

## EE/Stats 376A: Homework 6

Due on Friday March 3, 5 pm

### 1. Erasure channels.

Problem 7.27 of CT.

### 2. Random questions.

Problem 7.32 of CT.

### 3. Linear Random Codes.

As discussed in Lecture 11, random linear codes are obtained by randomly choosing the generator matrix such that each entry of the matrix is equally likely to be 0 or 1 and the entries are chosen independently. Show that linear random codes can achieve the capacity of the binary symmetric channel BSC. (Hint: Make sure you first understand the argument for (completely) random codes used in Lecture 11. What are the differences and similarities between (completely) random codes and linear random codes?)

### 4. Optimal Broadcasting.

- (a) Suppose  $X$  is a real-valued discrete random variable. Argue that there exists a value  $a$  such that  $\Pr(X = a) \neq 0$  and  $a \leq E[X]$ .
- (b) Consider a discrete memoryless channel with transition probability  $p(y|x)$ . In class, we showed that for a fixed input distribution  $p(x)$ , one can construct for each  $n$  a random code  $\mathbf{C}_n$  of block length  $n$  and rate  $R$  such that

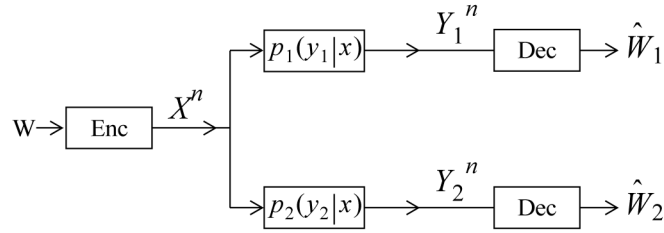
$$E[P_e^{(n)}(\mathbf{C}_n)] \rightarrow 0$$

as  $n \rightarrow \infty$  as long as  $R < I(X; Y)$ . Here  $P_e^{(n)}(C_n)$  is the probability of error using the code  $C_n$  and jointly typical decoding, and the expectation is over the random choice of the code. Using part (a) or otherwise, show that this result implies that there exists a sequence of (non-random) codes  $\{C_n^*\}$  of rate  $R$  such that  $P_e^{(n)}(C_n^*) \rightarrow 0$  as  $n \rightarrow \infty$ .

- (c) Now suppose one wants to broadcast a message  $W$  to two receivers, as shown in the figure below. The transmitter sends  $X^n$ . Receiver  $i$  sees the output  $Y_i^n$  after passing  $X^n$  through a discrete memoryless channel  $p_i(y_i|x)$ ,  $i = 1, 2$ . Each receiver decodes the message  $W$  based on its own received signal only. Show that as long as

$$R < \max_{p(x)} \min(I(X; Y_1), I(X; Y_2)),$$

there exists a sequence of rate  $R$ , block length  $n$  (non-random) codes  $\{C_n^*\}$  such that each receiver can decode the message reliably, i.e. the probability of error for each receiver goes to zero as the block length  $n$  grows.



(d) Prove that if

$$R > \max_{p(x)} \min(I(X; Y_1), I(X; Y_2)),$$

then for any code at least one of the receivers will have a probability of error that is bounded away from zero no matter how large the block length  $n$  is.

### 5. Polar code: encoding.

Recall that for a polar code of block length  $n = 2^k$ , the matrix  $A_k$  is the transformation from  $\mathbf{U} = [U_1, \dots, U_n]^t$  to the channel inputs  $\mathbf{X} = [X_1, \dots, X_n]^t$ . Here,  $U_1, U_2, \dots, U_n$  is the decoding order.

(a) Compute  $A_k$  for  $k = 1, 2, 3$ .

(b) Prove that for  $k \geq 2$ :

$$A_k = \begin{bmatrix} A_{k-1} & A_{k-1} \\ 0 & A_{k-1} \end{bmatrix}.$$

### 6. Polar codes: polarization.

Consider the use of a polar code on a binary erasure channel  $P$  of erasure probability  $p$ .

(a) Let  $n = 8$  be the block length. Compute the capacities of the 8 transformed channels  $P^{+++}, P^{++-}$ , etc.

(b) For each  $n = 2^k$ ,  $F_n$  is the empirical cumulative distribution function of the transformed channel capacities, i.e. for  $x \in [0, 1]$ ,

$F_n(x) :=$  fraction of transformed channels with capacity less than or equal to  $x$ .

What does the polarization theorem say about the function  $F_n$  as  $n \rightarrow \infty$ ? Compute  $F_n$  numerically for large enough  $n$  to see the polarization effect, and plot  $F_n$  for several representative values of  $n$  to demonstrate the effect.