

**From:** RGGI Auction Manager <auctionmanager@worldenergy.com>  
**Sent:** Monday, August 25, 2008 6:31 PM (GMT)  
**To:** Macintosh, James <james.macintosh@lehman.com>  
**Subject:** RE: RGGI Auction Application

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James,

All communications regarding your RGGI qualification package have been sent to:

michele.gold@lehman.com  
<mailto:brian.pittluck@lehman.com> brian.pittluck@lehman.com

They are the designated auction representatives.

Regards,

Jon Harvey

RGGI CO2 Budget Trading Programs Auction Manager

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From: Macintosh, James [mailto:james.macintosh@lehman.com]  
Sent: Fri 8/22/2008 5:27 PM  
To: RGGI Auction Manager  
Subject: RGGI Auction Application

Hi,

I am writing to confirm your receipt of the RGGI Auction application materials for Lehman Brothers? I have not heard anything in response and want to confirm that we are on track to participate.

Thanks

James Macintosh  
LEHMAN BROTHERS  
745 7th Ave, 7th Fl. | New York, NY 10019  
Office: 212 528 0701 | Fax: 646 834 2484

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