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# GaitForeMer: Self-Supervised Pre-Training of Transformers via Human Motion Forecasting for Few-Shot Gait Impairment Severity Estimation

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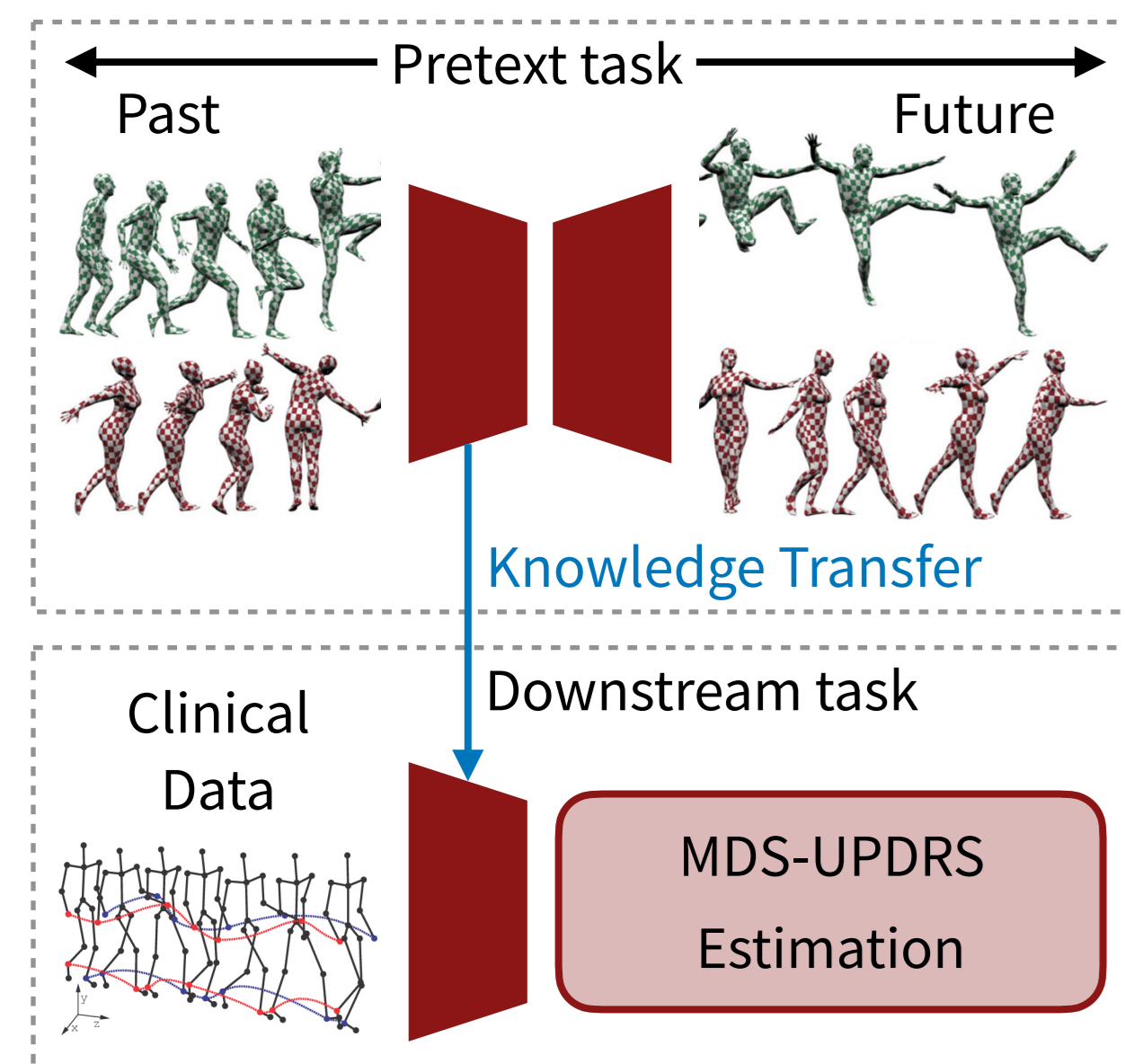
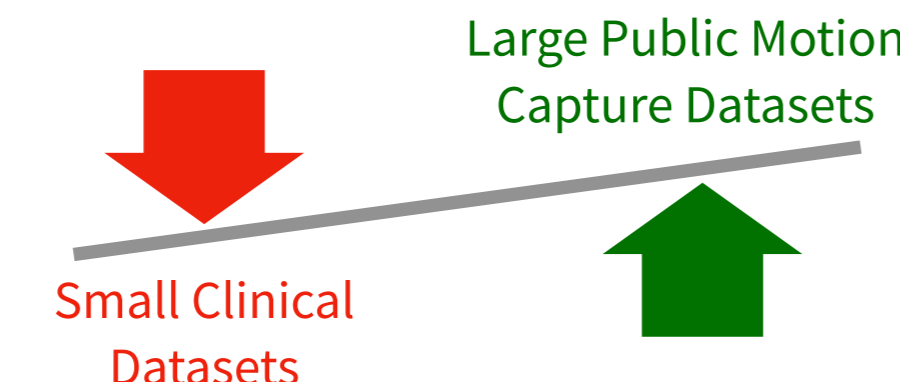


