

**Comment:**

**Time limit:** 80 minutes.

**Maximum score:** 181 points.

**Instructions:** For this test, you work in teams of eight to solve a multi-part, proof-oriented series of problems.

Problems that use the words “compute”, “list”, or “draw” only call for an answer; no explanation or proof is needed. Unless otherwise stated, all other questions require explanation or proof. Answers should be written on sheets of scratch paper, clearly labeled, with every problem *on its own sheet*. If you have multiple pages for a problem, number them and write the total number of pages for the problem (e.g. 1/2, 2/2).

Write your team ID number in the top right corner clearly on each sheet of paper that you submit. Only submit one set of solutions for the team. Do not turn in any scratch work. After the test, put the sheets you want graded into your packet. If you do not have your packet, ensure your sheets are labeled *extremely clearly* and stack the loose sheets neatly.

In your solution for a given problem, you may cite the statements of earlier problems (but not later ones) without additional justification, even if you haven’t solved them.

The problems are ordered by content, NOT DIFFICULTY. It is to your advantage to attempt problems from throughout the test.

**No calculators.**

## Common notation

We will use set notation throughout power round. Here is a guide to set notation. The format used is:

(math symbol): (meaning in words)

### Sets

- $\emptyset$ : empty set
- $a \in A$ :  $a$  is an element of  $A$
- $|A|$ : the size of  $A$   
*Example.* If  $A = \{1, 2, 3\}$ , then  $|A| = 3$ .
- $A \subseteq B$ :  $A$  is a subset of  $B$  (i.e. all elements of  $A$  are elements of  $B$ )  
*Example.*  $\{1, 2\} \subseteq \{1, 2\}$ ,  $\emptyset \subseteq \{1, 2\}$  but  $\{1, 2\} \not\subseteq \{1, 3\}$ .
- $A \subset B$ :  $A$  is a proper subset of  $B$  (i.e.  $A \subseteq B$  and  $A \neq B$ )  
*Example.*  $\{1, 2\} \subset \{1, 2, 3\}$ , but  $\{1, 2\} \not\subset \{1, 2\}$ .
- $A \cap B$ : the intersection of sets  $A$  and  $B$   
*Example.*  $\{1, 2\} \cap \{2, 3\} = \{2\}$ .
- $A \cup B$ : the union of sets  $A$  and  $B$   
*Example.*  $\{1, 2\} \cup \{2, 3\} = \{1, 2, 3\}$ .
- $A \setminus B$ : the set of elements in  $A$  but not in  $B$   
*Example.*  $\{1, 2\} \setminus \{2, 3\} = \{1\}$
- $\mathbb{N}$ : the set of natural numbers (i.e.  $\{1, 2, 3, \dots\}$ )
- $\mathbb{Z}$ : the set of integers
- $\mathbb{Z}_{\geq 0}$ : the set of non-negative integers
- $\mathbb{Q}$ : the set of rational numbers
- $\mathbb{R}$ : the set of real numbers
- $\mathbb{Z}_m$ : the set of integers mod  $m$  (further explained in Section 2)

### Functions

- $f : X \rightarrow Y$ :  $f$  is a function taking values from set  $X$  and outputting values from set  $Y$ .
- $f : X \rightarrow Y$  is an *injection* if  $f(x_1) \neq f(x_2)$  whenever  $x_1 \neq x_2$ .
- $f : X \rightarrow Y$  is a *surjection* if for every  $y \in Y$ , there exists  $x \in X$  such that  $f(x) = y$ .

# 1 Part I: Polynomial Sequences

## 1.1 Introduction

Given a polynomial  $Q(x)$  with integer coefficients, let's consider the sequence

$$\{q_i\}_{i \geq 0} = \{0, Q(0), Q(Q(0)), \dots\}$$

Unless  $Q$  happens to be exceptionally simple, there will be no exact closed-form formula for the  $n$ -th term in the sequence. Indeed, such sequences sometimes exhibit chaotic and varied behaviors. For instance, it could grow swiftly towards infinity (e.g.  $Q(x) = x + 1$ ), or even exhibit periodic behaviour (e.g.  $Q(x) = (1 - x)^2$ ).

