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# Gradient grammar: An effect of animacy on the syntax of *give* in New Zealand and American English

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## Abstract

Bresnan et al. (2007) show that a statistical model can predict United States (US) English speakers' syntactic choices with 'give'-type verbs extremely accurately. They argue that these results are consistent with probabilistic models of grammar, which assume that grammar is quantitative, and learned from exposure to other speakers. Such a model would also predict syntactic differences across time and space which are reflected not only in the use of clear dialectal features or clear-cut changes in progress, but also in subtle factors such as the relative importance of conditioning factors, and changes over time in speakers' preferences between equally well-formed variants. This paper investigates these predictions by comparing the grammar of phrases involving 'give' in New Zealand (NZ) and US English. We find that the grammar developed by Bresnan et al. for US English generalizes remarkably well to NZ English. NZ English is, however, subtly different, in that NZ English speakers appear to be more sensitive to the role of animacy. Further, we investigate changes over time in NZ English and find that the overall behavior of 'give' phrases has subtly shifted. We argue that these subtle differences in space and time provide support for the gradient nature of grammar, and are consistent with usage-based, probabilistic syntactic models.

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*Keywords:* New Zealand English; US English; Dative alternation; Animacy; Probabilistic grammar

## 1. Introduction

Linguistic theory has long adopted the simplifying assumption that knowledge of language is characterized by a categorical system of grammar. This idealization has been fruitful, but it ultimately underestimates human language capacities. Language users reliably and systematically

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30 make probabilistic syntactic choices from multidimensional information (Arnold et al., 2000;  
31 Bresnan et al., 2007; Bresnan, in press-a; Gries, 2003, 2005a,b; Hay and Bresnan, 2006;  
32 Hinrichs and Szmrecsányi, in press; Jaeger, 2006; Roland et al., 2005; O’Connor et al., 2005;  
33 Rosenbach, 2002, 2003, this volume; Strunk, 2005; Szmrecsányi, 2005, 2006). In a recent study  
34 of English speakers’ syntactic choices with *give*-type verbs during spontaneous conversations,  
35 Bresnan et al. (2007) present a multivariable, multilevel logistic regression model that can  
36 accurately predict the choices on unseen data. They further show that the model generalizes both  
37 across individual speakers and between spoken and written modalities, even predicting  
38 statistical differences between data from different corpora. Their findings show that the  
39 statistical model, in its apparent task-independence and systematicity, has some of the  
40 characteristics of grammar. These results are highly compatible with probabilistic models of  
41 grammar which assume that grammar is quantitative, and learned from exposure to other  
42 speakers (Bod and Kaplan, 2003).

43 It is well known that different dialects of English may display categorically different syntactic  
44 constraints. Some dialects, for example, allow double modal constructions such as *might could*  
45 and others do not. Similarly, dialects frequently undergo syntactic change, where the usage of a  
46 particular variant dramatically increases or decreases (see e.g. Hay and Schreier (2004) for  
47 changing verb agreement patterns in New Zealand English). A probabilistic, usage-based  
48 approach to grammar is able to account for such variation by assuming that different  
49 communities differ in the types and frequencies of the constructions that they are exposed to.  
50 However, a probabilistic approach also predicts that variation across space and time should exist  
51 in less obvious ways—even affecting the subtle probabilistic choices that are made between two  
52 variants which are equally acceptable for that dialect. That is, we expect to observe syntactic  
53 differences in time and space which are reflected not only in the use of clear dialectal features or  
54 clear-cut changes in progress, but also in extremely subtle factors such as the relative  
55 probabilistic weights of conditioning factors, and changes over time in speakers’ preferences  
56 between equally well-formed variants.

57 In this study, we conduct a comparative study of the grammar of phrases involving *give* in  
58 New Zealand (NZ) and United States (US) English. We demonstrate that the probabilistic  
59 grammar developed by Bresnan et al. (2007) for US English generalizes remarkably well to  
60 New Zealand English. New Zealand English is, however, subtly different, in that New  
61 Zealand English speakers appear to be more sensitive to the role of animacy. Further, we  
62 investigate changes over time in New Zealand English and find that the overall behavior of  
63 ‘give’ phrases has subtly shifted. These subtle differences in space and time provide further  
64 evidence of the gradient nature of grammar, and support usage-based, probabilistic syntactic  
65 models.

