

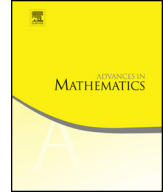


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Logarithmic concavity for morphisms of matroids

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ABSTRACT

Morphisms of matroids are combinatorial abstractions of linear maps and graph homomorphisms. We introduce the notion of a basis for morphisms of matroids, and show that its generating function is strongly log-concave. As a consequence, we obtain a generalization of Mason's conjecture on the f -vectors of independent subsets of matroids to arbitrary morphisms of matroids. To establish this, we define multivariate Tutte polynomials of morphisms of matroids, and show that they are Lorentzian in the sense of [6] for sufficiently small positive parameters.

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1. Introduction

A matroid M on a finite set E is defined by its rank function $\text{rk}_M : 2^E \rightarrow \mathbb{N}$ satisfying the following conditions:

- (1) For any $S \subseteq E$, we have $\text{rk}_M(S) \leq |S|$.
- (2) For any $S_1 \subseteq S_2 \subseteq E$, we have $\text{rk}_M(S_1) \leq \text{rk}_M(S_2)$.

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(3) For any $S_1 \subseteq E, S_2 \subseteq E$, we have $\text{rk}_M(S_1 \cup S_2) + \text{rk}_M(S_1 \cap S_2) \leq \text{rk}_M(S_1) + \text{rk}_M(S_2)$.

A subset $S \subseteq E$ is an *independent set* of M if $\text{rk}_M(S) = |S|$, and a *spanning set* of M if $\text{rk}_M(S) = \text{rk}_M(E)$. A *basis* of M is a subset that is both independent and spanning, and a *circuit* of M is a subset that is minimal among those not in any basis of M .

Definition 1.1. Let M and N be matroids on ground sets E and F . A morphism $f : M \rightarrow N$ is a function from E to F that satisfies

$$\text{rk}_N(f(S_2)) - \text{rk}_N(f(S_1)) \leq \text{rk}_M(S_2) - \text{rk}_M(S_1) \quad \text{for any } S_1 \subseteq S_2 \subseteq E.$$

A subset $S \subseteq E$ is a *basis of f* if S is contained in a basis of M and $f(S)$ contains a basis of N .

The category Mat consists of matroids with morphisms as defined above.¹ The initial object of Mat is $U_{0,0}$, the unique matroid on the empty set. The terminal object of Mat is $U_{0,1}$, the matroid on a singleton of rank zero.

We write $\mathcal{B}(f)$ for the set of bases of a morphism of matroids f . When f is the identity morphism of M , the set $\mathcal{B}(f)$ is the collection of bases $\mathcal{B}(M)$ of M . When f is the morphism from M to the terminal object $U_{0,1}$, the set $\mathcal{B}(f)$ is the collection of independent sets $\mathcal{J}(M)$ of M .

We prove the following log-concavity properties for the set of bases of a morphism. Let $f : M \rightarrow N$ be any morphism between matroids M and N on ground sets E and F . We write n for the cardinality of E and $w = (w_i)_{i \in E}$ for the variables representing the coordinate functions on $\mathbb{R}^E \simeq \mathbb{R}^n$.

Theorem 1.2 (Continuous). *The basis generating polynomial*

$$\underline{B}_f(w) := \sum_{S \in \mathcal{B}(f)} \prod_{i \in S} w_i$$

is either identically zero or its logarithm is concave on the positive orthant $\mathbb{R}_{>0}^n$.

Theorem 1.3 (Discrete). *Let $b_k(f)$ be the number of bases of f of cardinality k . For all k , we have*

$$\frac{b_k(f)^2}{\binom{n}{k}^2} \geq \frac{b_{k-1}(f)}{\binom{n}{k-1}} \frac{b_{k+1}(f)}{\binom{n}{k+1}}.$$

One recovers the strongest form of Mason’s conjecture on independent sets of a matroid from [26], proved in [2,5], by considering the morphism to $U_{0,1}$ in Theorem 1.3.

¹ Morphisms are closely related to strong maps [33, Chapter 17]: A strong map from M to N is a morphism from $M \oplus U_{0,1}$ to $N \oplus U_{0,1}$.

Example 1.4. Let $\mathbb{A}^1(\mathbb{F}_2)$ be any line in the four-dimensional affine space $\mathbb{A}^4(\mathbb{F}_2)$ over the field \mathbb{F}_2 , and let M be the matroid of affine dependencies on the 14 points $\mathbb{A}^4(\mathbb{F}_2) \setminus \mathbb{A}^1(\mathbb{F}_2)$. Projecting from the line gives a two-to-one map onto the projective plane

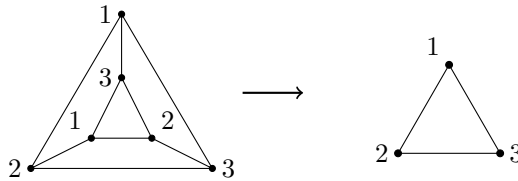
$$\mathbb{A}^4(\mathbb{F}_2) \setminus \mathbb{A}^1(\mathbb{F}_2) \longrightarrow \mathbb{P}^2(\mathbb{F}_2).$$

The projection defines a morphism f from M to the Fano matroid F_7 with

$$(b_0(f), b_1(f), b_2(f), b_3(f), b_4(f), b_5(f), b_6(f), \dots) = (0, 0, 0, 224, 840, 1232, 0, \dots).$$

See Remark 2.1 for a discussion of morphisms of matroids constructed from linear maps.

