

# Curriculum Vitae

Xueguang Zhou

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## Address

Work: Department of Sociology  
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## Position

2010 – present Kwoh Ting Li Professor in Economic Development  
2006 – present Professor, Department of Sociology  
Senior fellow, Freeman Spogli Institute for International Studies, Stanford University  
2000 – 2006 Professor, Department of Sociology, Duke University  
1996 – 2000 Associate Professor, Department of Sociology, Duke University  
1994 – 1996 Assistant Professor, Department of Sociology, Duke University  
1991 – 1994 Assistant Professor, Department of Sociology, Cornell University  
1982 – 1983 Instructor, Department of Sociology, Fudan University, P.R. China  
  
2004 – 2005 Professor and Department head, Department of Management of Organizations,  
Hong Kong University of Science and Technology (on leave from Duke University)  
2002 Weilun Visiting Professor, Department of Sociology, Tsinghua University  
1998 Visiting Professor, Department of Organizational Behavior,  
INSEAD (European Institute of Business Administration), France

## Education

Ph. D. Sociology, Stanford University 1991  
M. A. Sociology, Stanford University 1985  
B. A. Fudan University, P.R. China 1982

## Teaching and Research Interests

Sociology of Organization, Social Stratification, Economic Sociology, Chinese Society

## Professional Service

Chair, Sociology Department, Stanford U. 2015-2018  
DGS, Sociology Department, Stanford U. 2012-2015  
Member, advisory council, Institute of East Asian Studies, University of Duisburg-Essen, Germany,  
2016-  
Member, advisory council, Institute for Humanities and Social Sciences, Peking U. 2016-  
Member, advisory board, Social Science Division, Hong Kong University of Science and Technology,

2014-

Member, Academic Advisory Committee, Institute of Sociology, Academia Sinica, 2004-  
Member, Editorial board of *Modern China*, 2009-2019  
Senior associate editor, *Management and Organization Review*, 2013-16  
Member, Academic Advisory Committee, the International Association for Chinese Management  
Research, 2014-16

Member, Academic Advisory Committee, Institute of American Studies, Academia Sinica, 2004-07  
Member, Editorial board of *Academy of Management Review*, 2004-2010  
Member, Editorial board of *Administrative Science Quarterly* 1997-2012  
Member, Editorial board of *Management and Organization Review*, 2004-2009  
Member, Editorial board of *China Review* 2000 – present  
Member, Advisory board of Urban China Research Network, SUNY-Albany, 2002-2005  
Member, Editorial board of *American Sociological Review*, 1997-2000  
Member, Consulting editorial board of *American Journal of Sociology*, 1997-1999  
Member, Board of Directors, North American Chinese Sociologist Association, 1997-2000

Reviewer for American Sociological Review, American Journal of Sociology, Social Forces, Sociological Forum, Sociology of Education, Social Science Research, Social Network, Research in Social Stratification and Mobility, Administrative Science Quarterly, European Sociological Review, Sociological Perspectives, Social Science Quarterly, Journal of Family Issues, Sociology of Aging, Academy of Management Review, Academy of Management Journal, Organization Science, Journal of International Business, Management and Organization Review, Journal of Health Politics, Journal of Comparative Politics, Comparative Political Studies, American Political Science Review, American Journal of Political Science, Economic Development and Cultural Change, the History of the Family, the China Quarterly, the China Journal, the Journal of Development Studies, Social Network, National Science Foundation, Stanford University Press, Pittsburg University Press, and Cambridge University Press.

### **Honors and Awards**

Distinguished Scholarly Contribution Award, the International Association for Chinese Management  
Research, 2014  
Fellow, Center for Advanced Studies in Behavioral Science, 2008-09  
Guest professorship, Departments of Sociology, Tsinghua University, Peking University, & the People's  
University of China  
Research grants from National Science Foundation, Citicorp Social Science Research Council, Chiang  
King-Kao Foundation, and ASA/NSF Fund for the Advancement of the Discipline  
Spencer Fellowship, 1993-94

### **Books**

- 2017 *The Institutional Logic of Governance in China: An Organizational Approach* [in Chinese, 中国国家治理的制度逻辑：一个组织学研究, (三联书店)]. Beijing: Sanlian Press.
- 2012 (ed. with Shiding Liu, and Xiaoye Zhe). *State Building and Government Behavior* (in Chinese, 国家建设与政府行为). Beijing: Chinese Social Science Press.
- 2010 Jean Oi, Scott Rozelle, Xueguang Zhou (eds.) *Growing Pain: Tensions and Opportunities in China's Transformation*. Stanford: APARC.
- 2004 *The State and Life Chances in Urban China: Redistribution and Stratification, 1949-1994*. Cambridge University Press. (Best book award, ASA Asia and Asian Americans section, American Sociological Association, 2007)