## 66 2. Background

67 In English, verbs of giving – called ‘dative’ verbs – flexibly occur in alternative constructions  
68 conveying the same message:

- 69 (1) a. *Who gave you that wonderful watch?* ← double object construction  
70 b. *Who gave that wonderful watch to you?* ← prepositional dative

71  
72 Although alternative forms often have differing semantics (Pinker, 1989; Levin, 1993),  
73 frequently explained in terms of “the principle of contrast” (Clark, 1987), the alternatives in

74 (1a and b) are very close paraphrases. Indeed, the alternative constructions can be found in  
75 contexts of repetition, as in the following example (Graham Green. *Doctor Fischer of Geneva or*  
76 *the Bomb Party*. London: The Bodley Head), cited by Davidse (1996:291):

- 77 (2) “You don’t know how difficult it is to find something which will please everybody—  
78 especially the men.”  
79 “Why not just **give them cheques?**” I asked.  
80 “You can’t **give cheques to people**. It would be insulting.”  
81

82 Moreover, subtle intuitions of fine-grained lexical semantic differences between these  
83 constructions have turned out to be inconsistent and unreliable (Bresnan and Nikitina, 2003;  
84 Bresnan et al., 2007; Bresnan, in press-a,b; Fellbaum, 2005). For these reasons, we view the  
85 prepositional dative and double object constructions as having overlapping meanings which  
86 permit them to be used as alternative expressions or paraphrases.

87 The existence of pairs of alternative paraphrases for *give* and other dative verbs is referred to as  
88 ‘the dative alternation’. In the dative alternation the ‘recipient’ – *you* in (1b) and *them, people* in  
89 (2) – is the object of *to* in the prepositional dative, and the first object following the verb in the  
90 double object construction. The ‘theme’ – *that wonderful watch* in (1b), *cheques* in (2) – is the  
91 object of the verb in the prepositional dative and the second object in the double object  
92 construction.

93 Which of these alternative constructions is used depends on multiple and often conflicting  
94 syntactic, informational, and semantic properties (Arnold et al., 2000; Bock and Irwin, 1980;  
95 Bock et al., 1992; Collins, 1995; Gries, 2003; Hawkins, 1994; Lapata, 1999; Prat-Sala  
96 and Branigan, 2000; Snyder, 2003; Thompson, 1990; Wasow, 2002 a.o.). These include the  
97 sense of the verb in its context of use (is it describing the giving of a concrete object,  
98 information, or something else?), the accessibility of the referents in the context (has the  
99 recipient just been mentioned or is it new information to the hearer?), the complexity and  
100 pronominality of the descriptions of the referents (shorter before longer, pronouns before  
101 nouns), and the like. Previous studies have shown that the probability of a construction is  
102 increased when the first phrase following the verb is a pronoun, is definite, refers to a highly  
103 accessible referent, has an animate referent, or is short. Furthermore, recent studies using  
104 multivariable analysis have shown that each of these variables contributes to the choice of  
105 construction; in particular, animacy as a predictor is not reducible to any of the other  
106 variables, such as givenness, complexity or pronominality (Gries, 2003; Bresnan et al., 2007).  
107 From these and other variables such as the previous occurrence of a parallel structure  
108 (Bock, 1986; Gries, 2005b; Pickering et al., 2002; Szmrecsányi, 2005), it is possible to  
109 predict the choice of construction for dative verbs in spoken English with 94% accuracy  
110 (Bresnan et al., 2007).

111 All of the multivariable analytic studies cited control for the semantics of the expressions,  
112 because the question of interest is what influences higher-level linguistic choices which are  
113 semantically equivalent paraphrases. In other words, these studies all assume that the dependent  
114 variable of construction choice in the model is a linguistic ‘variable’ (Chambers, 2003). Other  
115 useful lines of study examine the descriptive statistics of occurrence of various related  
116 constructions (Mukherjee, 2005; Strunk, 2004; cf. Strunk, 2005). In particular, Mukherjee (2005)  
117 gives valuable information about the probability distributions of a wide range of complements  
118 and semantic networks of each of a set of dative verbs. But such approaches do not address the  
119 question of particular interest here, which is to isolate the dynamics of grammatical choice from

120 the more general discursive choices of what to talk about (Weiner and Labov, 1983)—and to  
121 examine the role of animacy in it.

122 Some indicative evidence of variation in the overall probability of the use of the  
123 prepositional dative comes from Mukherjee and Hoffman (2006), who show that the overall  
124 rates of the prepositional dative with *give* are higher in Indian English than British English.  
125 They do not, however, carefully examine the potential conditioning factors in the data,  
126 to determine whether there may have been some difference in the two datasets which would  
127 predict this pattern.