A strange question to ask might be if your favorite number appears as the trailing (decimal) digits of some term in the sequence. This sounds like a hopeless question to answer (especially if your favorite number is  $\lfloor e^{\pi\sqrt{163}} \rfloor$ ), but here is a miraculous fact:

**Fact.** If every positive integer from one to a billion appears as the trailing digits of some term of the sequence, then so must every positive integer (regardless of how big it is).

We will prove the most general version of this fact, phrased as the following theorem:

**Theorem.** Given any natural number  $n$  and a polynomial with integer coefficients  $Q$ , suppose the sequence

$$\{q_i\}_{i \geq 0} = \{0, Q(0), Q(Q(0)), \dots\}$$

has the property that the sequence covers all residue classes mod  $n^8$  (i.e. for any  $r \in \mathbb{N}$ , there exists an index  $i$  where  $q_i \equiv r \pmod{n^8}$ )<sup>1</sup>. Then, the sequence covers all residue classes mod  $n^k$  for any positive integer  $k$  (i.e. for any  $r \in \mathbb{N}$ , there is some  $i$  such that  $q_i \equiv r \pmod{n^k}$ ).

## Linear functions

To understand this problem better, we will spend some time trying to understand the problem for linear functions  $Q(x) = Ax + B$ .

We'll start off with the familiar setting of base  $n = 10$ .

1. [1] Find a choice of linear function  $Q(x) = Ax + B$  for which the sequence covers all residue classes mod  $10^k$  for any positive integer  $k$ .

*Note: you are not allowed to use the statement of the theorem above or later problems to answer this problem.*

2. (a) [2] Give a general closed-form formula for  $q_n$  if  $Q(x) = Ax + B$  (where  $A, B \in \mathbb{N}$ ).
  - [1] Show that for integers  $j > i \geq 0$ ,  $q_j - q_i = A^i q_{j-i}$ .
3. (a) [4] Show that unless  $A \equiv 1 \pmod{10}$ , the sequence  $\{q_i\}$  does not cover all residue classes mod 10.
  - [2] Show that for  $A = 11$ , the sequence  $\{q_i\}$  does not cover all residue classes mod 100.

*Hint: powers of 11 mod 100 goes 1, 11, 21, 31, ...*

This tells us that the first interesting case happens when  $A = 21$ .

<sup>1</sup>8 can in fact be replaced by a smaller number, but is chosen on purpose so as to reduce the amount of technical details required.

4. Suppose that  $Q(x) = 21x + 1$ .

(a) [4] Complete the following table for  $q_{10a+b} \pmod{10^2}$ :

		<i>a</i>									
		1	2	3	4	5	6	7	8	9	10
<i>b</i>	1	01	11	21	31	41	51	61	71		
	2	22	32	42	52	62	72	82	92		
	3	63	73	83	93	03	13	23	33		
	4	24	34	44	54	64	74	84	94		
	5	05	15	25	35	45	55	65	75		
	6	06	16	26	36	46	56	66	76		
	7	27	37	47	57	67	77	87	97		
	8	68	78	88	98	08	18	28	38		
	9	29	39	49	59	69	79	89	99		
	10	10	20	30	40	50	60	70	80		

(b) [1] Show that  $\{q_i\}$  covers all residue classes mod 10.

(c) [3] Find a polynomial  $f$  such that  $q_n \equiv 10f(n) + n \pmod{100}$ , and justify why it works.

(d) [2] Show that  $\{q_i\}$  covers all residue classes mod  $10^2$ .

(e) [4] Show that  $\{q_i\}$  covers all residue classes mod  $10^k$  for all positive integers  $k$ .

*Hint: Consider  $q_{10^k}/q_{10^{k-1}}$ .*

The last problem should provide an inkling of how the proof might go in general (for any linear function  $Q$ ). Surprisingly, the proof for general  $Q$  proceeds rather similarly, so we will dive right into it.

## 1.2 The main proof

We will temporarily make the following assumptions:

- $n = p$  for some  $p$  prime
- the sequence  $\{q_i\}$  contains all residues  $\pmod{p^k}$  for some  $k \geq 8$ .
- no further assumptions on  $Q$  (in particular, it might not be linear).

We start off with some generic facts about the sequence:

5. (a) [1] Prove that  $\{q_i\}$  is eventually periodic modulo  $p^{k+1}$  (i.e. for some fixed  $N$  and  $t$ , for all  $n \geq N$  we have  $q_{n+t} \equiv q_n \pmod{p^{k+1}}$ ).