2015. (Chinese translation 《国家与生命机遇：中国城市中的再分配与分层 1949-1994》北京：中国人民大学出版社.)
- 2003 *Ten Lectures on the Sociology of Organizations* (in Chinese) [组织社会学十讲]. Beijing: Social Science Document Publishing House.
- 2000 James March, Martin Schulz, and Xueguang Zhou. *The Dynamics of Rules: Quantitative Studies of Change in Written Organizational Codes*. Stanford, CA: Stanford University Press.
2005. (Chinese translation 《规则的动态演变》上海：上海人民出版社。)
- 1992 (ed.). *State and Society in Contemporary China* (in Chinese) [当代中国的国家与社会关系] Taipei: Gui Guan Press.

### Articles & Book Chapters

- 2019 Zhou, Xueguang. "Social Media and Governance in China." *Chapter in China's Paths to the Future*, edited by Jean Oi and Thomas Fingar. Stanford University Press.
- 2019 Xueguang Zhou. "Informal Institutions in the Chinese Bureaucracy: An Essay." (In Chinese, "论中国官僚体制的非正式制度"), *清华社会科学 (Tsinghua Social Sciences)* 1(1): 7-42.
- 2019 Xueguang Zhou. "The Huang Renyu Paradox and the Logic of the Chinese Empire: the Case of Civil Examination in Chinese History" (in Chinese, 黄仁宇悖论与帝国逻辑：以科举制为线索) *社会 (Shehui)* 2: 1-30.
- 2019 Xueguang Zhou. "In Search of Historical Roots of Governance in China" (In Chinese, "寻找中国国家治理的历史线索") *中国社会科学 (Social Science in China)* 1: 90-100.
- 2018 Xueguang Zhou. "Making Sense of 40 Years of Opening Up and Reform in China: A Historical Perspective." (in Chinese, "从大历史角度看中国改革 40 年." (In Chinese,) *二十一世纪 (21th Century)* 12: 4-13.
- 2018 Xueguang Zhou and Haifeng Li. "Between the Centralization of Authority and Effective Governance: The Institutional Logics of Governance in China." *The TOA-KEIZAI KENKY (Asian Economic Review)* 75(1).
- 2018 Zhou, Xueguang, et. al. "The Spatial Mobility of Local Government Officials in China: A Model and Empirical Evidence." (in Chinese, "中国地方政府官员的空间流动：层级分流模式与经验证据"). *Shehui (社会)* 3:1-45.
- 2017 Yun Ai and Xueguang Zhou. "The Multiple Logics of Property Rights Reform in China's Urbanization: The Case of the Chengdu Model." Pp. 91-109 in *Challenges in the Process of China's Urbanization*, edited by Karen Eggleston, Jean C. Oi, and Wang Yiming. Stanford, CA: APARC.
- 2016 Wei Zhao and Xueguang Zhou. "From Institutional Segmentation to Market Fragmentation: Institutional Transformation and the Shifting Stratification Order in Urban China." *Social Science Research* 63:19-36.
- 2016 Xueguang Zhou and Yun Ai. "Bases of Governance and Forms of Resistance: The Case of Rural China." (with Yun Ai) Pp. 443-460 in *The SAGE Handbook of Resistance*, edited by David Courpasson and Steven Valls. Sage Publications.
- 2016 "Between Officials and Staff: Personnel Management and the Logic of the Chinese Empire" (in Chinese, "从官吏分途到层级分流：帝国逻辑下的官僚人事制度") *Shehui* 36(1): 1-33. (English version: "The separation of officials from local staff: The logic of the Empire and personal management in the Chinese bureaucracy" *Chinese Journal of Sociology* 2(2): 259-299).
- 2015 "Unorganized Interests and Collective Action" (in Chinese "无组织利益与集体行动"). *Journal of Social Development (社会发展杂志)* 1: 182-208.
- 2015 "Project-Based Governance: A 'Control Rights' Theory" ("项目制：一个“控制权”理论视角"). *Open Times (开放时代)* 2.