128 With the availability of accurate probabilistic models of the choices between these alternative  
129 paraphrases, we can now investigate whether different dialects or varieties of a language vary in  
130 the probabilities of these choices over space and time.

131 This possibility is investigated in studies of US and New Zealand varieties of English  
132 described in the next sections.

### 133 3. The data from NZ and US English

134 In order to assess the degree to which the findings of Bresnan et al. (2007) extend to other  
135 dialects, we conducted a follow-up study using data from the ONZE (Origins of New Zealand  
136 English) corpus. In order to maximize comparability between the datasets for the dialects,  
137 we chose to focus on just one verb—*give*. The lexical item *give* constitutes 51% of the total cases  
138 considered by Bresnan et al.

139 We analyzed utterances containing *give* from the Origins of New Zealand English corpora.  
140 ONZE is a collection of recordings housed at the University of Canterbury, New Zealand.  
141 It includes recordings of speakers born between the 1850s and the 1980s, and continues to grow  
142 every year. There are three subcorpora. The ‘Mobile Unit’ contains recordings of early  
143 New Zealand English—speakers born between 1851 and 1910. These recordings originated as  
144 radio interviews conducted in the 1940s using a Mobile van, which toured New Zealand  
145 collecting reminiscences from New Zealand towns. The Intermediate Archive is a collection of  
146 recordings of speakers born between 1890 and 1930. Some of these are recordings made by  
147 historians for oral history projects, some are interviews for radio broadcast, and some are  
148 interviews of descendants of Mobile Unit speakers, conducted by members of the ONZE team.  
149 The Canterbury Corpus is a series of interviews conducted by students enrolled in a third year  
150 ‘New Zealand English’ class. The Canterbury Corpus contains speakers born between 1930 and  
151 1984, and is added to every year. When adding speakers to the Canterbury Corpus, an attempt is  
152 made to fill a stratified sample, along the lines of age, gender and social class. See Gordon et al.  
153 (in press) for further details about the ONZE corpora.

154 We considered 2842 tokens of *give* from 523 New Zealand speakers from the ONZE Corpus,  
155 born between 1851 and 1984. One thousand one hundred and twenty-seven of these tokens  
156 occurred in the dative alternation. Six hundred ninety-six of these were produced by male  
157 speakers, and 431 were produced by female speakers.

158 These 1127 tokens were then combined with 1263 tokens from the Switchboard Corpus  
159 (Godfrey et al., 1992), and 404 written tokens from the *Treebank Wall-Street Journal* (Marcus  
160 et al., 1993).

161 The coding scheme we used was based on that of Bresnan et al., described in detail in Cueni  
162 (2004). We coded for the syntactic complexity, pronominality, discourse accessibility, and  
163 animacy of the recipient and theme complements to *give* and for the semantic class of usage of  
164 the verb.

165 Note that the NZ data were not coded for speaker identity in this study. The Bresnan et al.  
166 (2007) model was found to generalize across individual speakers in the Switchboard corpus, so  
167 we set aside that factor for the present study.

### 168 3.1. Syntactic complexity

169 Measures of syntactic complexity are “so highly correlated as to be empirically  
170 indistinguishable” (Cueni, 2004), and can be efficiently measured by counting the number  
171 of graphemic words (Arnold et al., 2000; Szmrecsányi, 2004; Wasow, 2002). We chose this  
172 metric, taking the log of the length in graphemic words to compress outliers and bring the  
173 distribution more closely into the logistic regression model assumption of linearity in logit space.

### 174 3.2. Animacy

175 Bresnan et al. coded for animacy using a modification of the coding practices of Garretson  
176 et al. (2004). Garretson et al. develop an animacy coding system with the seven categories  
177 ‘human’, ‘animal’, ‘organization’, ‘concrete inanimate’, ‘non-concrete inanimate’, ‘place’, and  
178 ‘time’. The Bresnan et al. coding simplified these to the four categories ‘Human’, ‘Organization’,  
179 ‘Animal/Intelligent Machine’, and ‘Inanimate’. Because of data sparseness, animacy was further  
180 simplified for the Bresnan et al. model to a binary category of human or animal versus other,  
181 which we adopted for the ONZE data. ‘Human’ referents were individual humans and humanoid  
182 beings (such as gods, ghosts, or androids) and groups of humans which do not meet the criteria  
183 for organizations—i.e. they do not have a collective voice and/or purpose. (For example “people  
184 that come into this country”, “qualified students”, “their customers” refer to groups of humans  
185 and not to organizations.) We coded members of the animal kingdom as animals.