(b) [1] Prove that  $\{q_i\}$  is periodic modulo  $p^j$  for  $1 \leq j \leq k-1$  (i.e. for some fixed  $t$ , we have  $q_{n+t} \equiv q_n \pmod{p^j}$  for all  $n \geq 0$ ).

(c) [2] Show that the minimal period of  $\{q_i\}$  modulo  $p^j$  is  $p^j$  for  $1 \leq j \leq k$ .

In other words, if  $q_{n+t} \equiv q_t \pmod{p^j}$  for all  $n \geq 0$ , then  $t \geq p^j$  and that the congruence holds for  $t = p^j$ .

In the linear case, we spent a lot of effort wrangling with the largest power of 10 that divided  $q_n$ . Here we introduce some notation to streamline this:

**Definition.** The  **$p$ -adic valuation** of an integer is the function  $v_p(n) : \mathbb{Z} \rightarrow \mathbb{N}$  that describes the exponent of the largest power of  $p$  that divides it:

$$v_p(n) = \begin{cases} \max\{v \in \mathbb{N} : p^v \mid n\} & \text{if } n \neq 0 \\ \infty & \text{if } n = 0 \end{cases}$$

6. Here is a quick warmup on valuations:

- (a) [1] For each prime  $p$  dividing 2020, state the value of  $v_p(2020)$ .
- (b) [1] List the first 20 terms of the sequence  $\{v_2(n)\}_{n \geq 1}$ .

7. [3] Show that if  $v_p(n) \leq k - 1$ , then  $v_p(q_n) = v_p(n)$ .

8. In this problem we recreate a “formula” for  $q_n$  (modulo some power of  $p$ ).

- (a) [2] Given any polynomial  $P(x) \in \mathbb{Z}[x]$ , show that there exists  $R(x) \in \mathbb{Z}[x]$  such that for all integers  $x, z$ , and positive integer  $n$ ,

$$P(x + zp^n) \equiv P(x) + zp^n R(x) \pmod{p^{2n}}$$

- (b) [4] Show that exists an integer  $\alpha \neq 1$  such that for all positive integers  $n$  and  $k \geq 6$ ,

$$q_{np^{k-2}} \equiv q_{p^{k-2}} + \alpha q_{(n-1)p^{k-2}} \pmod{p^{k+2}}$$

- (c) [2] Hence, show that

$$q_{np^{k-2}} \equiv \frac{\alpha^n - 1}{\alpha - 1} q_{p^{k-2}} \pmod{p^{k+2}}$$

Because we don’t have a closed-form general formula for  $q_n$ , we have to be more careful with our control over the exponents (i.e. the values of  $v_p(q_n)$ ). The following theorem will allow us to do that (which you can subsequently use without proof):

**Theorem.** (Lifting the Exponent Lemma) If  $n$  is a positive integer and  $p$  is a prime, then

- for  $p \neq 2$  and  $p|x - 1$ , then  $v_p(x^n - 1) = v_p(n) + v_p(x - 1)$ .
- for  $p = 2$  and  $4|x - 1$ , then  $v_2(x^n - 1) = v_2(n) + v_2(x - 1)$ .

This lemma tells us how to figure out the largest prime power that divides a number of the form  $a^b - 1$ .

- 9. (a) Find the largest power of 5 that divides the following numbers and justify your answers: (i) [1]  $101^{100} - 1$  and (ii) [1]  $99^{100} - 1$ .
- (b) [5] Show that if  $v_p(n) \leq k + 1$ , then  $v_p(q_n) = v_p(n)$ . (Compare this to problem 7.)
- (c) [1] Prove that  $\{q_i\} \pmod{p^{k+1}}$  has minimal period  $p^{k+1}$ .

We can thus conclude that the sequence contains all residues modulo  $p^{k+1}$ . By induction, the sequence contains all residues modulo  $p^m$  where  $m \geq 8$ .

With just a little more work, we can generalize this for general composite  $n$ :

- 10. [4] Given a natural number  $n$  and  $k \geq 8$ , if  $\{q_i\}$  contains all residues modulo  $n^k$ , then the sequence contains all residues modulo  $n^l$  for all positive integers  $l$ .