- 2014 “Administrative Subcontracting and the Logic of Empire: Comment on Li’an Zhou’s Model of Administrative Subcontracting”. (“行政发包制与帝国逻辑：周黎安文章读后感”) *Shehui* (社会) 43(6): 39-51.
- 2014 “Modes of State Governance in China: An Integrated Approach” (in Chinese “中国国家治理及其模式：一个整体性视角”) *Xueshu Yuekan* (学术月刊) 10: 5-11.
- 2014 “From Huang Zongxi’s Law to the Logic of Empire: A Historical Perspective on the Institutional Logic of Governance in China” (in Chinese, “从‘黄宗羲定律’到帝国的逻辑：中国国家治理逻辑的历史线索”) *Open Times* (开放时代) 4:108-132.
- 2014 Xueguang Zhou and Yun Ai. “Capitalism without Capital: Capital Conversion and Market Making in Rural China.” *China Quarterly*, (July).
- 2013 Karen Eggleston, Jean C. Oi, Scott Rozelle, Ang Sun, Andrew Walder, and Xueguang Zhou. “Will Demographic Change Slow China’s Rise?” *Journal of Asian Studies*.
- 2013 Ai, Yun and Xueguang Zhou. “Gift Exchange, Credit Taking and Market Transaction in the Absence of Capital” (in Chinese “礼物交换”、“强征性信用”与资本缺失下的市场交易：以一个乡镇农业市场为例). *Social Sciences in China* [中国社会科学]: 85-101.
- 2013 Xueguang Zhou and Wei Zhao. “Social Science Research on Chinese Organizations in the English Literature.” Pp. 1912-231 in *A Scholarly Review of Chinese Studies in North America*, edited by Haihui Zhang, et al. Association for Asian Studies, Inc. *Asia Past & Present: New Research from AAS*, Number 11.
- 2013 Xueguang Zhou, Hong Lian, Leonard Ortolano and Yinyu Ye. “A Behavioral Model of ‘Muddling Through’ in the Chinese Bureaucracy.” *The China Journal* (July 2013) 70: 120-147.
- 2013 “State Governance and The Chinese Bureaucracy: A Weberian Approach.” (in Chinese, “国家治理逻辑与中国官僚体制：一个韦伯理论角度”) *Open Times* (开放时代) 3.
- 2012 Xueguang Zhou “Mobilizational State: Further Exploration in the Institutional Logic of State Governance in China.” (in Chinese, “运动型治理机制：中国国家治理的制度逻辑再思考”) *Open Times* (开放时代) 9:100-120.
- 2012 “Modes of Governance in the Chinese Bureaucracy: A ‘Control Right’ Theory.” (in Chinese, “中国政府的治理模式：一个‘控制权’理论”) *Journal of Sociological Research* (社会学研究): 5:69-93.
- 2012 “The Road to Collective Debt in Rural China: Government Bureaucracies and Public Goods Provision.” *Modern China* 38 (3): 271-307. (Also appeared in Chinese translation, “通往集体债务之路：政府组织、社会制度与乡村中国的公共产品供给”公共行政评论 2012 年第 1 期: 46-77.)
- 2012 “Sociological Research on Chinese Society.” In *Oxford Bibliographies in Sociology*. Ed. Jeff Manza. New York: Oxford University Press.
- 2012 Xueguang Zhou, Yun Ai, and Hong Lian. “The Limit of Bureaucratic Power in Organizations: the Case of the Chinese Bureaucracy.” *Research in the Sociology of Organizations* 34: 81-111. (译文收在《“主动的”地方政治：作为战略群体的县乡干部》，杨雪冬主编。中央编译出版社出版社，2013)。
- 2011 Wei Zhao and Xueguang Zhou. “Status Inconsistency and Product Valuation in the California Wine Market.” *Organization Science* 22 (6): 1435-48.
- 2011 “The Autumn Harvest: Peasants and Markets in Post-Collective Rural China.” *China Quarterly* (December) 208: 911-929.
- 2011 Xueguang Zhou and Lulu Li. “Rethinking Property Rights as a Relational Concept: Access to Financial Resources among Midsized and Small Firms in China.” *Chinese Sociological Review* 44(1): 26-70.

