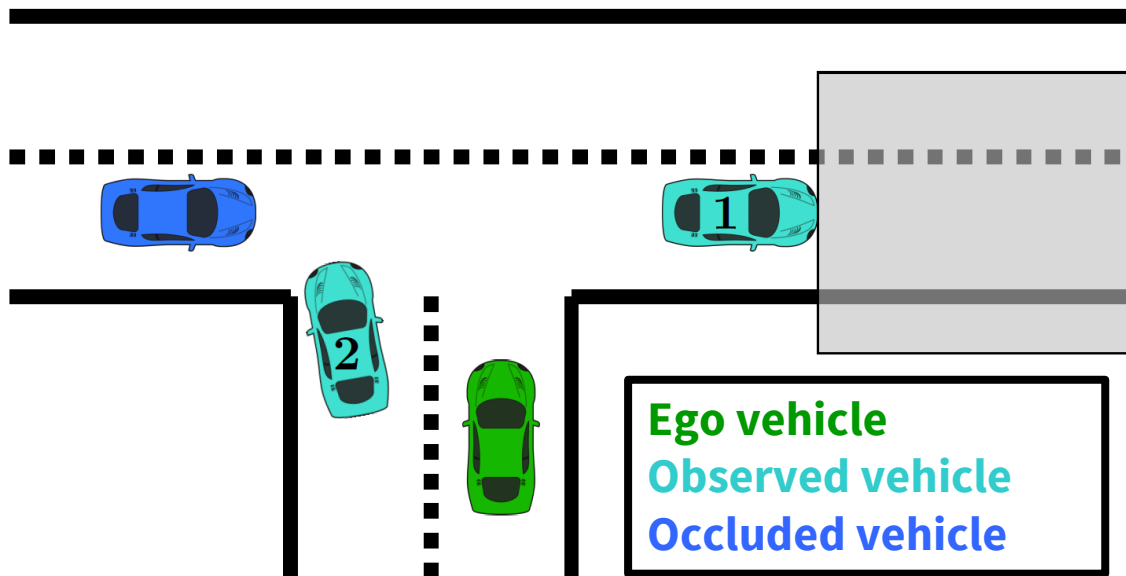
Occlusion Inference Approach Overview

We need a mapping from **driver trajectory** to the **environment** ahead.



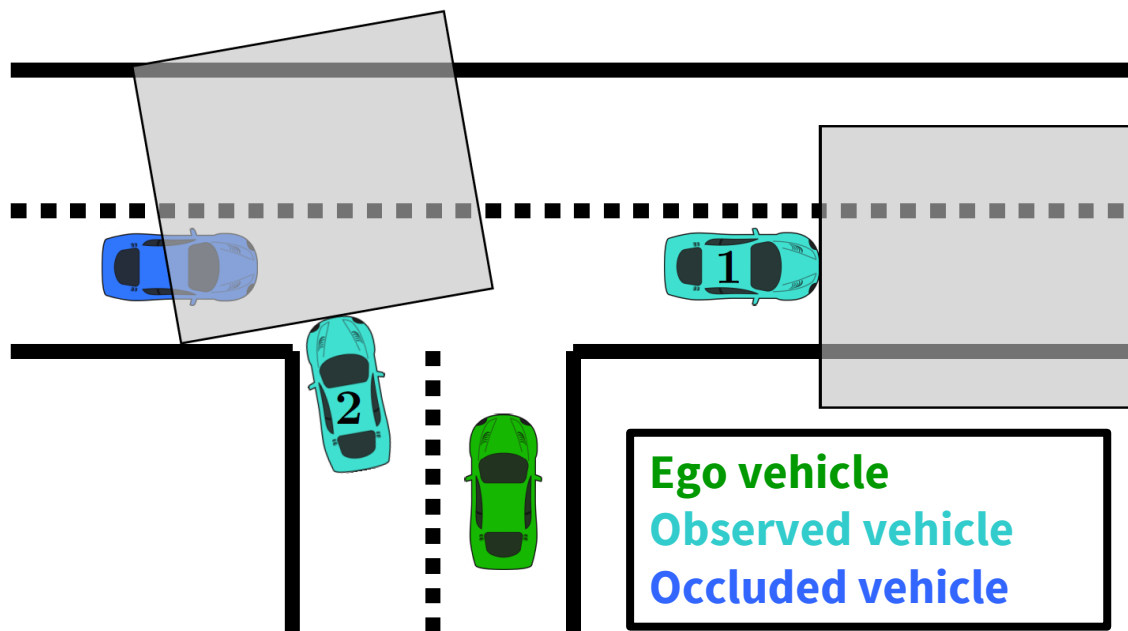
Occlusion Inference Approach Overview

The occupancy estimate is incorporated into an **ego vehicle's map**.



Occlusion Inference Approach Overview

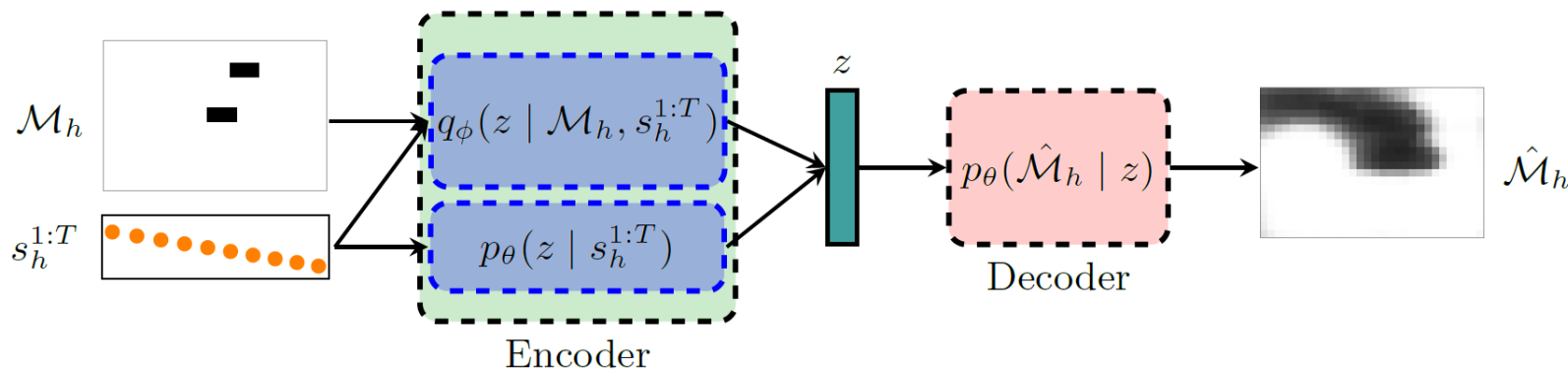
This procedure can be repeated for **multiple** drivers.