## 2 Part II: van der Waerden's theorem

### 2.1 Introduction

*“Complete disorder is impossible.”* - T. Motzkin

In this section, we will prove van der Waerden's theorem:

**Theorem.** (van der Waerden) Let  $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$  be a finite partition of the natural numbers (i.e.  $C_i$  and  $C_j$  are disjoint subsets of  $\mathbb{N}$  for any  $i \neq j$ ). Then some  $C_j, j \in \{1, \dots, r\}$  contains arbitrarily long<sup>2</sup> arithmetic progressions<sup>3</sup>.

Here are some reasons why this might be surprising:

11. (a) [1] In the “finite partition” interpretation, perhaps you might figure out that one of the sets  $C_i$  are infinite. However, this is not a good reason why it might contain long arithmetic progressions.

In particular, construct an infinite subset  $S \subset \mathbb{N}$  such that  $S$  does not contain any length 3 arithmetic progression.

(b) [10] Partitions can conspire (somewhat effectively) to avoid arithmetic progressions.

Suppose  $r = 100$ ,  $k = 101$ . Show that  $\{1, 2, \dots, 10^{100}\}$  can be partitioned into  $r$  disjoint subsets, each of which does not contain a length  $k$  arithmetic progression.

(*Partial credit if you manage to partition  $\{1, 2, \dots, N\}$  for  $N \geq 10^5$  or  $N \geq 10^{10}$ .*)

We can interpret this as a statement about letters and words. Here an **infinite word** on three **letters**  $\{a, b, c\}$  (also called a **ternary** word) is:

$$W = abcb \ abcb \ abcb \ abcb \ \dots$$

where the pattern repeats (and the spaces are purely decorative). We might say that:

- $abcb$  is a **finite word** of length 4 that appears in  $W$  (and synonymously  $abcb$  is a **subword** of  $W$ ).
- The letter  $a$  **appears at indices** 0, 4, 8, 12 and so on, and we will also say that the word  $abcba$  appears at index 4 because its first letter appears at index 4.
- The word  $abcba$  is also a **prefix** (of  $W$ ) since it also appears at index 0.
- The letter  $a$  appears (in  $W$ ) at 4 indices which form an arithmetic progression.

In the language of words and letters, we can phrase van der Waerden's theorem as follows:

**Theorem.** (van der Waerden, letters on words) Let  $W$  be an infinite word with  $r$  distinct letters. Then, for any  $k$ , there exists some letter that appears at  $k$  indices which form an arithmetic progression.

### Generalizing from letters to words

*Note: this subsection was missing from the contest version.*

<sup>2</sup>for all  $n_0 \in \mathbb{N}$ ,  $C_j$  contains an arithmetic progression of length  $n \geq n_0$ .

<sup>3</sup>by convention, arithmetic progressions must have a positive common difference. In particular, constant sequences are not arithmetic progressions.

You might be tempted to attempt a proof by induction on the length of the arithmetic progression  $k$ , but the statement of the theorem for  $k = m$  is much, much weaker than the statement for  $k = m + 1$ . We must somehow do a *double induction* on  $r, k$ , but this is tricky because having more (or less) letters creates an incompatibility that is hard to resolve.

Can we retain the full general power of the theorem while fixing the number of letters? A suggestion could be to consider the point of view of a robot, which fundamentally understands only two letters.

12. Fix  $k \in \mathbb{N}$  and consider the following two statements:

- For any natural  $r$ , given an infinite word  $W$  on  $r$ -distinct letters, there exists some **letter** that appears at  $k$  indices which form an arithmetic progression.
- For any natural  $m$ , given an infinite **binary** word  $W$ , there exists some **word of length  $m$**  that appears at  $k$  indices which form an arithmetic progression.

(a) [1] Show that the former implies the latter.

(b) [3] Show that the latter implies the former.

This shows that the latter is equivalent to the original van der Waerden's theorem. As we shall see, this turns out to be the right generalization to consider (though we will replace binary with  $r$ -ary so that we have clearer examples).

**Theorem.** (van der Waerden, varying length) Let  $W$  be an infinite word with  $r$  distinct letters. Then, for any  $k, m$ , there exists  $k$  instances of the same length  $m$  word such that their respective indices form an arithmetic progression.

**For subsequent problems in the rest of this section, assume that all (finite or infinite) words are on an alphabet of size  $r$ .**

## 2.2 Reducing to easier cases

Consider the following infinite word:

$$W = c \ ab \ c \ ab \ c \ aaabbababbaaab\dots$$

where  $W$  continues with just the letters  $a$  and  $b$  (but with no obvious pattern).