- 2011 “Authoritarian State and Effective Governance: the Institutional Logic of State Governance in Contemporary China.” (in Chinese) [权威体制与有效治理：当代中国国家治理的制度逻辑] *Open Times* [开放时代]10:67-85.
- 2011 Xueguang Zhou and Hong Lian. “Bargaining in the Chinese Bureaucracy.” (in Chinese) [政府内部上下级部门间谈判的一个分析模型] *Social Science in China* [中国社会科学] 5:80-96. (收入《中国社会学》第10卷).
- 2010 Xueguang Zhou and Yun Ai. “Multiple Logics of Institutional Change: Toward an Analytical Framework.” (in Chinese) [多重逻辑下的制度变迁：一个分析框架] *Social Science in China* [中国社会科学] August(4): 132-150.
- 2010 “The Institutional Logic of Collusion among Local Governments in China.” *Modern China* 36 (1): 47-78.
- 2010 “Can a falling leaf tell the coming of the autumn? Making sense of village elections in a township, . . . , and in China.” Chapter in *Growing Pain*, edited by J. Oi, S. Rozelle, and X. Zhou. Stanford: APARC. (Also appeared in Chinese translation, “一叶知秋：从一个乡镇的村庄选举看中国社会的制度变迁”, 社会 2009(3)).
- 2009 Xueguang Zhou and Wei Zhao. “Social Science Research on Chinese Organizations in the English Literature: A Survey” (in Chinese) [英文文献中的中国组织现象研究] *Journal of Sociological Studies* [社会学研究] 6: 145-186.
- 2009 Ling Yang and Xueguang Zhou. “Transaction Costs, Institutions, and the Duration of Interfirm Contracts in China.” *Research in the Sociology of Work* 19: 69-104.
- 2008 “Collusion among Local Governments: The Institutional Logic of a Government Behavior.” (in Chinese) [基层政府间的“合谋现象”：一个政府行为的制度逻辑] *Journal of Sociological Studies* [社会学研究] 6: 1-22. (收入《中国社会学》第8卷).
- 2008 Wei Zhao and Xueguang Zhou. “Intraorganizational Career Advancement and Voluntary Turnover in a Multinational Bank in Taiwan.” *Career Development International* 13: 402-424.
- 2008 “Rethinking corporative bases of governance in rural China: Observations and reflections from villages.” Pp. 96-111 in *Creating Wealth and Poverty*, edited by Deborah Davis and Feng Wang. Stanford University Press.
- 2007 Wei Zhao and Xueguang Zhou. “Returns to Education in Urban China’s Transitional Economy: Reassessment and Reconceptualization.” Pp. 224-247 in *Education and Reform in China*, edited by Emily Hannum and Albert Parker. Routledge.
- 2006 Xueguang Zhou, He Cai, and Qiang Li. “Property Rights Regimes and Firm Behavior.” Pp. 97-119 in *China’s Domestic Private Firms: Multidisciplinary Perspectives on Management and Performance*, edited by A. Tsui, Y. Bian, and L. Cheng. M.E. Sharpe, Inc.
- 2005 “The Institutional Logic of Occupational Prestige Ranking: Reconceptualization and Reanalyses.” *American Journal of Sociology*, 110: 90-140.
- 2005 “Inverted Soft Budget Constraint: Extra-budgetary Resource Seeking in Local Governments.” (in Chinese) [逆向软预算约束：一个政府行为的组织分析] *Social Sciences in China* [中国社会科学], 2: 132-143. (收入《中国社会学》第6卷).
- 2005 “Relational Property Rights: A Sociological Interpretation.” (in Chinese) [关系产权：产权制度的一个社会学解释] *Sociological Research* [社会学研究] 2: 1-31. (收入《中国社会学》第5卷).
- 2004 Wei Zhao and Xueguang Zhou. “Chinese Bureaucracy in Transition: Changing Promotion Patterns in the Post-Mao Era.” *Organizational Science* 15: 186-199.
- 2003 “Understanding Institutional Changes in China: Some Theoretical Explorations.” Pp. 610-638 in *Economic Globalization and China*, edited by Min Hua and Shen Wei. Shanghai: Fudan University Press.
- 2003 Xueguang Zhou, Wei Zhao, Qiang Li and He Cai. “Embeddedness and Contractual Relationships in China’s Transitional Economy.” *American Sociological Review* 68: 75-102.