Example 1.5. A graph homomorphism is a function between the vertex sets of two graphs that maps adjacent vertices to adjacent vertices. For example, consider the following graph homomorphism $G \rightarrow H$:



The induced map between the edges defines a morphism between the cycle matroids $f : M(G) \rightarrow M(H)$ with

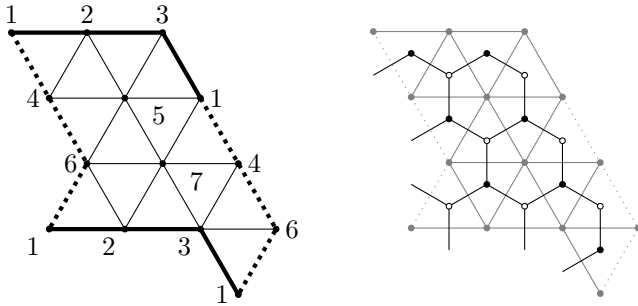
$$(b_0(f), b_1(f), b_2(f), b_3(f), b_4(f), b_5(f), b_6(f), \dots) = (0, 0, 27, 79, 111, 75, 0, \dots).$$

See Remark 2.2 for a discussion of morphisms of matroids constructed from graph homomorphisms.

Example 1.6. For any cellularly embedded graph G on a compact surface Σ , the bijection between the edges of G and its geometric dual G^* on Σ defines a morphism of matroids

$$f : M(G)^* \longrightarrow M(G^*),$$

where $M(G)^*$ is the cocycle matroid of G and $M(G^*)$ is the cycle matroid of G^* , see [10, Theorem 4.3] for a proof. The difference between the ranks of the two matroids is $2 - \chi(\Sigma)$, so f is not an isomorphism unless the surface is a sphere. For example, consider the embedding of the complete graph K_7 on the torus shown below; it is the 1-skeleton of the minimal triangulation of the torus.



The geometric dual K_7^* is the Heawood graph, the point-line incidence graph of the projective plane $\mathbb{P}^2(\mathbb{F}_2)$. One can check that $f : M(K_7)^* \rightarrow M(K_7^*)$ is a morphism with

$$\left(\dots, b_{13}(f), b_{14}(f), b_{15}(f), \dots \right) = \left(\dots, 50421, 47715, 16807, \dots \right).$$

We point to <https://github.com/chrisweur/matroidmap> for a Macaulay2 code supporting the computations here.

We identify E with $[n]$, and introduce a variable w_0 different from the variables w_1, \dots, w_n . The *homogeneous multivariate Tutte polynomial* of $f : M \rightarrow N$ is the homogeneous polynomial of degree n in $n + 1$ variables

$$Z_{p,q,f}(w_0, w_1, \dots, w_n) := \sum_{S \subseteq [n]} p^{-\text{rk}_M(S)} q^{-\text{rk}_N(f(S))} w_0^{n-|S|} \prod_{i \in S} w_i,$$

where p and q are real parameters. We show that the homogeneous multivariate Tutte polynomials are Lorentzian in the sense of [6], and deduce Theorems 1.2 and 1.3 from the Lorentzian property.

Theorem 1.7. *For any positive real numbers $p \leq 1$ and $q \leq 1$, the polynomial $Z_{p,q,f}$ is Lorentzian.*

One recovers the Lorentzian property of the homogeneous multivariate Tutte polynomial of a matroid M [6, Theorem 11.1] by considering the morphism from M to $U_{0,1}$. We will establish Theorem 1.7 in the more general context of flag matroids.

After we review notions surrounding morphisms of matroids in Section 2, we turn to flag matroids, which are Coxeter matroids of type A in the sense of [4], and define homogeneous multivariate Tutte polynomials of flag matroids in Section 3. We show in Section 4 that these polynomials are Lorentzian in the sense of [6], and deduce the three main theorems stated above. We close the paper in Section 5 with a question and a conjecture.

2. Morphisms of matroids

2.1. Let M and N be matroids on ground sets E and F . A *morphism* $f : M \rightarrow N$ is a function from E to F satisfying any one of the following equivalent conditions:

- (1) For any $S_1 \subseteq S_2 \subseteq E$, we have $\text{rk}_N(f(S_2)) - \text{rk}_N(f(S_1)) \leq \text{rk}_M(S_2) - \text{rk}_M(S_1)$.
- (2) If $T \subseteq F$ is a cocircuit of N , then $f^{-1}(T) \subseteq E$ is a union of cocircuits of M .
- (3) If $T \subseteq F$ is a flat of N , then $f^{-1}(T) \subseteq E$ is a flat of M .

For all undefined terms in matroid theory, we refer to [28]. The equivalence of the three conditions readily follows from the properties of strong maps [17]. Basic properties of the category Mat with morphisms as defined above was studied in [14].

Remark 2.1 (*Representable matroids*). Let $\text{Mat}(\mathbb{F})$ be the category whose objects are functions of the form

$$\varphi : E \longrightarrow W,$$

where E is a finite set and W is a vector space over a field \mathbb{F} . We write $M(\varphi)$ for the matroid on E defined by the rank function

$$\text{rk}_{M(\varphi)}(S) = \text{the dimension of the span of } \varphi(S) \text{ over } \mathbb{F}.$$

The object φ is called a *representation* of $M(\varphi)$ over \mathbb{F} [33, Chapter 6]. A morphism from φ_1 to φ_2 in $\text{Mat}(\mathbb{F})$ is a commutative diagram

$$\begin{array}{ccc} E_1 & \xrightarrow{\varphi_1} & W_1 \\ \downarrow & & \downarrow \\ E_2 & \xrightarrow{\varphi_2} & W_2 \end{array}$$

where $W_1 \rightarrow W_2$ is a linear map between the vector spaces. Using the description of morphisms of matroids in terms of flats, we see that the function $E_1 \rightarrow E_2$ gives a morphism of matroids $M(\varphi_1) \rightarrow M(\varphi_2)$, defining a functor

$$\mathcal{R}_{\mathbb{F}} : \text{Mat}(\mathbb{F}) \longrightarrow \text{Mat}, \quad \varphi \longmapsto M(\varphi).$$

Remark 2.2 (*Cycle matroids*). Let Graph be the category of graphs, that is, functions of the form

$$\varphi : E \longrightarrow V^{(2)},$$

where E is a finite set and $V^{(2)}$ is the set of two-element multi-subsets of another finite set V . We write $M(\varphi)$ for the matroid on E defined by the condition

S is independent in $M(\varphi) \iff S$ does not contain any cycle of the graph φ .