If we want to find  $k$  of the same letter (in  $W$ ) appearing at indices that form an arithmetic progression, it appears that we require van der Waerden's theorem for infinite word on  $r = 3$  letters. But here's a trick: consider

$$T^7(W) = aaabbababbaaab\dots$$

where  $T^7(W)$  is  $W$  but with the first seven letters **truncated**. This is now an infinite word on  $r = 2$  letters, which is an easier case of the problem!

Now suppose that maybe we do manage to find  $k$  of the same letter appearing in  $T^7(W)$  at indices  $a, a + d, \dots, a + (k - 1)d$ . This letter must appear in the original  $W$  at indices  $a + 7, (a + 7) + d, \dots, (a + 7) + (k - 1)d$ , which is an arithmetic progression.

Here is a definition that captures the essence of the relationship between  $W$  and  $T^7(W)$ :

**Definition.** Given two infinite words, we say that  $W'$  is **included** in  $W$  (equivalently, we write  $W' \prec W$ ) if any finite subword of  $W'$  appears in  $W$ . We will sometimes say that  $W'$  is a **reduction** of  $W$ .

For example,  $T^7(W) \prec W$ , and equivalently  $T^7(W)$  is a reduction of  $W$ .

Informally, this means if  $W' \prec W$ , then whatever we find in  $W'$  will be in  $W$ , so the statement of the theorem for  $W$  can be reduced to that of  $W'$ .

13. (a) [2] Show that for every infinite word  $W$ , there exists an infinite word  $W^* \prec W$  such that any letter that appears in  $W^*$  will appear at infinitely many indices.
- (b) [2] Show that for every infinite word  $W$  and a fixed  $m \in \mathbb{N}$ , there exists an infinite word  $W^* \prec W$  such that any word of up to length  $m$  that appears in  $W$  will appear at infinitely many indices.
- (c) [2] Construct a binary word  $W$  such that for any positive integer  $\ell \in \mathbb{N}$ , there is some finite word  $w_\ell$  that appears in  $T^\ell(W)$  finitely many times.

The conclusion is that we may assume (in the context of the theorem) without loss of generality, every finite word  $w$  (up to some fixed length  $m$ ) either appears infinitely often or not at all in  $W$ .

How might we extend this for all (infinitely many) finite words?

### 2.3 Limits

Here's another example: consider the infinite (ternary) string

$$W = c \ a \ c \ ab \ c \ aba \ c \ abab \ c \ ababa \ c \ ababab \ c \dots$$

where between every two  $c$ 's we have a sequence of alternating  $a$ 's and  $b$ 's that increase in length.

Despite there being infinitely many  $c$ 's, we claim that remove them all without losing generality!

14. [1] Indeed, show that  $W' = ab \ ab \ ab \dots$  satisfies  $W' \prec W$ .

One perspective to understand this is to consider a sequence of truncations:

$$\begin{aligned} T^1(W) &= a \ c \ ab \ c \ aba \ c \ abab \ c \ ababa \ c \ ababab \ c \dots \\ T^3(W) &= ab \ c \ aba \ c \ abab \ c \ ababa \ c \ ababab \ c \dots \\ T^6(W) &= aba \ c \ abab \ c \ ababa \ c \ ababab \ c \dots \\ T^{10}(W) &= abab \ c \ ababa \ c \ ababab \ c \ abababa \ c \dots \\ T^{15}(W) &= ababa \ c \ ababab \ c \ abababa \ c \dots \\ T^{21}(W) &= ababab \ c \ abababa \ c \dots \end{aligned}$$

These words appear to **converge** to  $W' = ab \ ab \ ab \ ab \dots$

**Definition.** A sequence of infinite words  $W_1, W_2, \dots$  **converges** (to  $W^*$ ) if for each  $j \in \mathbb{N}$ , the  $j$ -th letter of  $W_i$  is eventually constant<sup>4</sup> for large enough  $i$  (and equal to the  $j$ -th letter of  $W^*$ ). In the example above, we say that  $W'$  converges to  $W$ .

**Definition.** We call  $X$  a  **$T$ -limit** of  $W$  if there exists indices  $n_1 \leq n_2 \leq \dots$  where the sequence

$$T^{n_1}(W), T^{n_2}(W), T^{n_3}(W), \dots$$

converges to  $X$ . In the example above, we would say that  $W'$  is a  $T$ -limit of  $W$ .

