

From: Flanagan, John J [CMB-GTS] [jf08350@imcnam.ssmb.com]  
Sent: Thursday, September 11, 2008 4:24 PM  
To: Morri, Robson R [CMB-GTS]  
Cc: Lukas, Katherine [CMB-GTS]; Chubbuck, Nathan [CMB-GTS]  
Subject: RE: LEHMAN

Many thanks!

John J Flanagan  
GTS - Global Securities Services  
The Americas Regional Service Center  
813/604-1939 (phone)  
813/604-1289 (fax)

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-----Original Message-----

From: Morri, Robson R [CMB-GTS]  
Sent: Thursday, September 11, 2008 4:06 PM  
To: Flanagan, John J [CMB-GTS]  
Cc: Lukas, Katherine [CMB-GTS]; Chubbuck, Nathan [CMB-GTS]  
Subject: RE: LEHMAN

Attached.

-----Original Message-----

From: Flanagan, John J [CMB-GTS]  
Sent: Thursday, September 11, 2008 3:33 PM  
To: Morri, Robson R [CMB-GTS]  
Cc: Lukas, Katherine [CMB-GTS]; Chubbuck, Nathan [CMB-GTS]  
Subject: RE: LEHMAN

Robson,

Can you please forward us a copy of the W-9.

Many thanks,  
John

John J Flanagan  
GTS - Global Securities Services  
The Americas Regional Service Center  
813/604-1939 (phone)  
813/604-1289 (fax)

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-----Original Message-----

From: Morri, Robson R [CMB-GTS]  
Sent: Thursday, September 11, 2008 3:26 PM  
To: Fontana, Thomas [CMB-RISK]; Mercer-Miller, Deborah [CMB-GTS]; Dudsak, Craig S [CMB-GTS]; Carey, June [CMB-CMPL]; La Rosa, Joseph [CMB-CMPL]; Flanagan, John J [CMB-GTS]; Lewis, Michael S1 [CMB-GTS]  
Cc: Lukas, Katherine [CMB-GTS]; Hayes, Steven R [CMB-GCO]  
Subject: RE: LEHMAN

Thank you all.

Account have been opened (203489) and it will be active tomorrow after tonight's batch. Below are the docs deferred based on docs on file:

GCSA  
SPF  
Patriot Act

Let me know if the above will be provided and by when.

John,

W9 was on file for Cash and it was validated by Regla Fernandez here in ACASH.

Regards,

Robson

-----Original Message-----

From: Fontana, Thomas [CMB-RISK]  
Sent: Thursday, September 11, 2008 3:24 PM  
To: Mercer-Miller, Deborah [CMB-GTS]; Dudsak, Craig S [CMB-GTS]; Carey, June [CMB-CMPL]; La Rosa, Joseph [CMB-CMPL]  
Cc: Lukas, Katherine [CMB-GTS]; Lewis, Michael S1 [CMB-GTS]; Morri, Robson R [CMB-GTS]; Hayes, Steven R [CMB-GCO]  
Subject: RE: LEHMAN

Approved.

Best regards,

Tom

Global Financial Institutions  
Risk Management

Office: (212) 816-4986  
Cell: (908) 577-2039  
Home: (908) 665-0694

-----Original Message-----

From: Mercer-Miller, Deborah [CMB-GTS]  
Sent: Thursday, September 11, 2008 2:54 PM  
To: Dudsak, Craig S [CMB-GTS]; Fontana, Thomas [CMB-RISK]; Carey, June [CMB-CMPL]; La Rosa, Joseph [CMB-CMPL]  
Cc: Lukas, Katherine [CMB-GTS]; Lewis, Michael S1 [CMB-GTS]; Morri, Robson R [CMB-GTS]; Hayes, Steven R [CMB-GCO]  
Subject: Re: LEHMAN  
Importance: High