### 186 3.3. Discourse accessibility

187 For coding for discourse accessibility Bresnan et al. (2007) used Michaelis and Hartwell  
188 (in press), which is based on Prince (1981) and Gundel et al. (1993). But in the Bresnan et al.  
189 model this variable was simplified to a binary category (‘evoked’/‘situationally evoked’ versus  
190 other) again because of data sparseness. We coded the ONZE data directly for this binarized  
191 accessibility category. ‘Evoked’ was operationalized as co-referentiality with a phrase that has  
192 occurred in the previous 10 lines of discourse. ‘Situationally evoked’ was used for first and  
193 second person pronouns because their referents are assumed to be automatically evoked by virtue  
194 of their salience in the speech situation. (The generic uses of *you* were counted as situationally  
195 evoked because we considered them to include the hearer semantically.) ‘Evoked’ and  
196 ‘situationally evoked’ together comprise the ‘given’ value of discourse accessibility; all other  
197 accessibility categories are non-given.

198 In sum, a theme or recipient phrase was coded as ‘given’ if (i) its referent was mentioned in the  
199 previous 10 lines of discourse or (ii) it was a first or second person pronoun. This operationizing  
200 of discourse accessibility is straightforward and sufficient for our purposes.

### 201 3.4. Pronominality

202 Pronominality was defined to distinguish phrases headed by pronouns (personal, demonstrative, and indefinite) from those headed by non-pronouns such as nouns and gerunds.  
203

204 3.5. *Semantic class*

205 Each instance of the verb *give* was semantically classified based on its use in context. Those  
206 instances that described a transfer of possession of a concrete object were labeled ‘transfer’, those  
207 that described giving information were labeled ‘communication’ (for example, “give my name to  
208 you”, uttered in a telephone conversation), and all other instances were labeled ‘abstract’.

209 Our operationalization of the simplified coding of these variables was designed for efficiency  
210 given limited resources. Thus, discourse accessibility could be determined largely by formal  
211 criteria: Was the referent either mentioned or denoted by a first or second person pronoun within  
212 the previous 10 lines? Semantic class is more subjectively defined, but still quite distinguishable:  
213 concrete objects are relatively easily distinguishable from non-concrete (“give an armband”  
214 versus “give a headache”), and among events involving the non-concrete giving, communication  
215 events (“give my name to you” uttered in a telephone conversation) are relatively easily  
216 distinguishable from all others.

217 The most difficult of these coding categories, as operationalized, is animacy: it is a subtle  
218 matter to decide whether a plural referring expression denotes a group of humans (and is  
219 therefore human) or an organization of some kind (and therefore not human). What is required to  
220 distinguish an organization of some kind from a plurality of humans? The coding documentation  
221 of Garretson et al. (2004) includes a decision tree, for such cases, but we also tested for intercoder  
222 reliability on animacy, as discussed below.

223 4. *The Give model across space*

224 For the comparative study of the NZ and US varieties of English, we decided to fit a logistic  
225 regression model to the combined dataset, using the coded variables described above, and adding  
226 another variable to distinguish the different sources of data. There are advantages to this design  
227 over fitting separate regression models to each dataset. The most important is that separate  
228 regressions may reveal differences but cannot tell us whether they are significant. In addition, this  
229 design lessens the chances of overfitting the individual component datasets.

230 The anova table for the model (Table 1) shows that the main effects found by Bresnan et al.  
231 are also significant in the data of the present study. The odds ratios (Table 2) show the  
232 magnitudes and directions of the effects for specific values of the predictors. For example, a  
233 non-animate recipient is over 11 times more likely than an animate recipient to be expressed in  
234 the prepositional dative. And each increment in the log length of the recipient is associated  
235 with a 6-fold increase in the likelihood of occurrence in the prepositional dative. Odds ratios  
236 less than 1 occur when the prepositional dative is disfavored. For example, each increment in  
237 the log length of the theme decreases the likelihood of the prepositional dative by nearly one-  
238 tenth (0.093).

239 The complementary directions of the effects (Table 2) are the same as in the earlier study:  
240 longer, nominal, inanimate, or non-given recipients favor the dative PP construction, and shorter,  
241 pronominal, animate, or given recipients favor the double NP constructions. Similar properties  
242 influence the theme argument in the opposite ways: longer, nominal, or non-given themes favor  
243 the double object construction, while shorter, pronominal, or given themes favor the dative PP  
244 construction.