Driver Sensor Model:

Conditional Variational Autoencoder (CVAE)

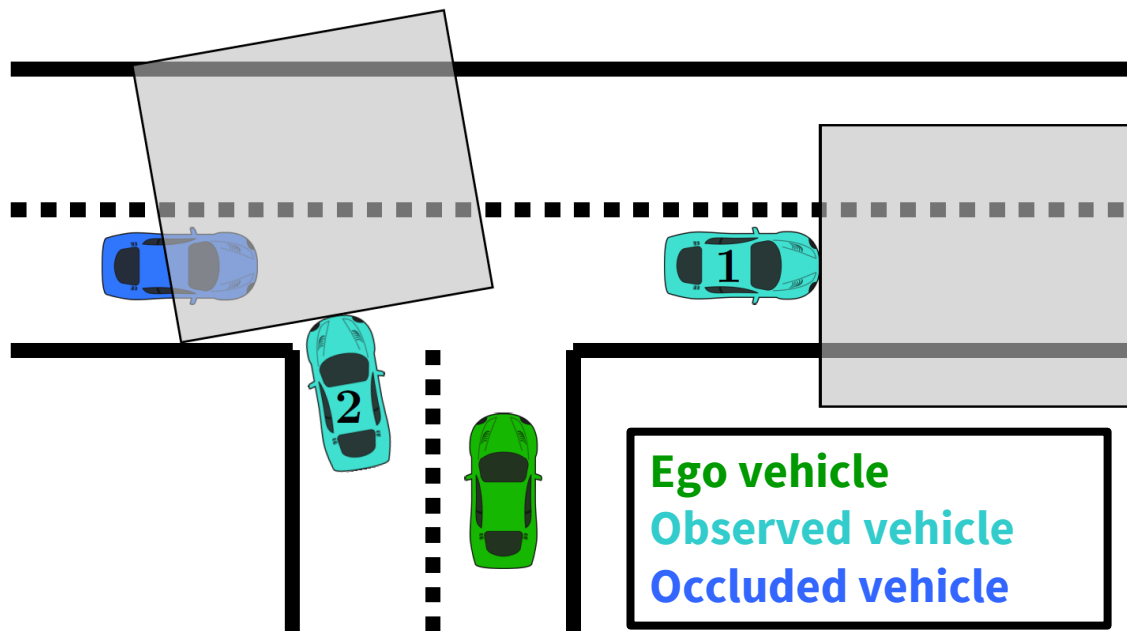
Learn a multimodal mapping from driver trajectory to the OGM representation of the environment ahead of the driver.



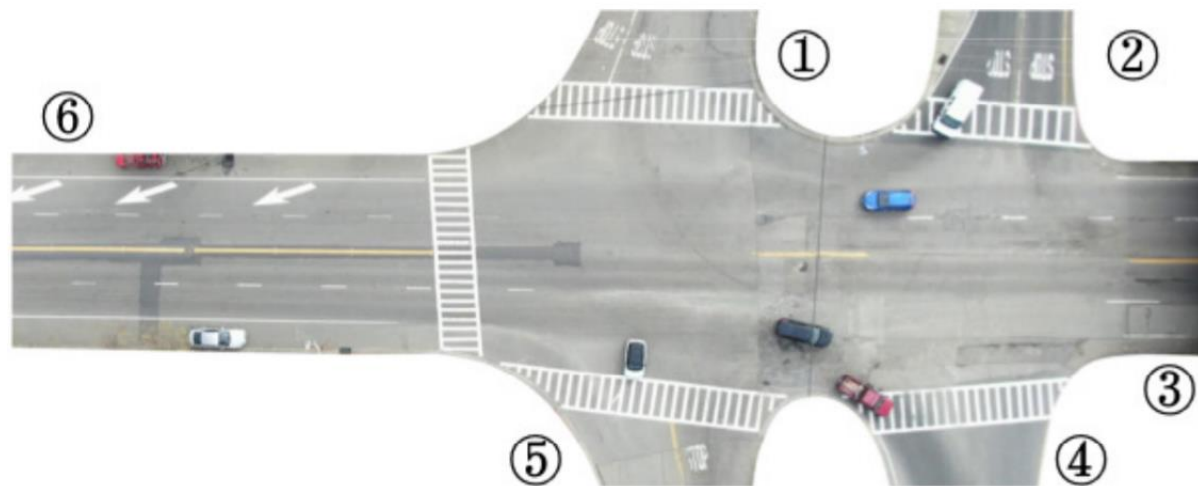
- $s_h^{1:T}$: one second of driver state information $[p_x, p_y, \theta, v_x, v_y, a_x, a_y]$.
- $\mathcal{M}_h$: occupancy grid ahead of the sensor driver (1 m resolution, 20 m x 30 m).
- $K = 100$ discrete latent classes.

Evidential Sensor Fusion for Occlusion Inference

Multi-agent sensor fusion: combine inferred OGMs from multiple human drivers visible to the ego vehicle using evidential theory.



Experiments: INTERACTION Dataset



DR_USA_Intersection_GL

Results: Driver Sensor Model

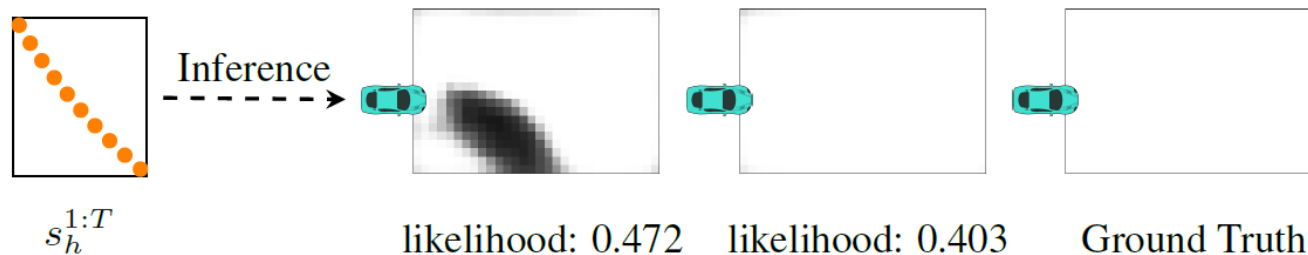


(a) Deceleration maneuver.

Results: Driver Sensor Model



(a) Deceleration maneuver.

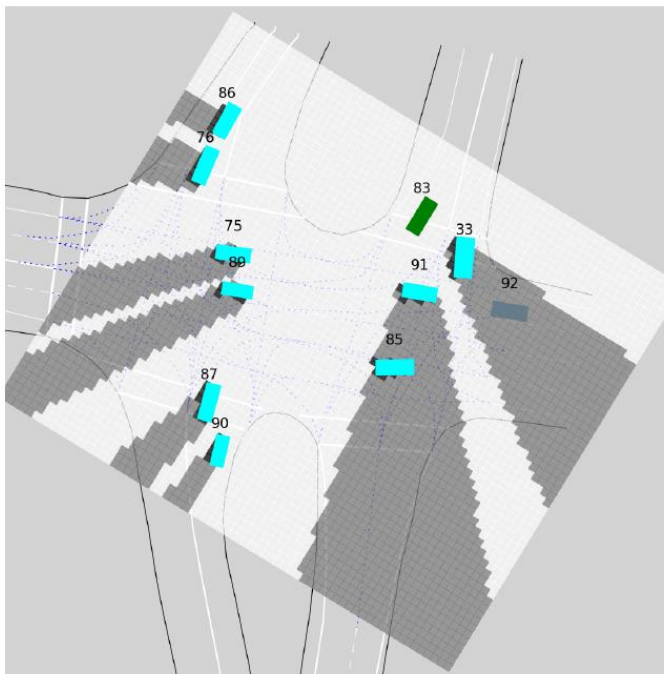


(b) Constant speed.