The matroid $M(\varphi)$ is called the *cycle matroid* of φ [28, Chapter 5]. A morphism from φ_1 to φ_2 in **Graph** is a commutative diagram

$$\begin{array}{ccc} E_1 & \xrightarrow{\varphi_1} & V_1^{(2)} \\ \downarrow & & \downarrow \\ E_2 & \xrightarrow{\varphi_2} & V_2^{(2)} \end{array}$$

where $V_1^{(2)} \rightarrow V_2^{(2)}$ is a map induced from a map $V_1 \rightarrow V_2$. Using the description of morphisms of matroids in terms of flats, we see that the function $E_1 \rightarrow E_2$ gives a morphism of matroids $M(\varphi_1) \rightarrow M(\varphi_2)$, defining a functor

$$\mathcal{C} : \mathbf{Graph} \longrightarrow \mathbf{Mat}, \quad \varphi \longmapsto M(\varphi).$$

2.2. A *matroid quotient* is a morphism of matroids $f : M \rightarrow N$ whose underlying map between the ground sets is the identity function of a finite set. In this case, N is said to be a quotient of M , and we denote the morphism by $M \twoheadrightarrow N$. Many equivalent descriptions of matroid quotients are given in [7, Proposition 7.4.7]. For later use, we record here two immediate but useful properties of matroid quotients. Recall that an element i is a *loop* of M if $\{i\}$ is a circuit of M , and that distinct elements i, j are *parallel* in M if $\{i, j\}$ is a circuit of M .

Lemma 2.3. *Let $M \twoheadrightarrow N$ be a matroid quotient on E , and let i, j be distinct elements of E .*

- (1) *If i is a loop in M , then i is a loop in N .*
- (2) *If i, j are parallel in M , then either i, j are parallel in N or both i, j are loops in N .*

Proof. The first statement follows from $\text{rk}_N(i) \leq \text{rk}_M(i)$. For the second statement, note that

$$\text{rk}_N(i, j) - \text{rk}_N(i) \leq \text{rk}_M(i, j) - \text{rk}_M(i) \quad \text{and} \quad \text{rk}_N(i, j) - \text{rk}_N(j) \leq \text{rk}_M(i, j) - \text{rk}_M(j).$$

Thus $\text{rk}_M(i, j) = \text{rk}_M(i) = \text{rk}_M(j)$ implies $\text{rk}_N(i, j) = \text{rk}_N(i) = \text{rk}_N(j)$. \square

Questions on morphisms of matroids can often be reduced to those on matroid quotients. Let M and N be matroids on ground sets E and F , and let f be a function from E to F . We write $f^{-1}(N)$ for the matroid on E defined by the rank function

$$\text{rk}_{f^{-1}(N)}(S) = \text{rk}_N(f(S)) \text{ for } S \subseteq E.$$

Informally, $f^{-1}(N)$ is the matroid obtained from the restriction $N|_{f(E)}$ by replacing each non-loop element e with a set of parallel elements $f^{-1}(e)$ and each loop e by a set of loops $f^{-1}(e)$. See [33, Chapter 8.2] for a more general construction of *induced matroids*.

Lemma 2.4. *The function f defines a morphism $M \rightarrow N$ if and only if $f^{-1}(N)$ is a quotient of M . In this case, the set of bases $\mathcal{B}(M \rightarrow N)$ is either empty or equal to $\mathcal{B}(M \twoheadrightarrow f^{-1}(N))$.*

Therefore, the basis generating polynomial of a morphism $f : M \rightarrow N$ is either identically zero or equal to the basis generating polynomial of the quotient $M \twoheadrightarrow f^{-1}(N)$.

Proof. The first statement is obvious, given that $f^{-1}(N)$ is a matroid. For the second statement, note that $\mathcal{B}(M \rightarrow N)$ is nonempty if and only if $f(E)$ is a spanning set of N . In this case, $S \subseteq E$ is a spanning set of $f^{-1}(N)$ if and only if $f(S) \subseteq F$ is a spanning set of N , and hence

$$\mathcal{B}(M \rightarrow N) = \mathcal{B}(M \twoheadrightarrow f^{-1}(N)). \quad \square$$

We remark that the collection of bases of a quotient is the collection of feasible sets of a *saturated delta-matroid* and conversely [32]. Such a nonempty collection \mathcal{F} is characterized by its properties

- (1) for any $S_1, S_2 \in \mathcal{F}$ and any S_3 containing S_1 and contained in S_2 , we have $S_3 \in \mathcal{F}$, and
- (2) for any $S_1, S_2 \in \mathcal{F}$ and any $i \in S_1 \triangle S_2$, there is $j \in S_1 \triangle S_2$ such that $S_1 \triangle \{i, j\} \in \mathcal{F}$.

The collection of bases of $M \twoheadrightarrow N$ of a given cardinality k is, when nonempty, the set of bases of a matroid, the rank k *Higgs lift* of N toward M [7, Exercise 7.20].