- 2002 Wei Zhao and Xueguang Zhou. "Institutional Changes and Returns to Education in Urban China: An Empirical Assessment." *Research in Social Stratification and Mobility* 19: 337-373.
- 2001 Xueguang Zhou and Phyllis Moen. "Job Shift Patterns of Husbands and Wives in Urban China," Pp.333-367 in *Careers of Couples in Contemporary Society*, edited by Hans-Peter Blossfeld and Sonja Drobnic. Oxford, England: Oxford University Press.
- 2001 Xueguang Zhou and Phyllis Moen. "Explaining Life Chances in China's Economic Transformation: A Life Course Approach." *Social Science Research* 30 (4): 552-577.
- 2001 "Political Dynamics and Bureaucratic Career Patterns in the People's Republic of China." *Comparative Political Studies* 34: 1036-1062.
- 2000 "Economic Transformation and Income Inequality in Urban China: Evidence from a Panel Data." *American Journal of Sociology* 105: 1135-1174.
- 2000 "Reply: Beyond the debate and toward substantive institutional analysis." *American Journal of Sociology* 105: 1189-1195.
- 2000 Xueguang Zhou, and Olga Suhomlinova. "Redistribution under State Socialism: A USSR and PRC Comparison." *Research in Social Stratification and Mobility* 18: 163-204.
- 1999 "Studies of Chinese Organizations and Institutional Changes in the English Literature: A Survey." (in Chinese) [西方文献中有关中国组织与制度变迁的研究述评] *Journal of Sociological Research* [社会学研究] Also in pp. 139-169 in *Social Change in China's Reform Era* (in Chinese), edited by Edward Tu and Yi-min Lin. HK: Oxford University Press. (收入《中国社会学》第1卷).
- 1999 Xueguang Zhou and Liren Hou. "Children of the Cultural Revolution: the State and the Life Course in the People's Republic of China." *American Sociological Review*. 64: 12-36. (收入《中国社会学》第2卷).
- 1998 Xueguang Zhou, Phyllis Moen, and Nancy B. Tuma. "Educational Stratification in Urban China: 1949-1994." *Sociology of Education* 71: 199-222.
- 1997 Xueguang Zhou, and Xaiomei Pei. "Review Essay: Chinese Sociology in a Transitional Society." *Contemporary Sociology* 26: 569-572.
- 1997 Xueguang Zhou, Nancy B. Tuma, and Phyllis Moen. "Institutional Change and Patterns of Job Shifts in Urban China, 1949-1994." *American Sociological Review* 62: 339-365.
- 1997 "Organizational Decision Making as Rule Following." Pp. 257-281 in *Organizational Decision Making*, edited by Zur Shapira. Cambridge University Press.
- 1996 Xueguang Zhou, Nancy B. Tuma and Phyllis Moen. "Stratification Dynamics Under State Socialism: The Case of Urban China, 1949-1993." *Social Forces* 74: 759-796.
- 1995 "From Unorganized Interests to Democracy: Problems of Political Transition in China." *Asiatische Studien-Etudes Asiatiques* XLIX: 49-69.
- 1995 "Partial Reform and the Chinese Bureaucracy in the Post-Mao Era." *Comparative Political Studies* 28: 440-468.
- 1995 Palmer, Donald, Brad M. Barber, Xueguang Zhou and Yasemin Soysal. "The Other Contested Terrain: The Friendly and Predatory Acquisition of Large U.S. Corporations in the 1960s." *American Sociological Review* 60: 469-499.
- 1994 "The Cost of Centralization in China." (in Chinese) [中央集权的代价] in *the State, Market, and Society: Studies of the Chinese Reform* [国家、市场与社会：中国改革的考察研究], edited by Guoguang Wu. Oxford University Press.
- 1993 Donald Palmer, P Devereaux Jennings, and Xueguang Zhou, "Late Adoption of the Multidivisional Form by Large U.S. Corporations: Institutional, Political and Economic Accounts." *Administrative Science Quarterly* 38:100-131.
- 1993 "Occupational Power, State Capacities and the Diffusion of Licensing in the American States: 1890-1950." *American Sociological Review* 58: 536-52.
- 1993 "The Dynamics of Organizational Rules." *American Journal of Sociology* 98:1134-66.
- 1993 "Unorganized Interests and Collective Action in China." *American Sociological Review* 58:54-73.

- 1992 "On Political Cycles in Contemporary China." (in Chinese) [论“一管就死，一放就乱”]Pp.149-169 in *State and Society in Contemporary China*, edited by Xueguang Zhou [中国的国家与社会关系] Taiwan: Gui Guan Publisher [台湾: 桂冠出版社].
- 1991 Bernard P. Cohen and Xueguang Zhou, "Status Processes in Permanent Work Groups." *American Sociological Review* 56: 179-188.
- 1989 "Bringing the Missing Link Back In: Local Bureaucracy in China's Political Dynamics." *Revue Europeene des Sciences Sociales* 27: 169-189.
- 1989 Yushi Li, Xiaoyang Li, Xueguang Zhou (et al.), "On the Privatization of State-owned Property." (in Chinese)[ 国有资产的私有化问题] *World Economic Herald*.
- 1988 "The Dilemma of Rationality and The Insights of Evolutionary Epistemology,"(in Chinese) [理性的困境与进化认识论的启示] pp. 273-301 in *Chinese Intellectuals on Society, Politics and Economy*, edited by Shaomin Li. Taipei: Gui Guan Press.
- 1986 "Chinese Firms in Transition: A Sociological Approach." (in Chinese) [企业运动的社会学思考] *Fudan Journal of Social Sciences* (复旦学报 社科版) 6:3-10.