Results: Driver Sensor Model

Method	Occupied	Free	Overall	Occupied	Free	Overall
	Acc. $\uparrow$			Top 3 Acc. $\uparrow$		
K-means PaS [4]	0.512	0.463	0.465	N/A	N/A	N/A
GMM PaS	0.494	0.439	0.440	0.611	0.562	0.557
Ours	0.619	0.601	0.601	0.819	0.773	0.764
	MSE $\downarrow$			Top 3 MSE $\downarrow$		
K-means PaS [4]	0.188	0.206	0.206	N/A	N/A	N/A
GMM PaS	0.192	0.211	0.211	0.157	0.166	0.169
Ours	0.293	0.192	0.194	0.145	0.113	0.124
	IS $\downarrow$			Top 3 IS $\downarrow$		
K-means PaS [4]	0.205	0.020	0.225	N/A	N/A	N/A
GMM PaS	0.209	0.026	0.235	0.185	0.015	0.209
Ours	0.187	0.017	0.204	0.115	0.007	0.130

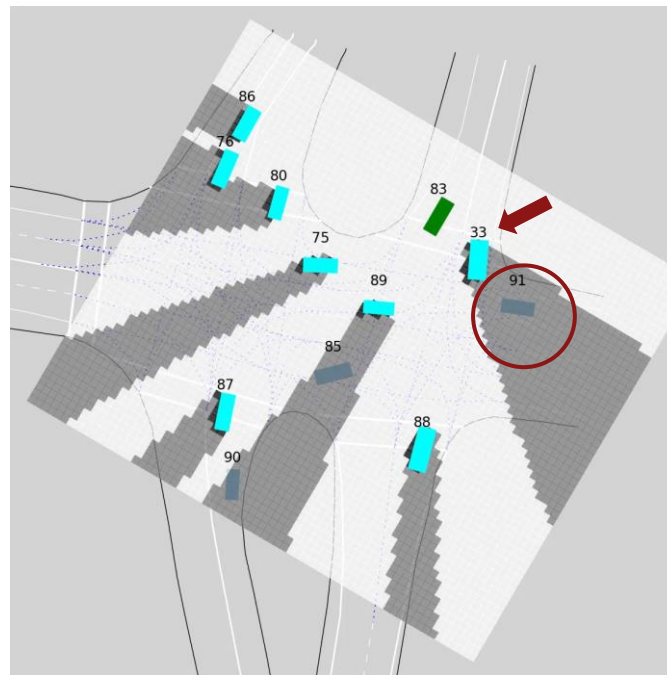
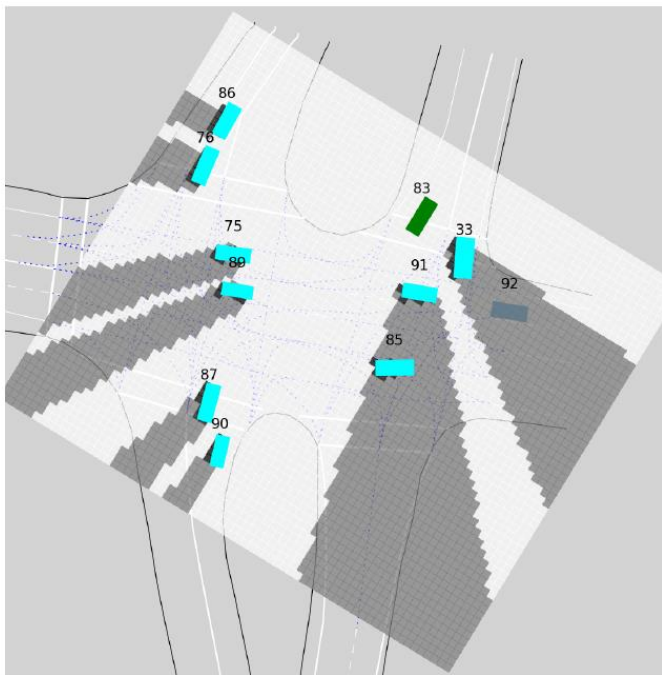
Results: Evidential Sensor Fusion



- All visible drivers for at least **1 s** are considered as driver sensors.
- Inferred OGMs are incorporated into the ego grid only in **occluded regions**.
- **Real-time capable**: 55 Hz fusion update.

Results: Evidential Sensor Fusion

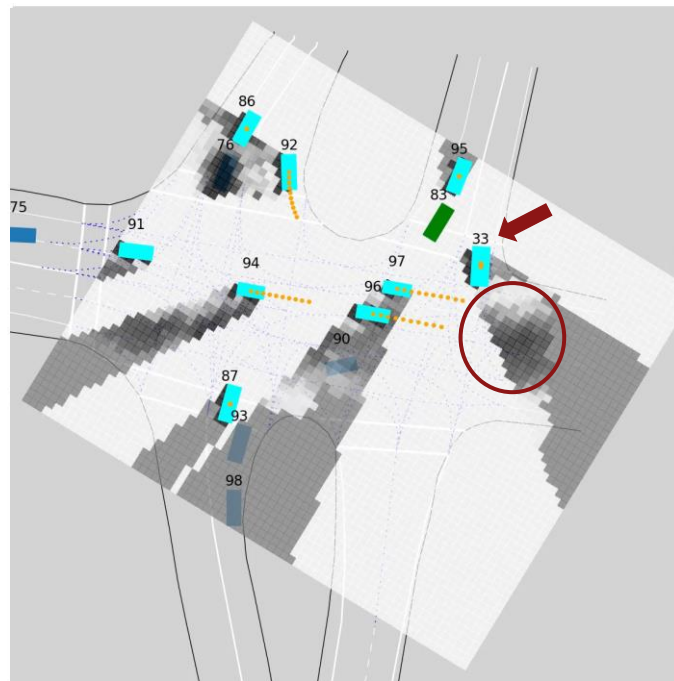
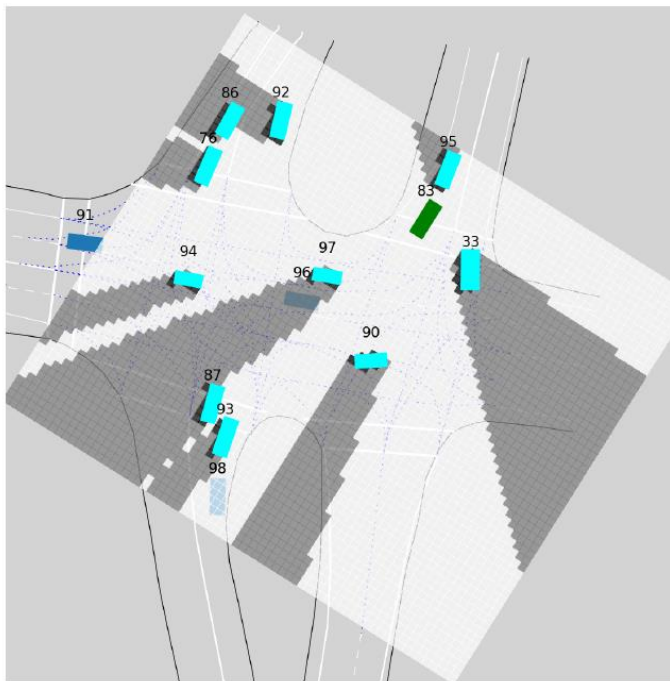
A stopped vehicle is an indicator of occupied space ahead.



(a) Stopped vehicle.