3. The multivariate Tutte polynomial of a flag matroid

3.1. Let M be a matroid on E , and let $w = (w_i)_{i \in E}$. The *multivariate Tutte polynomial*, also called the Potts model partition function, of M is the polynomial

$$\underline{Z}_{q,M}(w) := \sum_{S \subseteq E} q^{-\text{rk}_M(S)} \prod_{i \in S} w_i,$$

where q is a real parameter [31]. The polynomial satisfies the *deletion-contraction relation*

$$\underline{Z}_{q,M} = \underline{Z}_{q,M \setminus i} + q^{-\text{rk}_M(i)} w_i \underline{Z}_{q,M/i} \quad \text{for any } i \in E.$$

It is related to the usual Tutte polynomial [33, Chapter 15], denoted $T_M(x, y)$, by the change of variables

$$q = (x - 1)(y - 1) \quad \text{and} \quad w_i = (y - 1) \quad \text{for all } i \in E.$$

More precisely, with the above values of q and w , we have

$$(x - 1)^{-\text{rk}_M(E)} T_M(x, y) = \underline{Z}_{q,M}(w).$$

We refer to [31] for more combinatorial properties of the multivariate Tutte polynomial and its connection to statistical physics. Two notable limits are

$$\lim_{q \rightarrow 0} q^{\text{rk}_M(E)} \underline{Z}_{q,M}(w) = \sum_{S \in \mathcal{S}(M)} \prod_{i \in S} w_i \quad \text{and} \quad \lim_{q \rightarrow 0} \underline{Z}_{q,M}(qw) = \sum_{S \in \mathcal{J}(M)} \prod_{i \in S} w_i,$$

where $\mathcal{S}(M)$ is the collection of spanning sets of M and $\mathcal{J}(M)$ is the collection of independent sets of M .

In [19], Las Vergnas introduced Tutte polynomials of matroid quotients and showed that the bases of a matroid quotient serve the same role as the bases of a matroid in defining the Tutte polynomial via internal-external activities. We refer to the series of papers [20–22,11,23,24] for properties and applications.

Definition 3.1. *The Tutte polynomial of a matroid quotient $M \twoheadrightarrow N$ on E is the trivariate polynomial*

$$T_{M \twoheadrightarrow N}(x, y, z) := \sum_{S \subseteq E} (x - 1)^{\text{crk}_N(S)} (y - 1)^{|S| - \text{rk}_M(S)} z^{\text{crk}_M(S) - \text{crk}_N(S)},$$

where $\text{crk}_M(S) = \text{rk}_M(E) - \text{rk}_M(S)$ and $\text{crk}_N(S) = \text{rk}_N(E) - \text{rk}_N(S)$.

The usual Tutte polynomial of a matroid is recovered by setting $M = N$. We define the *multivariate Tutte polynomial* of $M \twoheadrightarrow N$ by

$$\underline{Z}_{p,q,M \twoheadrightarrow N}(w) := \sum_{S \subseteq E} p^{-\text{rk}_M(S)} q^{-\text{rk}_N(S)} \prod_{i \in S} w_i,$$

where p and q are real parameters. The Tutte polynomial of $M \twoheadrightarrow N$ and the multivariate Tutte polynomial of $M \twoheadrightarrow N$ are related by the change of variables

$$p = z(y - 1), \quad pq = (x - 1)(y - 1) \quad \text{and} \quad w_i = (y - 1) \quad \text{for all } i \in E.$$

More precisely, with the above values of p, q and w , we have

$$(x - 1)^{-\text{rk}_N(E)} z^{\text{rk}_N(E) - \text{rk}_M(E)} T_{M \rightarrow N}(x, y, z) = \underline{Z}_{p,q,M \rightarrow N}(w).$$

Theorem 1.7 implies that the multivariate Tutte polynomial is log-concave on the positive orthant $\mathbb{R}_{>0}^E$ for any positive real numbers $p \leq 1$ and $q \leq 1$.

3.2. According to [4], flag matroids are precisely the Coxeter matroids of type A. For our purposes, it is most natural to work with flag matroids and their multivariate Tutte polynomials.

Definition 3.2. A flag matroid \mathcal{M} is a sequence of matroids (M_1, \dots, M_ℓ) of matroids on a common ground set E satisfying the condition

$$\text{the matroid } M_k \text{ is a quotient of } M_{k+1} \text{ for all } 0 < k < \ell.$$

The matroids M_1, \dots, M_ℓ are constituents of the flag matroid \mathcal{M} .

Our definition of flag matroids, which agrees with [8, Definition 6.2], differs slightly from the one in [4, Section 1.7] in that we allow $M_k = M_{k+1}$. It is necessary to work with Definition 3.2 to construct deletion $\mathcal{M} \setminus i$ and contraction \mathcal{M}/i by respective operations on the constituents of \mathcal{M} .

Definition 3.3. Let $\mathcal{M} = (M_1, \dots, M_\ell)$ be a flag matroid on E , and let i be any element of E .

(1) The deletion $\mathcal{M} \setminus i$ of \mathcal{M} is the flag matroid

$$\mathcal{M} \setminus i = (M_1 \setminus i, \dots, M_\ell \setminus i)$$

where $M_k \setminus i$ is the matroid on $E \setminus i$ defined by the rank function

$$\text{rk}_{M_k \setminus i}(S) = \text{rk}_{M_k}(S).$$

(2) The contraction \mathcal{M}/i of \mathcal{M} is the flag matroid

$$\mathcal{M}/i = (M_1/i, \dots, M_\ell/i),$$

where M_k/i is the matroid on $E \setminus i$ defined by the rank function

$$\text{rk}_{M_k/i}(S) = \text{rk}_{M_k}(S \cup i) - \text{rk}_{M_k}(i).$$

It is straightforward to check that $\mathcal{M} \setminus i$ and \mathcal{M}/i are flag matroids on $E \setminus i$. For the rest of this paper, we identify the ground set E with $[n]$ and write w_0 for a variable different from the variables w_1, \dots, w_n .

Definition 3.4. *The homogenous multivariate Tutte polynomial of a flag matroid $\mathcal{M} = (\mathcal{M}_1, \dots, \mathcal{M}_\ell)$ is the homogenous polynomial of degree n in $n + 1$ variables*

$$Z_{q,\mathcal{M}}(w_0, w_1, \dots, w_n) := \sum_{S \subseteq [n]} q_1^{-\text{rk}_{\mathcal{M}_1}(S)} q_2^{-\text{rk}_{\mathcal{M}_2}(S)} \dots q_\ell^{-\text{rk}_{\mathcal{M}_\ell}(S)} w_0^{n-|S|} \prod_{i \in S} w_i,$$

where q stands for the sequence of real parameters (q_1, \dots, q_ℓ) .