245 The data in the Bresnan et al. study included 38 dative verbs, many of which were sparsely  
246 represented in a number of categories of interest. For that reason interactions among all of the  
247 variables were not examined. In the present study, in contrast, we investigated the most frequent

Table 1  
Wald statistics for Give model

	$\chi^2$	d.f.	P
log length of recipient	39.87	1	< 0.0001
log length of theme	75.93	1	< 0.0001
Pronominality of recipient	56.14	1	< 0.0001
Pronominality of theme	87.32	1	< 0.0001
Givenness of recipient	24.30	1	< 0.0001
Givenness of theme (factor + H.O. factors)	49.51	3	< 0.0001
All interactions	16.61	2	0.0002
Animacy of recipient (factor + H.O. factors)	32.85	3	< 0.0001
All interactions	6.32	2	0.0424
Semantic class (factor + H.O. factors)	42.82	4	< 0.0001
All interactions	16.61	2	0.0002
Variety (factor + H.O. factors)	19.85	4	0.0005
All interactions	6.32	2	0.0424
Givenness of theme $\times$ semantic class (factor + H.O. factors)	16.61	2	0.0002
Animacy of recipient $\times$ variety (factor + H.O. factors)	6.32	2	0.0424
Total interaction	22.74	4	0.0001
Total	384.99	15	< 0.0001

H.O.: higher order.

248 dative verb (*give*), and were able to examine the interactions of all of the variables with each  
 249 other and with ‘variety’, now divided into the three values spoken NZ, spoken US, and written  
 250 US.

251 The model shows a significant interaction of givenness of theme with semantic class. In  
 252 particular, non-givenness of theme significantly increases the odds of a double object  
 253 construction for the transfer uses of *give*, compared to abstract uses. (The communicative uses of  
 254 *give* do not differ significantly from the abstract in this respect.) This is not surprising because

Table 2  
Odds ratios for prepositional dative in the Give model

Intercept	0.196
log length of recipient	6.780
log length of theme	0.093
Recipient = pronoun	0.052
Theme = pronoun	25.662
Recipient = not given	3.927
Theme = not given	0.551 (n.s.)
Recipient = non-animate	11.712
Semantic class = communication	6.884
Semantic class = transfer	12.406
Variety = spoken US	2.896
Variety = written US	2.705
Theme = not given $\times$ semantic class = communication	0.574 (n.s.)
Theme = not given $\times$ semantic class = transfer	0.132
Recipient = not animate $\times$ variety = spoken US	0.144
Recipient = not animate $\times$ variety = written US	0.377 (n.s.)

255 there is a connection between givenness of theme and semantic class of the verb which was not  
256 separated out in our coding. Abstract themes with *give* often denote actions, whether literal (give  
257 a try, give a kiss) or figurative (give a hand, give the strap), and neither actions nor the entities  
258 denoted by other more idiomatic uses of *give* with themes (give a headache, the creeps, etc.) have  
259 the clear and stable spatiotemporal boundaries that sustain co-reference, which is central to our  
260 operationalization of givenness. An analysis of the givenness of themes of the three semantic  
261 classes in fact shows that 35% of the theme objects of transfer uses of *give* are given, compared to  
262 10% of abstract uses and 11% of communicative uses.

263 Finally, there is an interaction of variety with animacy, shown in Fig. 1. Non-animate  
264 recipients are more likely to be used in the double object construction in the NZ than in US  
265 spoken data, and this is so, of course, independently of the other variables.

266 The overall quality of this model is very good. The concordance statistic  $C = 0.977$ , and  
267 Somers'  $D_{xy} = 0.953$ ; these figures indicate the ability of the model to discriminate between all  
268 pairs of the dative observations that differ in construction type. The Nagelkerke  $R^2 = 0.772$ ; this  
269 statistic indicates the proportion of the variance that the model accounts for. There is little  
270 overfitting: under bootstrap validation with 10,000 repeated fits, a 1.5% reduction in  $R^2$  occurred, to  
271 a value of 0.761.

272 There were two different coders for the NZ and US data. To assess the degree of coder  
273 agreement for animacy, we randomly sampled 10% of each of the two spoken datasets, which  
274 were then independently coded for animacy by a third coder. While the original coder of the US  
275 data worked with the seven Garretson et al. animacy categories and then modified them to the  
276 four binned categories noted above, the coder of the NZ data from the outset used the simplified  
277 categories adopted in the Bresnan et al. analysis—human or animal versus other, and this  
278 simplified animacy category was also used by the third coder. Hence, both coders of NZ data used  
279 the simplified animacy category, while only one coder of US data did.