Results: Evidential Sensor Fusion

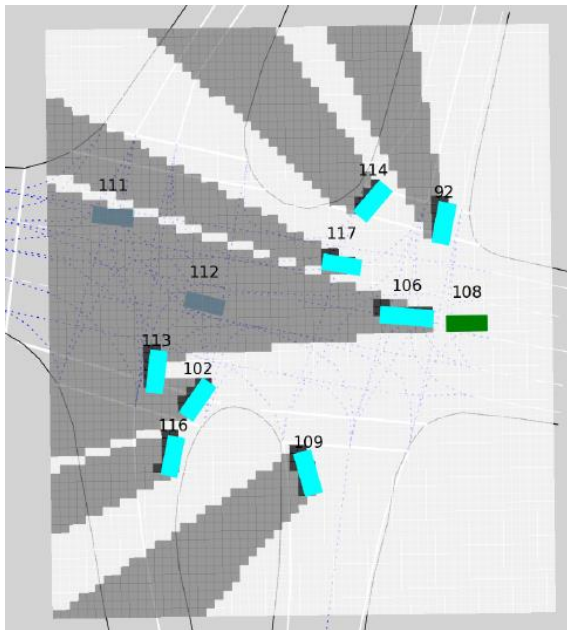
An accelerating vehicle is an indicator of free space ahead.



(b) Acceleration maneuver.

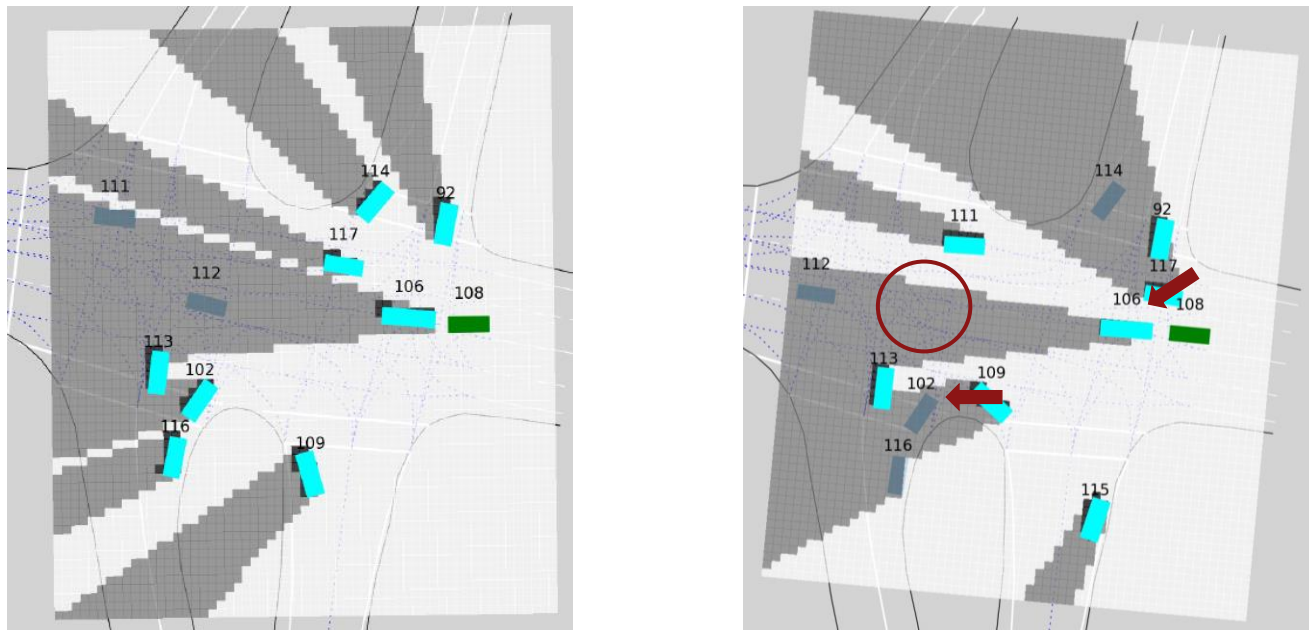
Results: Evidential Sensor Fusion

Multiple observed vehicle behaviors will increase certainty of occlusion inference.



Results: Evidential Sensor Fusion

Multiple observed vehicle behaviors will increase certainty of occlusion inference.



(c) Multiple stopped vehicles.

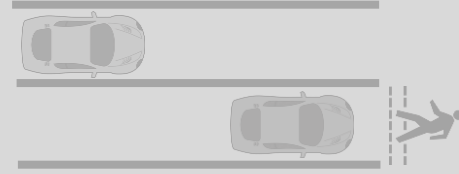
Takeaways: Occlusion Inference using People as Sensors

1. We can use sensor fusion techniques to scale People as Sensors to **multi-agent** settings.
2. Capturing **multimodality** is important when learning a mapping from observed behaviors to the environment.
3. Our occlusion inference approach scales to cluttered, real-world settings and achieves **real-time capable** performance.

Contributions

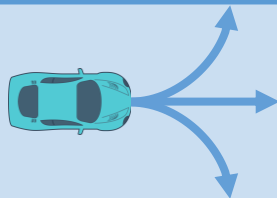


Environment prediction in cluttered scenes
[ITSC 2019*, IROS 2021, ICRA 2021]

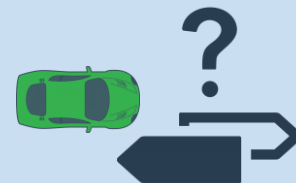


Occluded occupancy inference using
people as sensors [ICRA 2022*]

Spatiotemporal perception in human environments



Sparse, multimodal distributions for trajectory
prediction [NeurIPS 2020*, NeurIPS 2021]



Epistemic uncertainty estimation for
trajectory prediction [In Progress*, ITSC 2021]

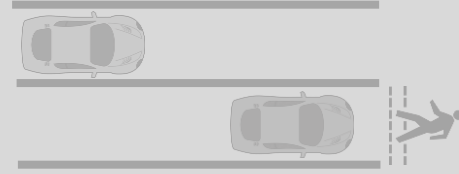
Uncertainty-aware machine learning models for trajectory prediction

* M. Itkina is the first author. Otherwise second author.

Contributions

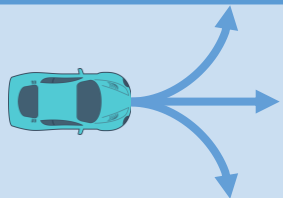


Environment prediction in cluttered scenes
[ITSC 2019*, IROS 2021, ICRA 2021]



Occluded occupancy inference using
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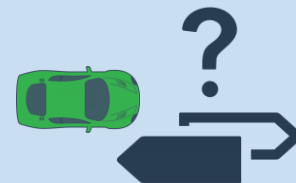
Spatiotemporal perception in human environments



**Aleatoric
Uncertainty**

Sparse, multimodal distributions for trajectory
prediction [NeurIPS 2020*, NeurIPS 2021]

**Epistemic
Uncertainty**



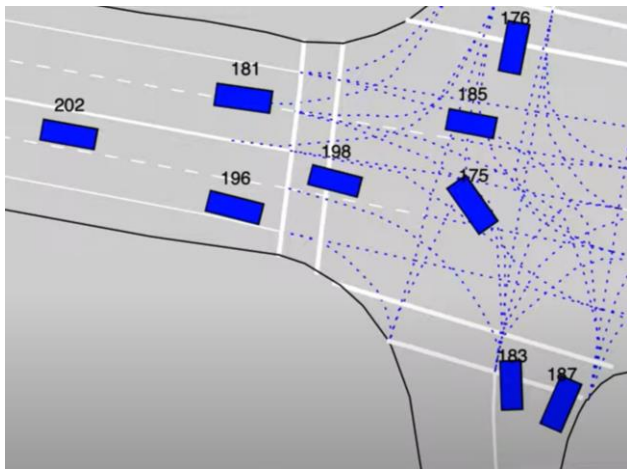
Epistemic uncertainty estimation for
trajectory prediction [In Progress*, ITSC 2021]

Uncertainty-aware machine learning models for trajectory prediction

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Environment Representations in Robotics

Object-centric (continuous):
e.g., Landmark approaches



Data from the INTERACTION Dataset.