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<sup>4</sup>i.e. for each  $j$ , there exists a positive integer  $N_j$  where the  $j$ -th letter of  $W_i$  are all the same for any  $i > N_j$

15. (a) [2] An infinite word can have more than one  $T$ -limit! (So we always want to say *a*  $T$ -limit rather than *the*  $T$ -limit).

In fact, construct an infinite word  $X$  such that any infinite word  $W$  is a  $T$ -limit of  $X$ .

(b) [4] Here we make the connection between  $T$ -limits and reductions:

Show that  $W^*$  is a  $T$ -limit of  $W$  if and only if  $W^* \prec W$ .

(c) [5] (Closure property) Let  $X_1, X_2, \dots$  be a sequence of  $T$ -limits of  $W$  that converge to  $X^*$ . Show that  $X^*$  is also a  $T$ -limit of  $W$ .

## 2.4 Compactness

In general however, the given word will not be as well-behaved. Consider instead the following word:

$$W = c a c ab c ba c bba c ababbb c baabba c b c abababbaa c \dots$$

This time there isn't a clear pattern of which words are between adjacent  $c$ 's. However, you could imagine that if we were clever about picking the right subsequence, we could still obtain a  $T$ -limit of  $W$  which might get rid of all the  $c$ 's (perhaps:

$$\begin{aligned} T^3(W) &= ab\dots \\ T^{13}(W) &= abab\dots \\ T^{29}(W) &= ababab\dots \end{aligned}$$

and hopefully we can keep going).

Is this always possible? To answer this question, it might be helpful to consider the notion of a **strict limit**, which somewhat generalizes  $T$ -limits:

**Definition.**  $W$  is a **strict limit** of the sequence of infinite words  $W_1, W_2, W_3, \dots$  if there is an infinite sequence of indices  $n_1 < n_2 < n_3 < \dots$  such that  $W_{n_1}, W_{n_2}, \dots$  converges to  $W$ .

For example, any  $T$ -limit of  $W$  will be either a term or strict limit of the sequence  $W, T^1(W), T^2(W), \dots$

16. Fix a sequence of infinite words  $W_1, W_2, \dots$ . We will show that at least one strict limit exists.

(a) [1] Show that the sequence  $\{W_i\}$  has a subsequence  $W_1^{(1)}, W_2^{(1)}, \dots$  whose first letters are all equal to some letter  $a_0$ .

*Note: a subsequence of  $\{W_i\}$  must be of the form  $\{W_{i_1}, W_{i_2}, \dots\}$ , where  $i_1 < i_2 < \dots$*

(b) [1] Show that the sequence  $\{W_i\}$  has a subsequence  $W_1^{(2)}, W_2^{(2)}, \dots$  whose first two letters are  $(a_0, a_1)$  for some letter  $a_1$  (and  $a_0$  is the same as above).

(c) [3] Show that every infinite sequence of infinite words has a strict limit.

17. [3] Let us revisit the last thing we wanted to prove in the “Reducing to an easier case” section:

Show that for every infinite word  $W$ , there exists an infinite word  $W^* \prec W$  such that every finite word in  $W^*$  that appears in  $W$  will do so at infinitely many indices.

18. (Finitary van der Waerden) Here is a visible consequence of compactness. Consider the following two versions of van der Waerden's theorem:

- (Original) For every  $r, k$ , given an infinite word  $W$  formed from  $r$ -distinct letters, there are  $k$  of the same letters whose indices form an arithmetic progression.
- (Finitary) For every  $r, k$ , there exists  $N = N(r, k)$  where for any infinite word  $W$  with  $r$ -letters, some letter appears at  $k$  indices forming an arithmetic progression **within the first  $N$  letters of  $W$** .

(a) [2] Construct and justify a value of  $N(r, 2)$  that satisfies the conditions in the finitary formulation.

(b) [4] Show that the latter statement is implied by the former statement above.

*(Take note: you should prove that the same  $N$  works for any infinite word  $W$ .)*

## 2.5 Syndeticity

Armed with compactness, we will now show that we can discard any letter (and also any finite word), possibly appearing infinitely often, for which there are increasing gaps between occurrences of that letter.