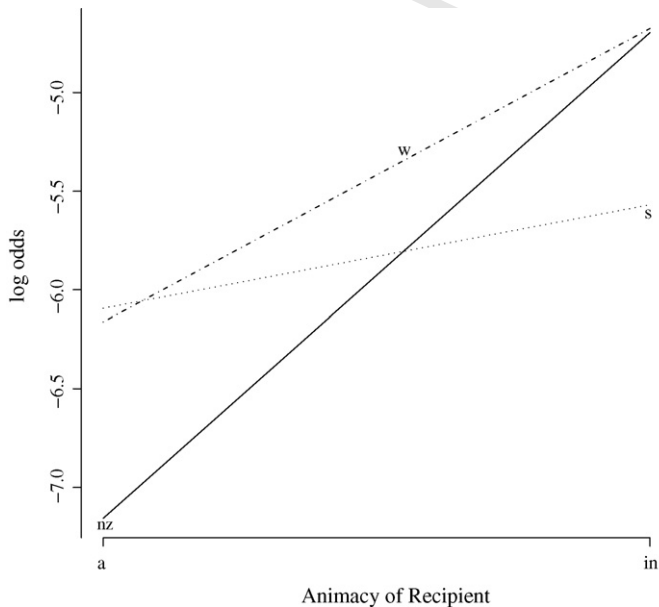


Fig. 1. Interaction of recipient animacy with variety: New Zealand spoken ('nz'), US spoken ('s'), and US written ('w').



Table 3  
Proportions of Agreement for Animacy Coding

	Animate	Non-animate
NZ data sample		
Animate	104	1
Non-animate	2	117
US spoken data sample		
Animate	103	16
Non-animate	0	131
Proportion agreeing	NZ	US
Overall	0.987	0.936
Animate	0.986	0.928
Non-animate	0.987	0.942

280 **Table 3** displays the contingency tables for the animacy classifications of the two pairs of  
 281 coders. The overall agreement is highest for the NZ data, but the proportion of overall agreement  
 282 for the US data is also high. The levels of agreement between both pairs of coders are highly  
 283 significant: by Fisher's exact test,  $P(\text{Observed} \geq \text{Expected}) = 7.0737 \times 10^{-61}$  for the NZ  
 284 sample,  $P(\text{Observed} \geq \text{Expected}) = 1.4235 \times 10^{-53}$  for the US sample.

285 The proportions of specific agreement for both animacy and non-animacy are also high. The  
 286 proportion agreeing on animate for the US data is calculated as the number of agreeing individual  
 287 coder votes for 'animate' ( $103 \times 2$ ) divided by the total number of individual coder votes for  
 288 'animate' ( $103 \times 2 + 0 + 16$ ). This estimates the conditional probability of a coder choosing  
 289 'animate' given the other (arbitrarily chosen) coder's choosing 'animate'. Both the proportion  
 290 agreeing on animate and the proportion agreeing on non-animate are high, indicating a higher  
 291 than chance level of coder agreement (Spitzer and Fleiss, 1974; Cicchetti and Feinstein, 1990).<sup>1</sup>

## 292 5. The Give model across time

293 Because the New Zealand data span a relatively wide time span, we also fit the model  
 294 separately to that dataset, and considered whether there was any additional effect of the year in  
 295 which the speaker was born. The resulting model is shown in **Table 4**. All of the effects remain  
 296 significant except for givenness of recipient, which may simply be because we are now dealing  
 297 with a smaller dataset.

298 Again the overall model quality is very good: the concordance statistic  $C = 0.985$ , Somers'  
 299  $D_{xy} = 0.97$ , and the Nagelkerke  $R^2 = 0.824$ . There is somewhat more overfitting of the NZ  
 300 dataset by this model: under bootstrap validation with 10000 simulations,  $R^2$  diminished from  
 301 0.824 to 0.796, a 3.6% reduction, still an acceptable amount.

302 Interpreting the model, we see that the year of birth of the speaker has a significant non-linear  
 303 effect. This is shown in **Fig. 2**. Earlier and later born New Zealanders are more likely to use the  
 304 prepositional construction than our 'intermediate' speakers, born in the early 20th C.

305 This U-shaped curve parallels some other variables observed in the ONZE corpora. For  
 306 example **Hay and Schreier (2004)** demonstrate that the use of singular concord in existential

<sup>1</sup> For purposes of comparison, we note that the Cohen's kappa statistics for nominally classified data are  $kappa = 0.973124$ ,  $Z = 14.5157$ ,  $p = 0$  for the NZ sample, and  $kappa = 0.871546$ ,  $Z = 13.7046$ ,  $p = 0$  for the US sample.