Map-centric (discrete):
e.g., Occupancy grid maps (OGMs)

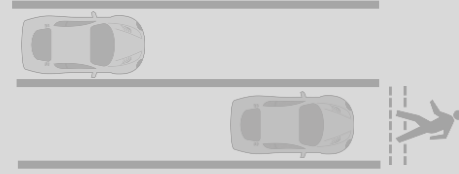


Data from the KITTI Dataset.

Contributions

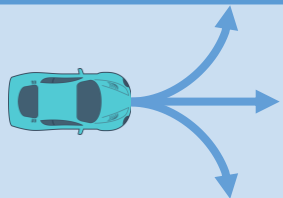


Environment prediction in cluttered scenes
[ITSC 2019*, IROS 2021, ICRA 2021]



Occluded occupancy inference using
people as sensors [ICRA 2022*]

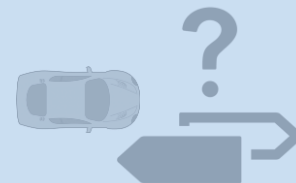
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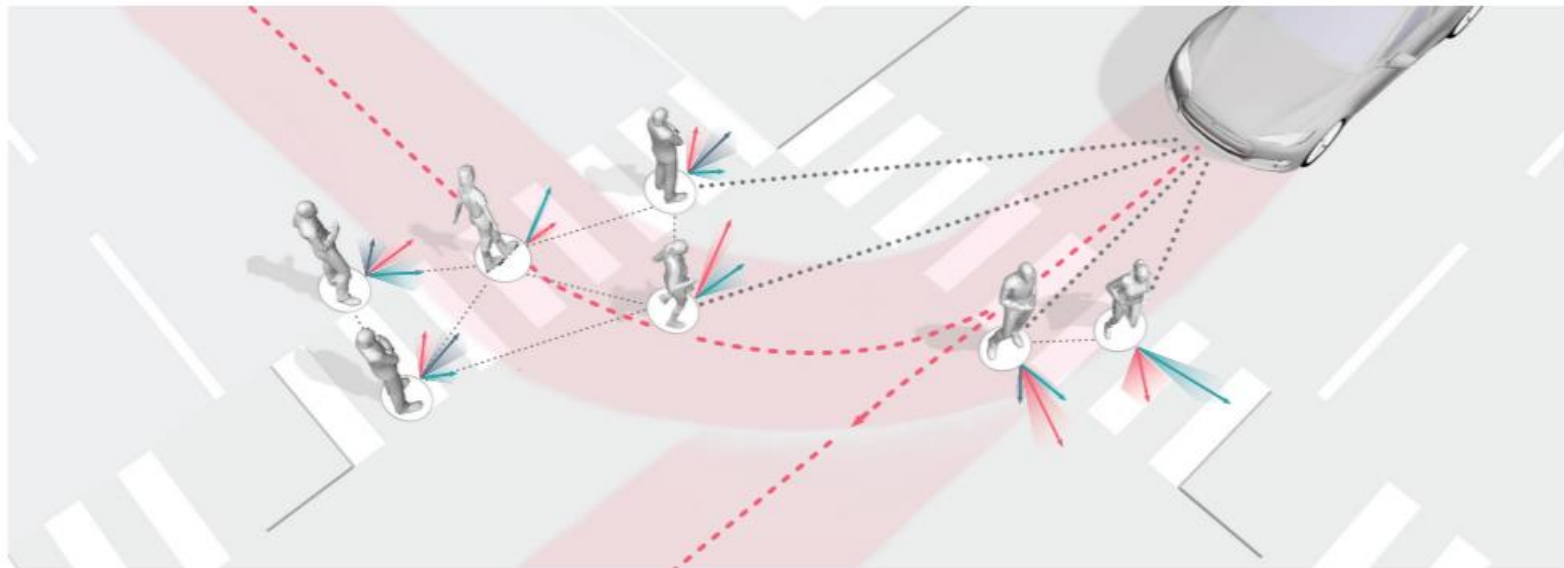


Epistemic uncertainty estimation for
trajectory prediction [In Progress*, ITSC 2021]

Uncertainty-aware machine learning models for trajectory prediction

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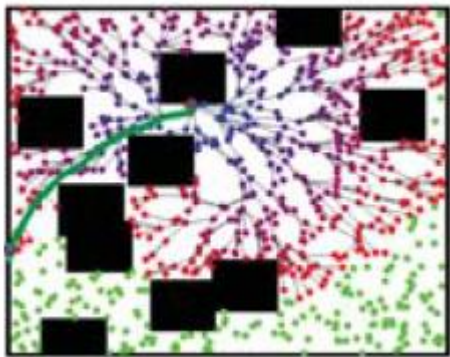
CVAEs with Discrete Latent Spaces



Trajectory prediction

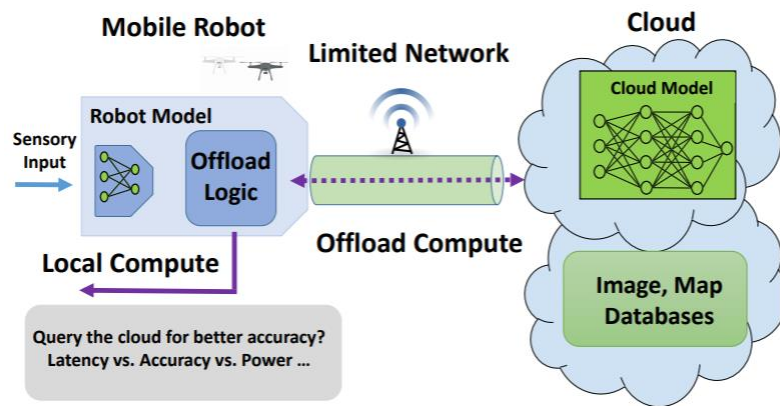
CVAEs with Discrete Latent Spaces

Problem: Discrete latent spaces need to be **sufficiently large** to capture the complexities of real-world data, rendering downstream tasks **computationally challenging**.