When $\ell = 2$ and $w_0 = 1$, we recover the multivariate Tutte polynomial of a matroid quotient. In general, the homogeneous multivariate Tutte polynomial satisfies the *deletion-contraction relation*

$$Z_{q,\mathcal{M}} = w_0 Z_{q,\mathcal{M} \setminus i} + w_i q_1^{-\text{rk}_{\mathcal{M}_1}(i)} q_2^{-\text{rk}_{\mathcal{M}_2}(i)} \dots q_\ell^{-\text{rk}_{\mathcal{M}_\ell}(i)} Z_{q,\mathcal{M}/i} \text{ for any } i \in E.$$

From the deletion-contraction relation, we see that the i -th partial derivative of $Z_{q,\mathcal{M}}$ is

$$\frac{\partial}{\partial w_i} Z_{q,\mathcal{M}} = q_1^{-\text{rk}_{\mathcal{M}_1}(i)} q_2^{-\text{rk}_{\mathcal{M}_2}(i)} \dots q_\ell^{-\text{rk}_{\mathcal{M}_\ell}(i)} Z_{q,\mathcal{M}/i} \text{ for any } i \in E.$$

The above formula will play a central role in the inductive proof of Theorem 4.4.

Remark 3.5. The homogeneous multivariate Tutte polynomials are the *reduced multivariate Tutte characters* for the minor system of flag matroids with ℓ constituents in the sense of [9]. See [16] for an equivalent theory of *canonical Tutte polynomials* of minor systems.

4. The Lorentzian property

4.1. In [6], the authors introduce Lorentzian polynomials as a generalization of volume polynomials in algebraic geometry and stable polynomials in optimization theory. These polynomials capture the essence of many log-concavity phenomena in combinatorics. Here we briefly summarize the relevant results. We write e_i for the i -th standard unit vector of \mathbb{N}^n and ∂_i for the differential operator $\frac{\partial}{\partial w_i}$ on the polynomial ring $\mathbb{R}[w_1, \dots, w_n]$.

Definition 4.1. [6, Definition 2.1] *A homogeneous polynomial $h \in \mathbb{R}[w_1, \dots, w_n]$ of degree d is strictly Lorentzian if all of its coefficients are positive and, for any indices $i_1, \dots, i_{d-2} \in [n]$, the quadratic form $\partial_{i_1} \dots \partial_{i_{d-2}} h$ has the Lorentzian signature $(+, -, \dots, -)$. Lorentzian polynomials are polynomials that can be obtained as a limit of strictly Lorentzian polynomials.*

A subset $J \subseteq \mathbb{N}^n$ is *M-convex* if, for any index $i \in [n]$ and any vectors $\alpha \in J$ and $\beta \in J$ whose i -th coordinates satisfy $\alpha_i > \beta_i$, there is an index $j \in [n]$ satisfying

$$\alpha_j < \beta_j \quad \text{and} \quad \alpha - e_i + e_j \in J \quad \text{and} \quad \beta - e_j + e_i \in J.$$

The notion of M-convexity forms the basis of discrete convex analysis [27]. The *support* of h is the set of monomials appearing in h , viewed as a subset of \mathbb{N}^n .

Theorem 4.2. [6, Theorem 5.1] *A homogeneous polynomial $h \in \mathbb{R}[w_1, \dots, w_n]$ of degree d is Lorentzian if and only if all of its coefficients are nonnegative, its support is M-convex, and, for any indices $i_1, \dots, i_{d-2} \in [n]$, the quadratic form $\partial_{i_1} \cdots \partial_{i_{d-2}} h$ has at most one positive eigenvalue.*

For example, a bivariate polynomial $\sum_{k=0}^d a_k w_1^k w_2^{d-k}$ with nonnegative coefficients is Lorentzian if and only if the sequence a_0, \dots, a_d has no internal zeros² and, for all $0 < k < d$, we have

$$\frac{a_k^2}{\binom{d}{k}^2} \geq \frac{a_{k-1}}{\binom{d}{k-1}} \frac{a_{k+1}}{\binom{d}{k+1}}.$$

Applications to log-concavity phenomena in combinatorics arise from the following properties of Lorentzian polynomials. Following [13], we say that a polynomial $h \in \mathbb{R}[w_1, \dots, w_n]$ with nonnegative coefficients is *strongly log-concave* if, for any sequence of indices $i_1, i_2, \dots \in [n]$ and any positive integer k , the functions h and $\partial_{i_1} \cdots \partial_{i_k} h$ are either identically zero or log-concave on the positive orthant $\mathbb{R}_{>0}^n$.

Theorem 4.3. *Let h and g be homogeneous polynomials in $\mathbb{R}[w_1, \dots, w_n]$ with nonnegative coefficients.*

- (1) [6, Theorem 5.3] *The polynomial h is Lorentzian if and only if h is strongly log-concave.*
- (2) [6, Theorem 2.10] *If $h(w)$ is Lorentzian, then $h(Av)$ is Lorentzian for any vector of variables $v = (v_1, \dots, v_m)$ and any $n \times m$ matrix A with nonnegative entries.*
- (3) [6, Corollary 5.5] *If h and g are Lorentzian, then the product hg is Lorentzian.*

4.2. We now prove the main result of this paper. Let $\mathcal{M} = (M_1, \dots, M_\ell)$ be a flag matroid on the ground set $[n]$ with $n \geq 2$ and $\ell \geq 1$.

Theorem 4.4. *The homogeneous multivariate Tutte polynomial $Z_{q, \mathcal{M}}(w_0, w_1, \dots, w_n)$ is Lorentzian for any positive real numbers $q_1, \dots, q_\ell \leq 1$.*

² The sequence a_0, \dots, a_d has no internal zeros if $a_{k_1} a_{k_3} \neq 0 \implies a_{k_2} \neq 0$ for all $0 \leq k_1 < k_2 < k_3 \leq d$.