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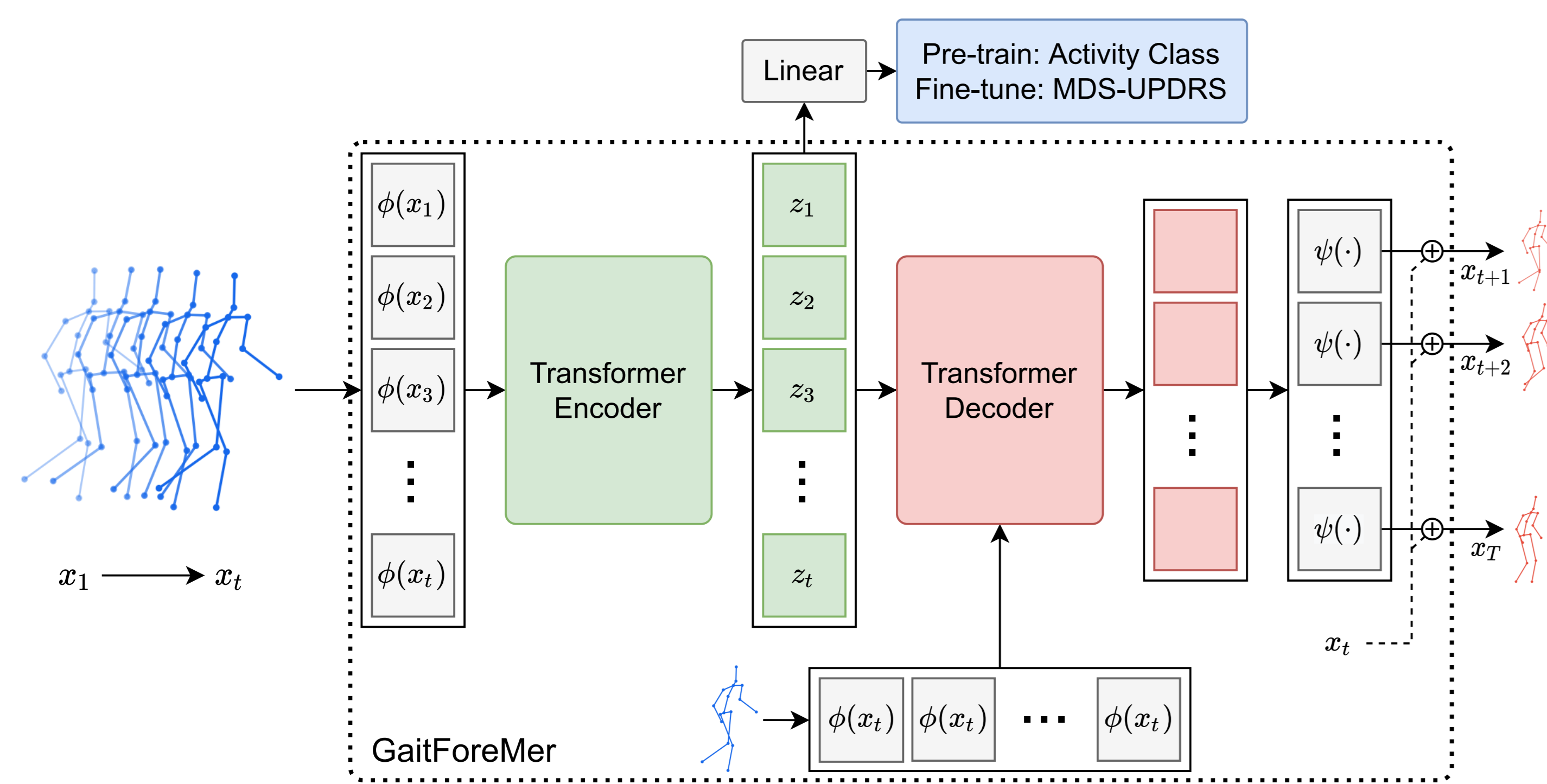
## Background