**Definition.** An increasing sequence of natural numbers  $\{n_1 < n_2 < \dots\}$  is **syndetic** if it is both infinite and has bounded gaps, i.e. there exists a constant  $C > 0$  such that  $n_{i+1} - n_i < C$  for all  $i \in \mathbb{N}$ .

**Definition.** If  $W$  is an infinite words and  $f$  is a finite word, we say that  $f$  **densely populates**  $W$  if the set of indices at which  $f$  appears in  $W$  is syndetic. Otherwise, we say that  $f$  **sparsely populates**  $W$ . For example,  $c$  sparsely populates  $W$  for

$$W = c \ a \ c \ ab \ c \ aba \ c \ abab \ c \ ababa \ c \ ababab \ c \dots$$

but  $a, b$  densely populates it.

19. [2] If  $w$  sparsely populates  $W$ , show that there exists a  $T$ -limit  $W^*$  of  $W$  which does not contain  $w$ .

The above construction will prove to be very useful, so we give it a shorthand:

**Definition.** Write

$$[W]_f = \begin{cases} W^* & \text{a } T\text{-limit of } W \text{ which does not contain } f, \text{ if } f \text{ sparsely populates } W \\ W & \text{otherwise.} \end{cases}$$

20. Enumerate all finite words  $\{f_1, f_2, \dots\}$ , and define a sequence of words as follows:

$$W_i = \begin{cases} W & \text{for } i = 0 \\ [W_{i-1}]_{f_i} & \text{otherwise} \end{cases}$$

(a) [3] Show that  $f_i$  does not sparsely populate  $W_j$  for all  $j \geq i$ .

(b) [4] (Minimality condition) Deduce that there exists  $W_{min} \prec W$  where no  $f_i$  sparsely populates  $W$ .

This means that we can replace  $W$  with  $W_{min}$  where each of its subwords reappear such that the gap between adjacent occurrences is bounded (depending on the subword).

This construction is optimal in the following sense:

21. [2] Show that  $W_{min}$  has the same set of (finite) subwords as any  $T$ -limit of itself. Furthermore, each  $T$ -limit satisfies the minimality condition (problem 20b).

## 2.6 The main proof

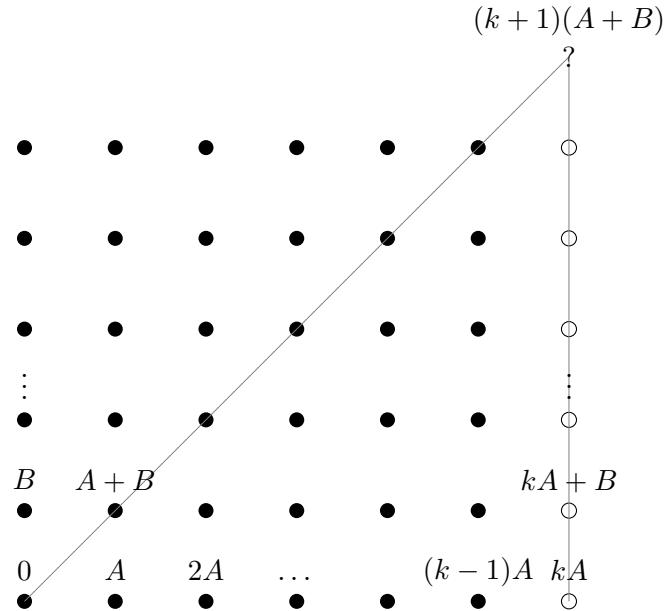
We now have the fundamental ideas to prove van der Waerden's theorem without too much difficulty:

Without loss of generality, we may assume  $W$  satisfies the minimality condition (from the previous section). Suppose that for some fixed  $k$  and infinite string  $W$ , van der Waerden (varying length form) is true for any word length  $m$ .

22. Here is a surprising fact: syndeticity allows us to force the position of the arithmetic progression (i.e. we may assume without loss of generality that the arithmetic progression starts at index 0) for any  $m$ .

- [3] Show that for any finite word  $w$  that appears in  $W$  will do so at  $k$  indices which form an arithmetic progression.
- [3] Show that there exists an infinite word  $W^* \prec W$  such that for any prefix  $w$  of  $W^*$ ,  $w$  appears in  $W^*$  at  $k$  indices forming an arithmetic progression starting with 0.