Table 4  
Wald Statistics for Give model—NZ data only

	$\chi^2$	d.f.	P
log length of recipient	11.95	1	0.0005
log length of theme	7.76	1	0.0053
Pronominal recipient	26.42	1	< 0.0001
Pronominal theme	37.94	1	< 0.0001
Givenness of recipient	2.81	1	0.0935
Givenness of theme (factor + H.O. factors)	24.87	3	< 0.0001
All interactions	14.82	2	0.0006
Animacy of recipient	12.60	1	0.0004
Semantic class (factor + H.O. factors)	20.53	4	0.0004
All interactions	14.82	2	0.0006
Age	6.16	2	0.0460
Non-linear	5.67	1	0.0172
Givenness of theme × semantic class (factor + H.O. factors)	14.82	2	0.0006
Total non-linear + interaction	18.58	3	0.0003
Total	110.32	13	< 0.0001

H.O.: higher order.

307 constructions declined in NZ until around 1900, and then steadily increased, and is relatively  
 308 common today. Gordon et al. (2004) and Schreier et al. (2004) show that the use of [hw] in words  
 309 like *which* and *whistle* increased in early New Zealand English, and then reversed its trajectory  
 310 around 1900. It is relatively rare today. Thus, while the reversal of trajectories of language change

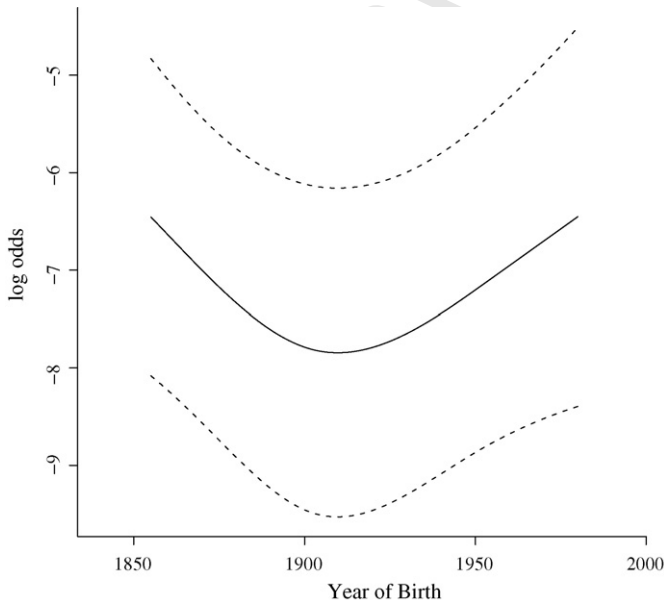


Fig. 2. Age effect from NZ model of 'give' alternation. Dashed lines represent 95% confidence intervals.

may be unusual, it seems to be emerging as an intriguing pattern in the New Zealand context. New Zealand English is a relatively new variety of English, and thus the early recordings in this archive contain the first generation of New Zealand English speakers. The early speakers, then, are in a fairly unstable, dialect contact situation—a time of rapid change. A relatively homogeneous dialect had emerged by the turn of the century, by which time New Zealand English existed as a distinct entity (for extensive discussion see [Gordon et al. \(2004\)](#) and [Trudgill \(2004\)](#)). Different processes were at work before the early 1900s and after the 1900s—one a time of dialect leveling, and the other approximating more ‘normal’ processes of language change. That these may exert different types of pressure on a language system is evidenced by these three changes, which appear to have reversed trajectory around the same time. That there should be a change around this time is also consistent with [Schneider’s \(2003\)](#) model of the emergence of new Englishes. He considers the early part of the 20th Century in New Zealand to mark the transition from a ‘phase 2’ dialect (where speakers consider themselves an outpost of the colonizing nation, and accept the external norm), to a ‘phase 3’ dialect, where the ‘mother country’ is felt to be less of a ‘mother’. While existing models of new dialect formation do not explicitly predict that the different stages might place opposite pressures on a particular variable, the data from NZ English certainly seems to suggest that this might be the case. Examining the details of these and other changes will be an interesting challenge for future work.

For the purposes of this study, however, the age effect is interesting in that it suggests that the overall propensity to use PPs is itself something which is prone to sociolinguistic forces. There may be different dialects, and/or different periods within a single dialect, where the use of the PP is stylistically preferred or dispreferred. Just like sound changes, and syntactic changes, choices between perfectly well-formed alternate variants vary over time.

Overall, we have evidence that the weight of constraints can vary across speaker groups (as with the animacy difference between the dialects), as can the overall probability of the use of PP (as with NZers of different generations).