For any element k of $[\ell]$ and distinct elements i, j of $[n]$, we set

$$d_k(i, j) := \text{rk}_{M_k}(i) + \text{rk}_{M_k}(j) - \text{rk}_{M_k}(i, j) = \begin{cases} 1 & \text{if } i \text{ and } j \text{ are parallel in } M_k, \\ 0 & \text{if } i \text{ and } j \text{ are not parallel in } M_k. \end{cases}$$

In other words, d_k is the indicator function for the two-element circuits of M_k . We write $P_{\mathcal{M}}$ for the multi-affine polynomial

$$P_{\mathcal{M}}(q, w) := \sum_{1 \leq i < j \leq n} q_1^{d_1(i,j)} q_2^{d_2(i,j)} \cdots q_{\ell}^{d_{\ell}(i,j)} w_i w_j,$$

where $q = (q_1, \dots, q_{\ell})$ and $w = (w_1, \dots, w_n)$. Note that $P_{\mathcal{M}}$ depends only on the rank 2 truncations of the constituents of \mathcal{M} .

Lemma 4.5. *For any real numbers w_1, \dots, w_n and any nonnegative real numbers $q_1, \dots, q_{\ell} \leq 1$,*

$$\frac{1}{2} \left(1 - \frac{1}{n}\right) (w_1 + \cdots + w_n)^2 \geq P_{\mathcal{M}}(q, w).$$

Proof. We prove the statement by induction on (n, ℓ) . The case $(2, \ell)$ is straightforward:

$$\frac{1}{4} (w_1 + w_2)^2 \geq \frac{1}{4} q_1^{d_1(1,2)} \cdots q_{\ell}^{d_{\ell}(1,2)} (w_1 + w_2)^2 \geq q_1^{d_1(1,2)} \cdots q_{\ell}^{d_{\ell}(1,2)} w_1 w_2.$$

Since $P_{\mathcal{M}}$ is a linear in the parameter q_{ℓ} , we may suppose that q_{ℓ} is 0 or 1.³ Therefore, the following five special cases imply the general case:

- (1) when $q_{\ell} = 1$ and $\ell = 1$;
- (2) when $q_{\ell} = 1$ and $\ell > 1$;
- (3) when $q_{\ell} = 0$ and M_{ℓ} has a pair of parallel elements, say 1 and 2;
- (4) when M_{ℓ} has no pair of parallel elements and $\ell = 1$;
- (5) when M_{ℓ} has no pair of parallel elements and $\ell > 1$.

In cases (1) and (4), we need to show

$$\frac{1}{2} \left(1 - \frac{1}{n}\right) (w_1 + \cdots + w_n)^2 \geq \sum_{1 \leq i < j \leq n} w_i w_j.$$

The above displayed inequality is equivalent to the statement

³ Of course, in this context, $0^0 = 1$.

$$n(w_1^2 + \dots + w_n^2) \geq (w_1 + \dots + w_n)^2,$$

which is the Cauchy-Schwarz inequality for the vectors $(1, \dots, 1)$ and (w_1, \dots, w_n) .

In cases (2) and (5), we have

$$P_{\mathcal{M}}(q, w) = P_{\mathcal{N}}(q_1, \dots, q_{\ell-1}, w_1, \dots, w_n),$$

where \mathcal{N} is the flag matroid with constituents $M_1, \dots, M_{\ell-1}$. We use induction on ℓ .

In case (3), where $d_{\ell}(1, 2) = 1$, Lemma 2.3 implies that

$$d_k(1, i) = d_k(2, i) \text{ for all } i \neq 1, 2 \text{ and all } k \neq \ell.$$

Since $q_{\ell} = 0$, the support of $P_{\mathcal{M}}$ does not contain $w_1 w_2$, and hence the above shows

$$P_{\mathcal{M}}(q, w) = P_{\mathcal{M} \setminus 1}(q_1, \dots, q_{\ell}, w_1 + w_2, w_3, \dots, w_n).$$

Therefore, by induction on n , we have

$$\frac{1}{2} \left(1 - \frac{1}{n}\right) (w_1 + \dots + w_n)^2 \geq \frac{1}{2} \left(1 - \frac{1}{n-1}\right) (w_1 + \dots + w_n)^2 \geq P_{\mathcal{M}}(q, w). \quad \square$$

Proof of Theorem 4.4. We prove the statement by induction on n , using Theorem 4.2. It is straightforward to check directly that the support of the homogeneous multivariate Tutte polynomial is M -convex whenever q_1, \dots, q_{ℓ} are positive.⁴

As before, we write $w = (w_1, \dots, w_n)$. We first show that the quadratic form

$$\frac{\partial^{n-2}}{\partial w_0^{n-2}} Z_{q, \mathcal{M}} = \frac{n!}{2} w_0^2 + (n-1)! Z_{q, \mathcal{M}}^{(1)}(w) w_0 + (n-2)! Z_{q, \mathcal{M}}^{(2)}(w)$$

has at most one positive eigenvalue for positive parameters $q_1, \dots, q_{\ell} \leq 1$. For this, it suffices to show that the Schur complement of the first principal minor is negative semidefinite. In other words, the discriminant of the displayed quadratic form with respect to w_0 is nonnegative:

$$\frac{1}{2} \left(1 - \frac{1}{n}\right) Z_{q, \mathcal{M}}^{(1)}(w)^2 \geq Z_{q, \mathcal{M}}^{(2)}(w) \text{ for all } w \in \mathbb{R}^n.$$

We prove the discriminant inequality after making the invertible change of variables

$$w_i \mapsto q_1^{\text{rk}_{M_1}(i)} \dots q_{\ell}^{\text{rk}_{M_{\ell}}(i)} w_i \text{ for all } i \in [n].$$

The inequality then becomes that of Lemma 4.5:

⁴ Alternatively, we may use that $Z_{1, \mathcal{M}} = \prod_{i=1}^n (w_0 + w_i)$ is a Lorentzian polynomial by Theorem 4.3 (3).

$$\frac{1}{2} \left(1 - \frac{1}{n}\right) (w_1 + \dots + w_n)^2 \geq P_{\mathcal{M}}(q, w).$$

It is now enough to show that the i -th partial derivative of the homogeneous multivariate Tutte polynomial is Lorentzian for any $i \in [n]$ and any positive $q_1, \dots, q_\ell \leq 1$. This follows from the induction hypothesis on n and the identity

$$\partial_i Z_{q, \mathcal{M}} = q_1^{-\text{rk}_{\mathcal{M}_1}(i)} q_2^{-\text{rk}_{\mathcal{M}_2}(i)} \dots q_\ell^{-\text{rk}_{\mathcal{M}_\ell}(i)} Z_{q, \mathcal{M}/i}. \quad \square$$

Notice that the proof of Theorem 4.4 entirely consists of, apart from the routine induction on n , analysis of rank 2 matroids in Lemma 4.5.

Proof of Theorem 1.7. Apply Theorem 4.4 to the flag matroid $(f^{-1}(N), M)$. \square

Let M be a matroid on $[n]$, and let N be a matroid on $[m]$.

Corollary 4.6. *The homogeneous basis generating polynomial*

$$B_f(w_0, w_1, \dots, w_n) := \sum_{S \in \mathcal{B}(f)} w_0^{n-|S|} \prod_{i \in S} w_i$$

is a Lorentzian polynomial for any morphism of matroids $f : M \rightarrow N$.

One recovers the Lorentzian property of the basis generating polynomial of M [6, Section 7] from the case $f = \text{id}_M$ by taking the partial derivative $(\frac{\partial}{\partial w_0})^{n-\text{rk}_M[n]}$. One recovers the Lorentzian property of the homogeneous independent set generating polynomial of M [6, Section 11] from the case $N = U_{0,1}$.⁵

Proof. By Theorem 1.7, the homogeneous multivariate Tutte polynomial

$$Z_{p,q,f}(w_0, w_1, \dots, w_n) = \sum_{S \subseteq [n]} p^{-\text{rk}_M(S)} q^{-\text{rk}_N(f(S))} w_0^{n-|S|} \prod_{i \in S} w_i,$$

is a Lorentzian polynomial for any positive real numbers $p \leq 1$ and $q \leq 1$. Therefore, the limit

$$\lim_{p \rightarrow 0} \lim_{q \rightarrow 0} q^{\text{rk}_N f[n]} Z_{p,q,f}(w_0, pw_1, \dots, pw_n) = \sum_{S \in \mathcal{B}(f)} w_0^{n-|S|} \prod_{i \in S} w_i$$

is a Lorentzian polynomial. \square

Proofs of Theorems 1.2 and 1.3. Theorem 4.3 (1) and Corollary 4.6 show that

the polynomial $B_f(1, w_1, \dots, w_n) = \underline{B}_f(w_1, \dots, w_n)$ is strongly log-concave.

⁵ These important special cases were obtained independently in [3,2]. See [3,1] for algorithmic applications.

Theorem 4.3 (2) and Corollary 4.6 show that

$$\text{the polynomial } B_f(w_0, w_1, \dots, w_1) = \sum_{k=0}^n b_k(f) w_0^{n-k} w_1^k \text{ is Lorentzian,}$$

which, by Theorem 4.2, is equivalent to the condition

$$\frac{b_0(f)}{\binom{n}{0}}, \frac{b_1(f)}{\binom{n}{1}}, \dots, \frac{b_n(f)}{\binom{n}{n}} \text{ is a log-concave sequence with no internal zeros.}$$

This proves Theorems 1.2 and 1.3. \square

5. Problems

5.1. We may define the homogeneous multivariate Tutte polynomial of $r : 2^{[n]} \rightarrow \mathbb{R}$ by

$$Z_{p,r}(w_0, w_1, \dots, w_n) := \sum_{S \subseteq [n]} p^{-r(S)} w_0^{n-|S|} \prod_{i \in S} w_i,$$

where p is a real parameter.⁶ We consider the set of functions on $2^{[n]}$ with the Lorentzian property

$$\mathcal{L}_n := \left\{ r : 2^{[n]} \rightarrow \mathbb{R} \mid Z_{p,r} \text{ is Lorentzian for any positive } p \leq 1 \right\}.$$

Which functions $r : 2^{[n]} \rightarrow \mathbb{R}$ belong to the set \mathcal{L}_n ?

Proposition 5.1. *Let $\mathcal{M} = (M_1, \dots, M_\ell)$ be a flag matroid on $[n]$. For any real number c_0 and nonnegative real numbers c_1, \dots, c_ℓ , we have*

$$c_0 + c_1 \text{rk}_{M_1} + \dots + c_\ell \text{rk}_{M_\ell} \in \mathcal{L}_n.$$

Proof. Theorem 4.4 proves the statement when c_0 is zero. For the general case, note that the homogeneous multivariate Tutte polynomial of $c_0 + r$ is a positive multiple of $Z_{p,r}$ for any positive p and $r : 2^{[n]} \rightarrow \mathbb{R}$. \square

Remark 5.2. A *polymatroid* on $[n]$ is a function $\text{rk} : 2^{[n]} \rightarrow \mathbb{R}$ satisfying the following conditions [33, Chapter 18]:

- When $S = \emptyset$, we have $\text{rk}(S) = 0$.

⁶ Compare the notion of universal Tutte character for submodular functions [9, Section 8.2] and its multivariate version [9, Section 4.3].

- When $S_1 \subseteq S_2 \subseteq [n]$, we have $\text{rk}(S_1) \leq \text{rk}(S_2)$.
- When $S_1 \subseteq [n], S_2 \subseteq [n]$, we have $\text{rk}(S_1 \cup S_2) + \text{rk}(S_1 \cap S_2) \leq \text{rk}(S_1) + \text{rk}(S_2)$.