Now we give a hint of how we might be able to produce a longer arithmetic progression (of length  $k + 1$ ). Consider the case where  $r = 2$  and  $m = 1$ , and suppose we've found a letter appearing at indices  $0, A, \dots, (k - 1)A$  (represented by a black dot below). If the same letter was also at index  $kA$ , we have found an arithmetic progression of length  $k + 1$ , but suppose otherwise. Then, using the fact above, we can "clone" this arithmetic progression  $k$  times with some equal spacing  $B$ . We represent this in the following diagram:



However, depending on which letter appears at index  $(k + 1)(A + B)$ , we are forced to have an arithmetic progression of length  $(k + 1)$ !

23. Set  $W = W^*$  above and fix  $m$ . By assumption, for each  $m' \in \mathbb{N}$ , there should be a corresponding  $n(m') > m'$  where the length  $m'$  prefix of  $W$  repeats another  $k$  times with spacing  $n(m')$ .

We will now show that there exists a length  $m$  word with  $(k + 1)$  instances in  $W$  which form an arithmetic progression.

Write  $a \sim b$  for two indices  $a, b \in \mathbb{N}$  if the first  $m$  letters of  $T^a(W)$  and  $T^b(W)$  match.

(a) [2] (Universe cloning lemma) Let  $\Omega = \{(x, y) \mid x \sim y, x, y \in \mathbb{N}\}$ , and let  $S$  be a finite subset of  $\Omega$ . Show that there exists  $d = d(S)$  such that  $S \oplus d \subset \Omega$ , where

$$S \oplus d = \{(x + k_1 d, y + k_2 d) \mid (x, y) \in S, k_1, k_2 \in \{0, 1, \dots, k-1\}\}$$

(b) [3] (Cloned arithmetic progressions) Suppose  $\{(a, a)\} \oplus d' \subset S$  (i.e. there is a length  $k$  arithmetic progression starting at index  $a$ ). Show that for  $d = d(S)$ , the following both hold:

$$\begin{aligned} \{(a, a)\} \oplus d &\subset S \oplus d \\ \{(a, a)\} \oplus (d + d') &\subset S \oplus d \end{aligned}$$

(c) [3] Let  $S_0 = \emptyset$ ,  $d_0 = k_0 = 0$ . We inductively construct larger subsets of  $\Omega$  as follows:

- $S_i^+ = S_i \cup \{(a_i, a_i)\}$  where  $a_i = k(d_1 + \dots + d_{i-1})$ .
- $d_{i+1} = d(S_i^+)$
- $S_{i+1} = S_i \oplus d_i$

Show that for any  $i < j$ ,  $\{(a_i, a_i)\} + \frac{a_j - a_i}{k} \in \Omega$ .

(d) [1] Conclude that there are  $(k+1)$  instances of some length  $m$  word in arithmetic progression.

## 2.7 Applications

*You are allowed to use any results from the previous sections without proof.*

24. [4] Prove that every syndetic subset of  $\mathbb{N}$  contains arbitrarily long arithmetic progressions.<sup>5</sup>

25. [4] Let  $\{a_1 < a_2 < \dots\}$  be a sequence of natural numbers containing arbitrarily long arithmetic progressions. Suppose that there exists another sequence of natural numbers  $\{b_1 < b_2 < \dots\}$  such that the quantity  $|a_i - b_i|$  is bounded. Show that  $\{b_i\}$  also contains arbitrarily long arithmetic progressions.

26. (a) [4] Let  $\langle x \rangle$  denote the minimum distance from  $x$  to the nearest integer (or equivalently,  $\langle x \rangle = \min\{x - \lfloor x \rfloor, \lfloor x \rfloor + 1 - x\}$  where  $\lfloor x \rfloor$  is the greatest integer below  $x$ ).

Show that for any irrational  $x$  and real number  $\varepsilon > 0$ , there exists positive integer  $n$  such that  $\langle n^2 x \rangle < \varepsilon$ .

(Hint: notice the identity  $(n + 2k)^2 - 2(n + k)^2 + n^2 = (2k)^2$ )

(b) [6] Prove that there are infinitely many perfect squares expressible as  $\lfloor n\pi^{2020} \rfloor$ . You may use the fact that  $\pi^{2020}$  is irrational without proof.

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<sup>5</sup>Obviously, you need to use van der Waerden's theorem somehow. But perhaps it's interesting to note that you could use this statement to prove van der Waerden's theorem too!)