Robot motion planning

B. Ichter et al. In ICRA, 2018.



Multi-robot information sharing

S. Chinchali et al. In RSS, 2019.

Sparse, Multimodal Latent Sample Spaces for Trajectory Prediction

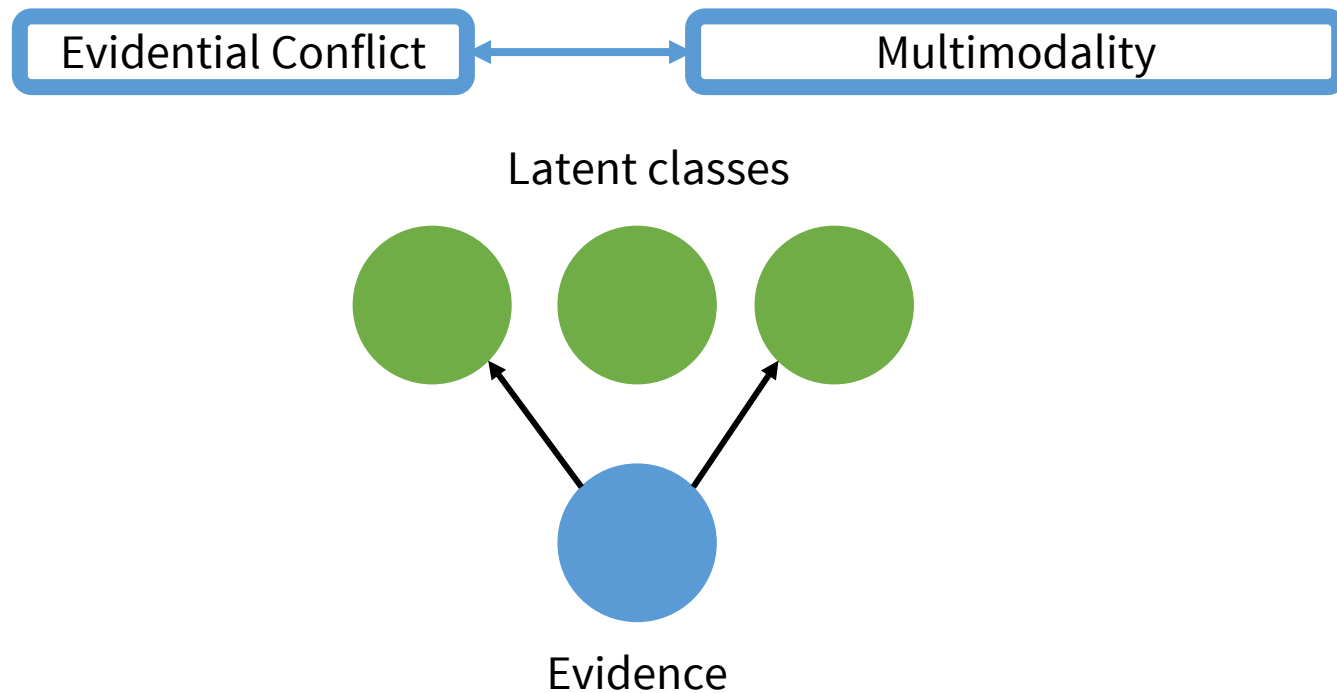
Research question:

*Can we extract an **efficient latent sample space** without retraining the network or compromising network accuracy?*

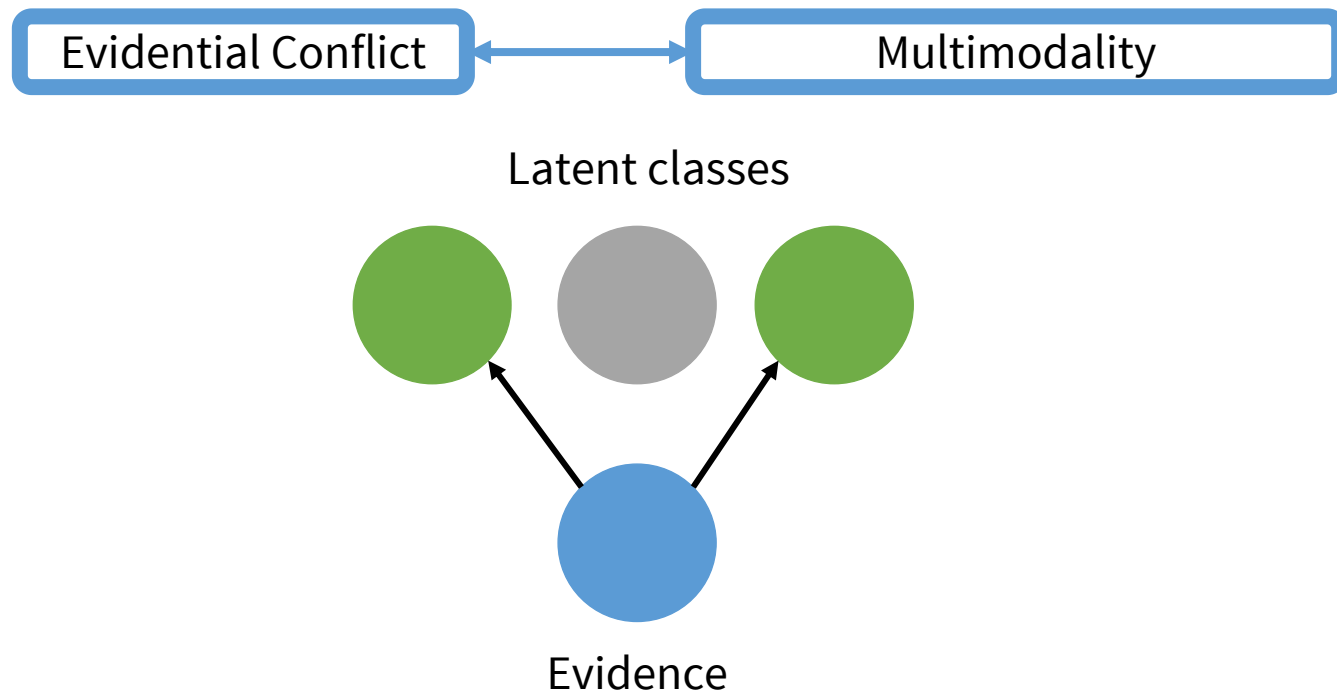
Evidential Sparsification Contributions

1. Introduce a novel method for **post hoc evidential sparsification** of the discrete latent space of a CVAE network.
2. Automatically balance latent distribution **sparsity** and **multimodality** without hyperparameter tuning.
3. Achieve a **significant reduction** in the discrete latent space of a CVAE for trajectory prediction **without loss of network performance**.

Key Insight: Evidential Conflict as a Proxy for Multimodality

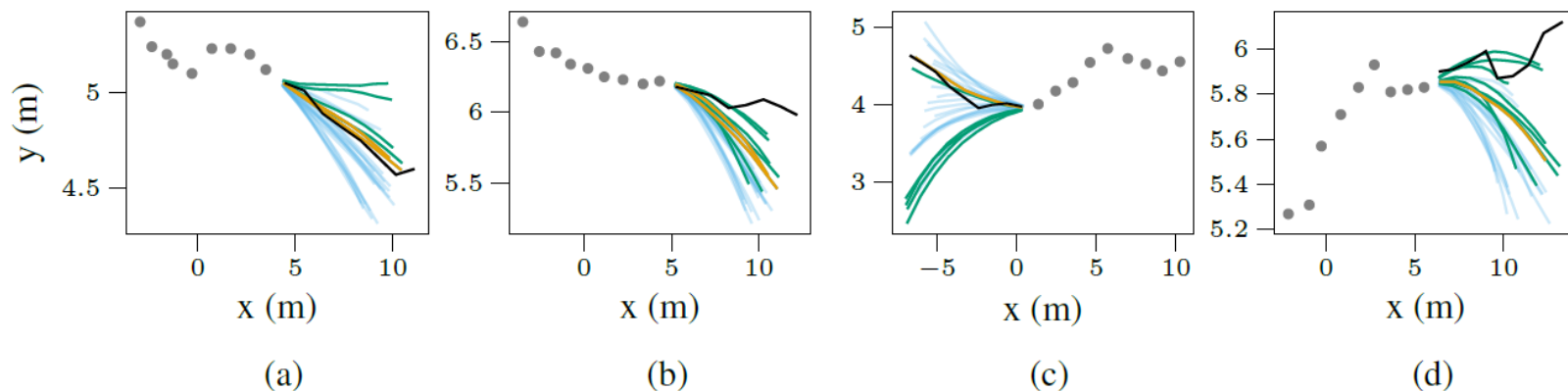


Key Insight: Evidential Conflict as a Proxy for Multimodality



Sparse Latent Sample Spaces for Trajectory Prediction

- Trajectron++ with 25 latent classes, trained on ETH Pedestrian Dataset.
- Our distribution maintains performance of softmax distribution, while achieving more than a **50% reduction** in the latent sample space size.