- Parkinson's disease is a chronic, progressive brain disorder with degenerative effects on mobility and muscle control
- Task:** Prediction of motor impairment severity from videos of gait examinations of PD patients
- Clinical datasets are often limited in size; we can take advantage of large 3D motion capture datasets



- Recent advances in machine learning can allow us to take advantage of these datasets and translate them for clinical use
- Goal:** learn good motion representations from large public dataset using the pretext task of motion forecasting and transfer knowledge for downstream task of gait impairment severity prediction

## GaitForeMer

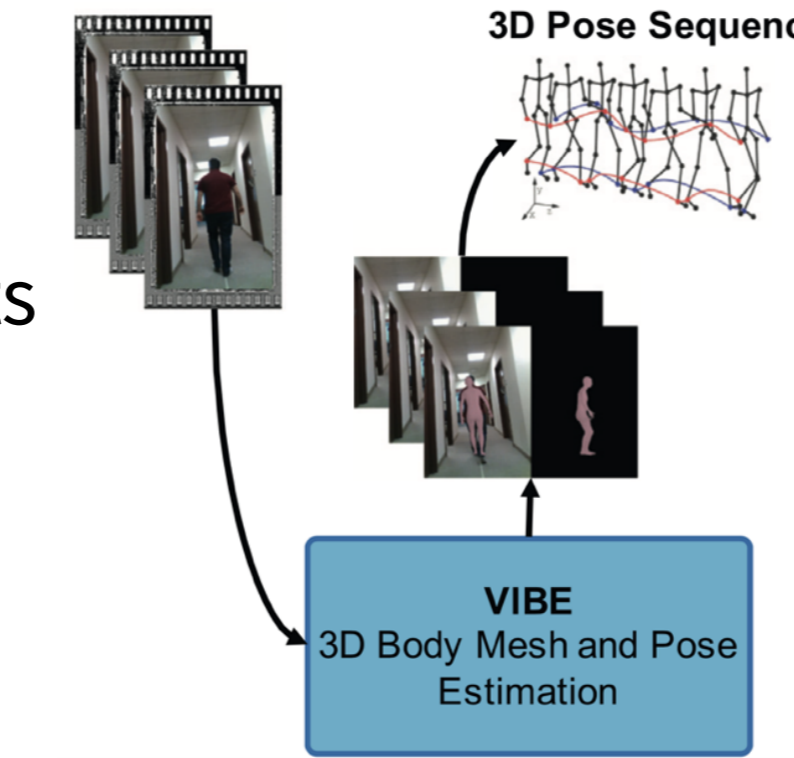


- We propose **GaitForeMer** (Gait Forecasting and impairment estimation transforMer) which forecasts motion and gait (pretext task) while estimating impairment severity (downstream task)
- Given a sequence of  $t$  3D skeletons  $\mathbf{x}_{1:t}$ , we predict the next  $M$  skeletons  $\mathbf{x}_{t+1:T}$  and the motion class  $y$  (either activity or MDS-UPDRS score)
- After pre-training the model components on a public dataset, we adapt the model to estimate MDS-UPDRS scores on our clinical data

## Data

**NTU RGB+D Dataset<sup>1</sup>:** Large human motion capture dataset used to pre-train model

**MDS-UPDRS Dataset:** Gait recordings from 54 participants processed using Video Inference for Body Pose and Shape Estimation (VIBE)<sup>2</sup> to extract 3D skeletons



(<sup>1</sup> Shahroudy et al., 2016; <sup>2</sup> Kocabas et al., 2020)

## Results

- Results reported via leave-one-out cross-validation
- Compared methods:
  - GaitForeMer without pre-training (GaitForeMer-Scratch), Hybrid Ordinal Focal DDNet (OF-DDNet)<sup>3</sup>, Spatial-Temporal Graph Convolutional Network (ST-GCN)<sup>4</sup>, DeepRank<sup>5</sup>, Support Vector Machine (SVM)<sup>6</sup>