For example, nonnegative linear combinations of the rank functions of the constituents of a flag matroid are polymatroids. However, a polymatroid on $[n]$ need not be in \mathcal{L}_n .

Remark 5.3. Let M and N be matroids on $[n]$. The identity function of $[n]$ is said to be a *weak map* from M to N if any one of the following equivalent conditions hold [18]:

- For any $S \subseteq [n]$, we have $\text{rk}_N(S) \leq \text{rk}_M(S)$.
- Every independent set of N is an independent set of M .
- Every circuit of M contains a circuit of N .

For example, the identity function of $[n]$ is a weak map from M to N when (N, M) is a flag matroid. However, nonnegative linear combinations of the rank functions of M and N need not be in \mathcal{L}_n when the identity function of $[n]$ is a weak map from M to N .

Example 5.4. Let M and N be matroids on $[3]$ with the sets of bases

$$\mathcal{B}(M) = \{\{1, 2\}, \{1, 3\}\} \quad \text{and} \quad \mathcal{B}(N) = \{\{1\}, \{2\}\}.$$

The function $r := \text{rk}_M + \text{rk}_N$ is a polymatroid and the identity function of $[3]$ is a weak map from M to N . The homogeneous multivariate Tutte polynomial of r satisfies

$$\lim_{p \rightarrow 0} Z_{p,r}(1, p^2 w_1, p^2 w_2, p w_3) = 1 + w_1 + w_2 + w_3 + w_1 w_3.$$

The right-hand side is not log-concave around $(w_1, w_2, w_3) = (1, 1, 1)$, and hence r is not in \mathcal{L}_3 .

The notion of M^\natural -concavity, which equivalent to the gross substitutes property in mathematical economics [29], plays a central role in discrete convex analysis [27, Chapter 6]. According to the characterization in [12], a function $r : 2^{[n]} \rightarrow \mathbb{R}$ is M^\natural -concave if and only if the following conditions are satisfied:

- (1) For any $S \subseteq [n]$ and any distinct $i, j \in [n]$, we have

$$r(S \cup i \cup j) + r(S) \leq r(S \cup i) + r(S \cup j).$$

- (2) For any $S \subseteq [n]$ and any distinct $i, j, k \in [n]$, the maximum among the three values

$$r(S \cup j \cup k) + r(S \cup i), \quad r(S \cup i \cup k) + r(S \cup j), \quad r(S \cup i \cup j) + r(S \cup k)$$

is attained by at least two of them.

For example, the function r in Example 5.4 is M^{\natural} -concave.

Proposition 5.5. *Any function in \mathcal{L}_n is M^{\natural} -concave. In particular, \mathcal{L}_n is contained in the cone of submodular functions on $2^{[n]}$.*

Propositions 5.1 and 5.5 together imply that nonnegative linear combinations of the rank functions of the constituents of a flag matroid are M^{\natural} -concave. This recovers a theorem of Shioura [30, Theorem 3].

Proof. A function $r : 2^{[n]} \rightarrow \mathbb{R}$ is M^{\natural} -concave if and only if its homogenization is an M -concave function on \mathbb{N}^n [27, Chapter 6]. Therefore, by [6, Section 8], the function r is M^{\natural} -concave if and only if

$$\sum_{S \subseteq E} \frac{1}{|n - |S||!} p^{-r(S)} w_0^{n-|S|} \prod_{i \in S} w_i \text{ is Lorentzian for any positive } p \leq 1.$$

By [6, Section 6], we know that the linear operator

$$w_0^k \prod_{i \in S} w_i \longmapsto \frac{1}{k!} w_0^k \prod_{i \in S} w_i$$

preserves the Lorentzian property. Therefore, the M^{\natural} -concavity of r follows from the condition

$$\sum_{S \subseteq E} p^{-r(S)} w_0^{n-|S|} \prod_{i \in S} w_i \text{ is Lorentzian for any positive } p \leq 1. \quad \square$$

5.2. Let \mathbb{F} be an algebraically close field, and let $h \in \mathbb{R}[w_0, w_1, \dots, w_n]$ be a homogeneous polynomial of degree d . We say that h is a *volume polynomial over \mathbb{F}* if there are nef divisors H_0, H_1, \dots, H_n on a d -dimensional irreducible projective variety Y over \mathbb{F} that satisfy

$$h = (w_0 H_0 + w_1 H_1 + \dots + w_n H_n)^d,$$

where the intersection product of Y is used to expand the right-hand side.⁷ Volume polynomials over \mathbb{F} are prototypical examples of Lorentzian polynomials [6, Section 10].

Let $\mathcal{R}_{\mathbb{F}} : \text{Mat}(\mathbb{F}) \rightarrow \text{Mat}$ be the functor in Remark 2.1, and let f be any morphism in $\text{Mat}(\mathbb{F})$. Corollary 4.6 shows that the homogeneous basis generating polynomial of $\mathcal{R}_{\mathbb{F}}(f)$ is a Lorentzian polynomial.

Conjecture 5.6. *The homogeneous basis generating polynomial of $\mathcal{R}_{\mathbb{F}}(f)$ is a volume polynomial over \mathbb{F} .*

⁷ For nef divisors and intersection products, see [25, Chapter 1].

Let $\varphi : E \rightarrow W$ be any object in $\text{Mat}(\mathbb{F})$. In [15, Section 4], the authors construct a collection of nef divisors $(H_i)_{i \in E}$ on an irreducible projective variety Y such that

$$\sum_{S \in \mathcal{B}(\mathcal{M}(\varphi))} \prod_{i \in S} w_i = \left(\sum_{i \in E} w_i H_i \right)^{\dim Y}.$$

The construction can be used to verify Conjecture 5.6 when f is the identity morphism of φ .

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