• Input Past Trajectory — Ground Truth — 25 Available Latent Classes — Sparsemax — Ours

T. Salzmann et al. In ECCV, 2020.

S. Pellegrini et al. In ICCV, 2009.

A. Martins and R. Astudillo. In ICML, 2016.

Ev-Softmax: Training-Time Extension

- We derived a simple closed form of our post hoc sparsification method:

$$\text{EVSOFMAX}(\mathbf{v})_k \propto \mathbb{1}\{v_k \geq \bar{v}\} e^{v_k}$$

 **Logit**

where $\bar{v} = \frac{1}{K} \sum_{i=1}^K v_i$.

- During training time, a modification can be used:

$$\text{EVSOFMAX}_{\text{train}, \epsilon}(\mathbf{v})_i \propto (\mathbb{1}\{v_i \geq \bar{v}\} + \epsilon) e^{v_i}$$

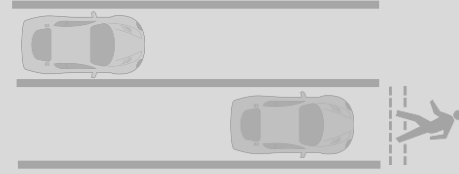
Takeaways: Evidential Sparsification Contributions

1. Showed the discrete latent space of a CVAE can be **sparsified post hoc** using evidential theory **without sacrificing performance**.
2. The proposed approach automatically balances latent distribution **sparsity** and **multimodality** without hyperparameter tuning.
3. Our method's simplified form can also be used **directly during training**.

Contributions

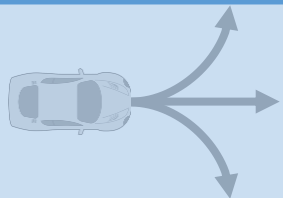


Environment prediction in cluttered scenes
[ITSC 2019*, IROS 2021, ICRA 2021]



Occluded occupancy inference using
people as sensors [ICRA 2022*]

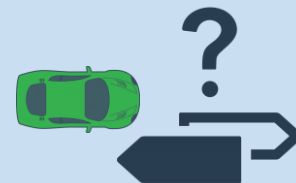
Spatiotemporal perception in human environments



**Aleatoric
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Sparse, multimodal distributions for trajectory
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**Epistemic
Uncertainty**



Epistemic uncertainty estimation for
trajectory prediction [In Progress*, ITSC 2021]

Uncertainty-aware machine learning models for trajectory prediction

* M. Itkina is the first author. Otherwise second author.

Why is epistemic uncertainty important?

- Neural networks are unreliable on **out-of-distribution (OOD)** inputs.
- For AVs, it is **safety critical** that the prediction module can provide a level of confidence in its output to a downstream planner.
- Existing methods:
 - Applied to **simple classification tasks** or **small regression problems**.
 - Require explicit **OOD data during training**.
 - Uncertainty estimate is **not interpretable**.

Interpretable Self-Aware Neural Networks for Robust Trajectory Prediction

Research question:

*How do we meaningfully and tractably capture **epistemic uncertainty** for trajectory prediction?*

Epistemic Uncertainty Estimation

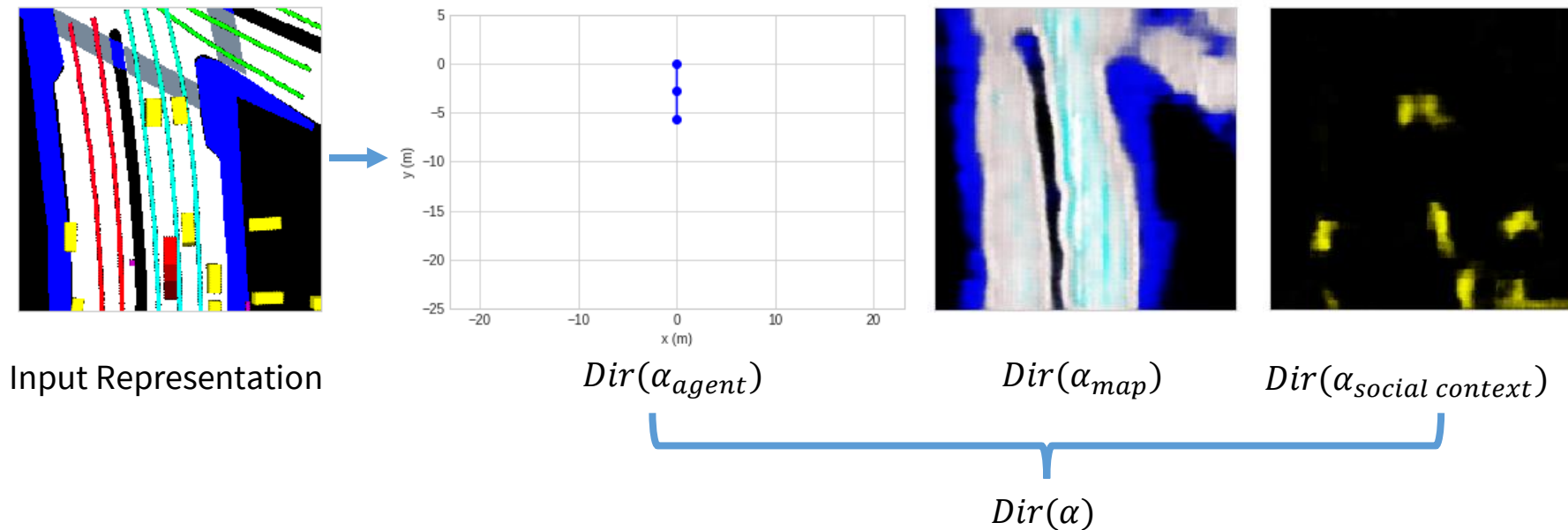
- Subjective logic links evidential theory with **Dirichlet distributions**.
- **Evidential deep learning** computes parameters for a second-order distribution (e.g., Dirichlet) over discrete encodings to estimate epistemic uncertainty.
- Explicit training with OOD examples can be avoided with the use of **deep generative models**.

Jøsang, Audun. "Subjective logic". Springer, 2016.
A. Malinin and M. Gales. In NeurIPS, 2018.
M. Sensoy et al. In AAAI, 2020.
B. Charpentier et al. In NeurIPS, 2020.

Epistemic Uncertainty Estimation Contributions

- Investigate a novel application of **evidential deep learning** methods to epistemic uncertainty quantification in trajectory prediction tasks.
- Define a notion of **interpretability** by distributing the uncertainty over **low-dimensional latent variables** corresponding to past agent behavior, environment map, and social context.