Method	F <sub>1</sub>	Pre	Rec
<b>GaitForeMer (Ours)</b>	<b>0.76</b>	<b>0.79</b>	<b>0.75</b>
GaitForeMer-Scratch (Ours)	0.60	0.64	0.58
OF-DDNet*	0.58	0.59	0.58
ST-GCN*	0.52	0.55	0.52
DeepRank*	0.56	0.53	0.58
SVM*	0.44	0.49	0.40

Our pre-trained GaitForeMer model results in best performance

\* indicates statistical difference at ( $p < 0.05$ ) compared with our method, measured by the Wilcoxon signed rank test

- Our GaitForeMer method pre-trained on a public dataset results in significantly improved accuracy over training the model from scratch and other baselines trained on the MDS-UPDRS dataset

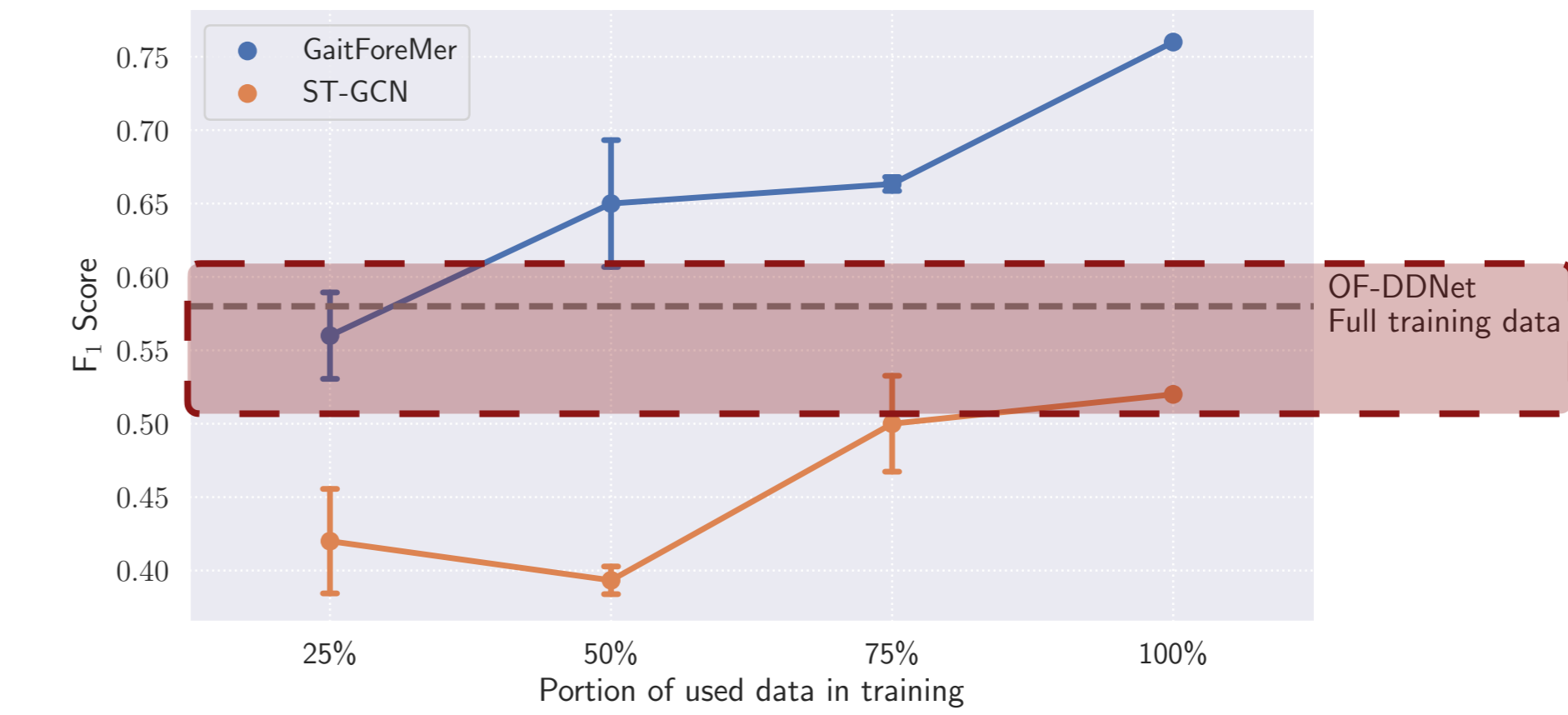
(<sup>3</sup> Lu et al., 2021 ; <sup>4</sup> Yan et al., 2018; <sup>5</sup> Pang et al., 2017; <sup>6</sup> Weston et al., 1999)