June or Joe - Please review and approve for compliance

Tom - please approve for risk

----- Original Message -----

From: Mercer-Miller, Deborah [CMB-GTS]  
To: Dudsak, Craig S [CMB-GTS]; Fenoglio, Mary [CMB-GTS]  
Cc: Fontana, Thomas [CMB-RISK]; Hayes, Steven R [CMB-GCO]; Mauerstein, Michael [CMB-GBKG]; Lukas, Katherine [CMB-GTS]; Lewis, Michael S1 [CMB-GTS]; Carey, June [CMB-CMPL]; La Rosa, Joseph [CMB-CMPL]; Barkemeyer, Michael [CMB-GTS]; Morri, Robson R [CMB-GTS]; Porcaro, Ken [CMB-GTS]; Saunders, Marcia C [CMB-GTS]; Douglas, Timothy S [CMB-GTS]; Derenzo, Ron M [CMB-GTS]; Cuniglio, Joseph A [CMB-GTS]; Obermaier, Thomas [CMB-GTS]; Fabian, David M [CMB-GTS]; Melillo, Joseph [CMB-GTS]  
Sent: Thu Sep 11 12:04:11 2008  
Subject: Re: LEHMAN

Approval was received from Product Risk.

----- Original Message -----

From: Dudsak, Craig S [CMB-GTS]  
To: Mercer-Miller, Deborah [CMB-GTS]; Fenoglio, Mary [CMB-GTS]  
Cc: Fontana, Thomas [CMB-RISK]; Hayes, Steven R [CMB-GCO]; Mauerstein, Michael [CMB-GBKG]; Lukas, Katherine [CMB-GTS]; Lewis, Michael S1 [CMB-GTS]; Carey, June [CMB-CMPL]; La Rosa, Joseph [CMB-CMPL]; Barkemeyer, Michael [CMB-GTS]; Morri, Robson R [CMB-GTS]; Porcaro, Ken [CMB-GTS]  
Sent: Thu Sep 11 10:36:56 2008  
Subject: Re: LEHMAN

Approved

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Sent from my BlackBerry Wireless Handheld

----- Original Message -----

From: Mercer-Miller, Deborah [CMB-GTS]  
To: Dudsak, Craig S [CMB-GTS]; Fenoglio, Mary [CMB-GTS]  
Cc: Fontana, Thomas [CMB-RISK]; Hayes, Steven R [CMB-GCO]; Mauerstein,

Michael [CMB-GBKG]; Lukas, Katherine [CMB-GTS]; Lewis, Michael S1 [CMB-GTS]; Carey, June [CMB-CMPL]; La Rosa, Joseph [CMB-CMPL]; Barkemeyer, Michael [CMB-GTS]; Morri, Robson R [CMB-GTS]; Porcaro, Ken [CMB-GTS]

Sent: Thu Sep 11 10:30:17 2008

Subject: LEHMAN

Mary or Craig,

In conjunction with the proposed pledge of securities by Lehman Brothers Holdings, Inc. ("Lehman") to Citigroup and its affiliates, Lehman needs to have a US securities custody account and cash account opened and maintained by GTS SFS within Citibank, N.A., New York Offices. This account has already been reserved.

However it is probable that the account will need to be available to receive securities to be subject to the pledge arrangements before Citibank receives from Lehman a signed GCSA and other account opening documentation.

I would like your approval to open the account and accept the receipt of securities to be held as collateral for Citigroup and affiliates under the following conditions:

1. The securities will be received free into the account
2. The account will be subject to oversight and control by GTS Risk under arrangements to be determined
3. Until completed custody documentation is received and final control arrangements and Lehman instruction arrangements have been documented and verified, no transfer or delivery from the account will be permitted except on the instruction by Tom Obermaier with verification by either GTS Legal or by Mary Fenoglio or Craig Dudsak as head of US Custody Product Management.

Please confirm that you have no objections to these arrangements and document deferrals so that the account can be open immediately.

Debbie Mercer-Miller  
Vice President  
Global Custody  
388 Greenwich St. 14th Flr.  
New York, NY 10013  
Telephone: 212 816-6861  
Email: [deborah.mercermiller@Citigroup.com](mailto:deborah.mercermiller@Citigroup.com)

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