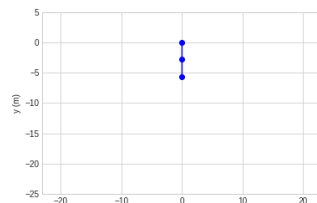
Interpretable Self-Aware Trajectory Prediction



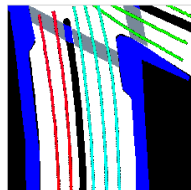
Preliminary Results

- We test our approach on a modified nuScenes dataset for OOD detection.
 - Slower input trajectories: in distribution
 - Faster input trajectories: OOD distribution
- $\uparrow \alpha_0$ indicates in distribution, $\downarrow \alpha_0$ indicates OOD.

in distribution



$$\alpha_{0,agent} = 22479$$

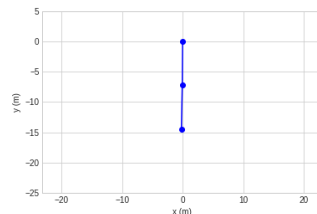


$$\alpha_{0,map} = 157$$

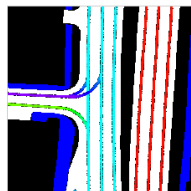


$$\alpha_{0,social\ context} = 4270$$

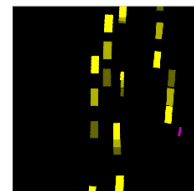
OOD



$$\alpha_{0,agent} = 67$$



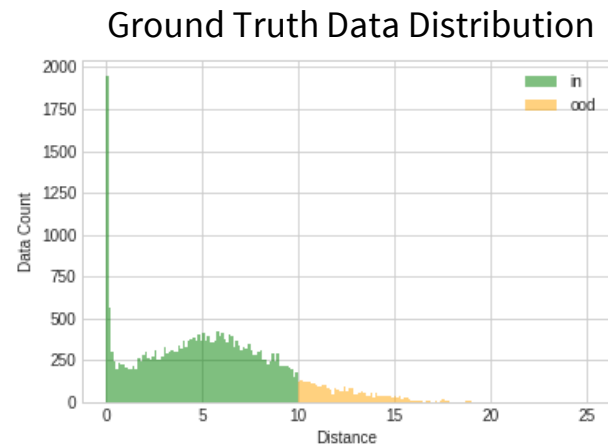
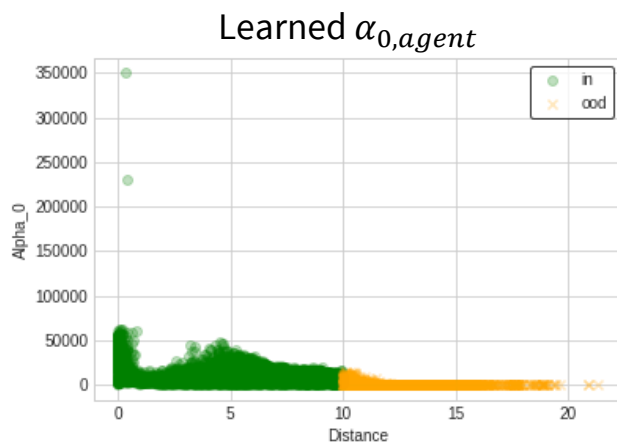
$$\alpha_{0,map} = 94$$



$$\alpha_{0,social\ context} = 4004$$

Preliminary Results

- We test our approach on a modified nuScenes dataset for OOD detection.
 - Slower input trajectories: in distribution
 - Faster input trajectories: OOD distribution
- Learned Dirichlet parameters match data distribution trends.

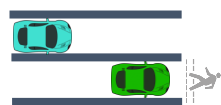


Contributions



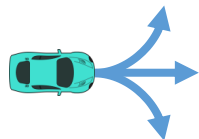
Predict the spatiotemporal environment end-to-end from a map-centric (i.e., occupancy grid) representation of the world using recurrent representation learning.

[ITSC 2019*, IROS 2021, ICRA 2021]



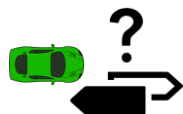
Infer occluded occupancy in multi-agent settings by modeling observed human behaviors as sensor measurements for the environment occupancy.

[ICRA 2022*]



Sparsify the latent sample space in deep generative models for trajectory prediction, while maintaining multimodality, using evidential theory.

[NeurIPS 2020*, NeurIPS 2021]



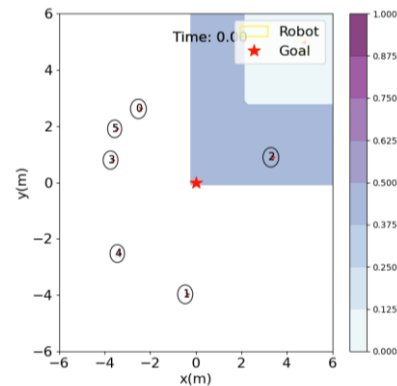
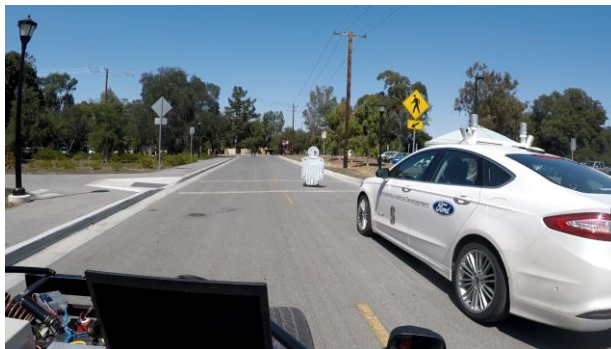
Model epistemic uncertainty for trajectory prediction using deep generative models and second-order distributions over low-dimensional, interpretable latent variables.

[In Progress*, ITSC 2021]

* M. Itkina is the first author. Otherwise second author.

Future Directions

- Adaptive stress testing for map- versus object-centric perception systems
- Integration of uncertainty-aware perception with planning/control
 - Model predictive control with environment prediction in-the-loop on hardware
 - Occlusion-aware reinforcement learning for crowd navigation
 - Handling epistemic uncertainty output from a perception system



Publications

- M. Itkina**, K. Driggs-Campbell, and M. J. Kochenderfer, “Dynamic environment prediction in urban scenes using recurrent representation learning”. In Intelligent Transportation Systems Conference (ITSC), 2019.
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- M. Itkina**, B. Ivanovic, R. Senanayake, M. J. Kochenderfer, and M. Pavone. “Evidential sparsification of multimodal latent spaces in conditional variational autoencoders”. In Advances in Neural Information Processing Systems (NeurIPS), 2020.
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Sponsors



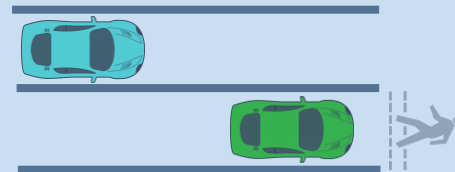
DAIMLER



Thank you!

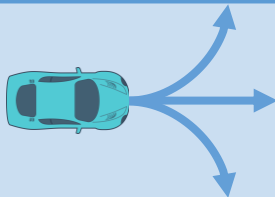


Environment prediction in cluttered scenes
[ITSC 2019*, IROS 2021, ICRA 2021]

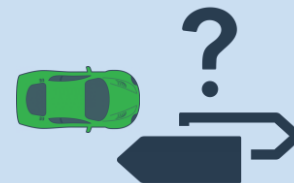


Occluded occupancy inference using
people as sensors [ICRA 2022*]

Spatiotemporal perception in human environments



Sparse, multimodal distributions for trajectory
prediction [NeurIPS 2020*, NeurIPS 2021]



Epistemic uncertainty estimation for
trajectory prediction [In Progress*, ITSC 2021]

Uncertainty-aware machine learning models for trajectory prediction

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