## 6. Conclusion

The discovery that varieties of English differ quantitatively in the effect of animacy on the syntax of *give* should not be surprising. Quantitative differences in the effect of animacy on the choice of syntactic paraphrases have also been observed in another area of English grammar, the genitive alternation (see [Hundt \(1998\)](#) on NZ English, and [Rosenbach \(2002, 2003\)](#), and [Hinrichs and Szmrecsányi \(2006\)](#) on American and British English). [Rosenbach’s \(2002, 2003\)](#) controlled experimental study found differences in the response to animacy in English speakers in the US and UK; the US speakers tended to place more inanimates in the initial (‘s genitive) position than the UK speakers. Furthermore, in a number of unrelated languages from different parts of the world, animacy has been found to determine word order choices in ditransitive constructions with dative verbs (Shona, Sesotho: [Hawkinson and Hyman \(1974\)](#) and [Morolong and Hyman \(1977\)](#), Spoken Eastern Armenian: [Polinsky \(1996\)](#), Mayali, Gunwinjguan: [Evans \(1997\)](#), and other typologically diverse languages: [Haspelmath \(2003, 2004\)](#)). See [Rosenbach \(this volume\)](#) for a synthetic overview of animacy and grammatical variation. Whether they are a direct or indirect effect (cf. [Rosenbach’s, 2003](#) experimental study and [Hinrich and Szmrecsányi’s, 2006](#) corpus study), the quantitative differences in animacy we have found appear to reflect the dynamics of higher-level choices that change grammar in subtle, gradient ways.

The variability we have found provides evidence in favor of models of grammar which are quantitative and learned from exposure to other speakers. Any such grammar is likely to display

356 some variability, depending on the nature of the exemplars that successive generations are  
357 exposed to. Two broad classes of theoretically motivated grammars are currently available—  
358 probabilistic grammars and exemplar-based models. To the degree that the language experiences  
359 of different speakers and speaker groups varies, we expect gradient differences in the grammar to  
360 emerge, given either of these classes of grammar models.

361 Probabilistic grammars associate probabilities with conventional rules, constraints,  
362 parameters, or grammars, which define a probability distribution over their outputs (see Anttila  
363 and Fong, 2004; Bresnan and Nikitina, 2003; Hale, 2003; Jäger and Rosenbach, 2006; Levy,  
364 2005; Manning, 2003; Smith and Cormack, 2002 and Yang, 2004, for various perspectives). The  
365 sensitivity of probabilistic grammars to use and context is explained by statistical learning  
366 algorithms or by deriving their properties from models of language perception and production  
367 (Boersma and Hayes, 2001; Boersma, 2004; Ferreira, 1996; Goldwater and Johnson, 2003; Jäger,  
368 in press; Chang et al., 2006). Exemplar-based models of syntax provide another solution (Bod,  
369 1998). According to the exemplar-based conception, there are no explicit rules of grammar. The  
370 grammar arises as a set of analogical generalizations over stored chunks of previously  
371 experienced language – lexicalized phrases or constructions – which are used to build new  
372 expressions analogically. The view that syntactic competence involves representations of  
373 previous language experiences, and not abstract rules has been perhaps most thoroughly  
374 articulated with the LFG representational basis (Bod and Kaplan, 2003; Bod, 2006), but is  
375 available across a family of lexical constraint-based grammatical theories including Construction  
376 Grammar, HPSG, and LFG (see Jackendoff (2002) for a synthesis and Bod et al. (2003) for a  
377 variety of formalizations), as well as Cognitive Grammar (Langacker, 1998). Phonetic evidence  
378 suggesting that *give* phrases may be stored is discussed in Hay and Bresnan (2006).

379 In conclusion, we have shown that a statistical model of the syntax of *give* reveals gradient  
380 properties of grammar. Following up Bresnan et al.'s (2007) demonstration that the statistical  
381 model is relatively stable and systematic across speakers and modalities of US English, we have  
382 shown that while it is largely shared with the English of New Zealand, it also shows quantitative  
383 variation across speaker groups in space and time. The two varieties differ in the degree of  
384 influence of animacy on the dative alternation, and there are quantitative changes in the  
385 alternation over the historical time of the development of the NZ English variety. These results  
386 indicate that the variability captured in the statistical model is unlikely to be explained by  
387 considerations of 'performance' or cognitive processing resources, since we lack antecedently  
388 known differences in cognitive resources between the speaker groups studied. Instead we suggest  
389 that the results support statistical theories of linguistic competence—what we have called  
390 'gradient grammar'.

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