## Fine-tuning Setup

Pre-trained	Fine-tune strategy	F <sub>1</sub>	Pre	Rec
Yes	<b>Both branches then class branch</b>	<b>0.76</b>	<b>0.79</b>	<b>0.75</b>
Yes	Both branches	0.72	0.75	0.71
Yes	Class branch	0.66	0.72	0.63
No		0.60	0.64	0.58

- We compare different training/fine-tuning strategies of our method
- First fine-tuning both branches then additionally fine-tuning the MDS-UPDRS prediction branch yields best results
- The relatively poor performance of only fine-tuning the class branch could be due to the data shift between the NTU RGB+D and MDS-UPDRS datasets that requires training of the motion forecasting branch

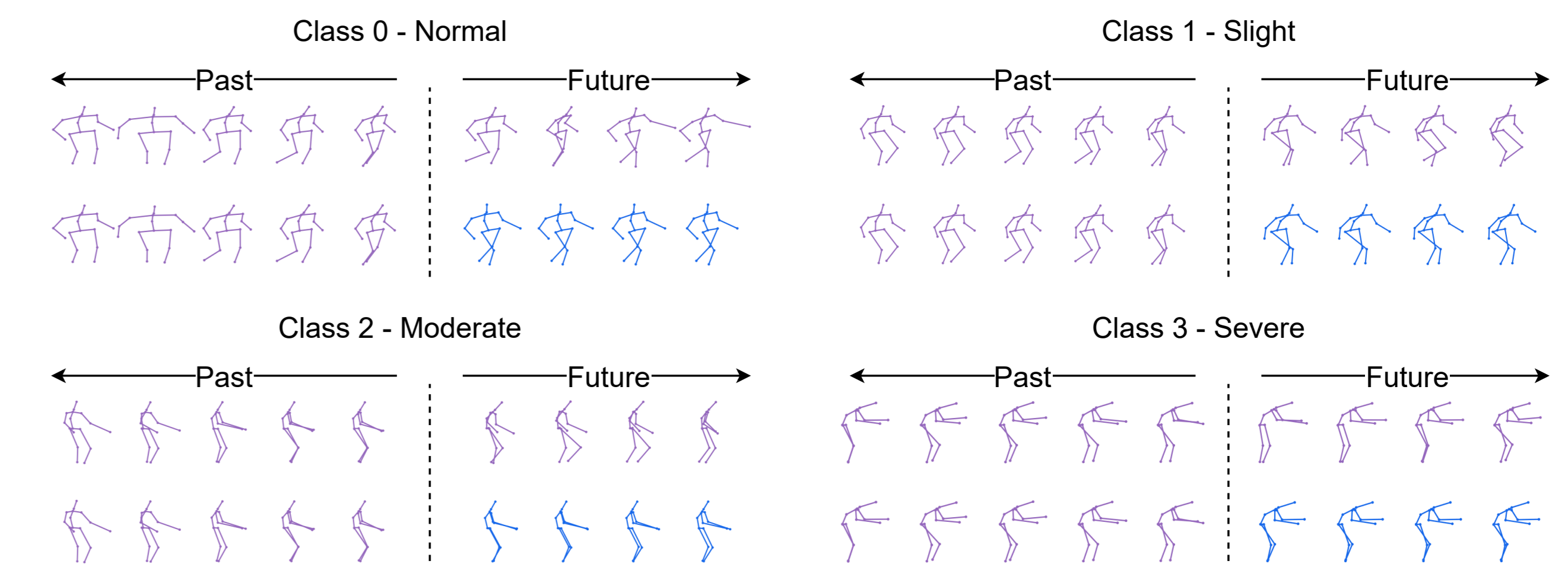
## Few-shot Learning



With 25% training data, GaitForeMer outperforms ST-GCN using 100% training data and is comparable to OF-DDNet using 100% training data

- We find that our GaitForeMer method maintains relatively strong performance with only a fraction of the data
- This shows the power of using motion forecasting as a self-supervised pre-training task for few-shot gait impairment severity estimation

## Motion Forecasting Visualization



The purple skeletons are ground-truth and the blue ones are predictions

- Accurate motion forecasting verifies that the model is able to properly predict motion that encodes motor impairments

## Conclusion

- Human motion forecasting serves as an effective pre-training task
- Pre-trained model significantly outperformed models trained from scratch
- Approach demonstrates utility of using motion pre-training tasks in data-limited settings

## Acknowledgments

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